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13 14	Diagnosis of a North American Polar/Subtropical Jet Superposition Employing Piecewise Potential Vorticity Inversion
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29	Submitted for publication in Monthly Weather Review
30	11 July 2016
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Abstract

46 47 The polar jet (PJ) and subtropical jet (STJ) often reside in different climatological latitude 48 bands. On occasion, the meridional separation between the two jets can vanish, resulting in a 49 relatively rare vertical superposition of the PJ and STJ. A large-scale environment conducive to 50 jet superposition can be conceptualized as one that facilitates the simultaneous advection of 51 tropopause-level potential vorticity (PV) perturbations along the polar and subtropical 52 waveguides towards middle latitudes. Once these PV perturbations are transported into close proximity to one another, interactions between tropopause-level, lower-tropospheric, and 53 54 diabatically-generated PV perturbations work to restructure the tropopause into the two-step, 55 pole-to-equator tropopause structure characteristic of a jet superposition. 56 This study employs piecewise PV inversion to diagnose the interactions between large-57 scale PV perturbations throughout the development of a jet superposition during the 18–20 58 December 2009 Mid-Atlantic Blizzard. While the influence of PV perturbations in the lower 59 troposphere as well as those generated via diabatic processes were notable in this case, 60 tropopause-level PV perturbations played the most substantial role in restructuring the 61 tropopause prior to jet superposition. A novel PV partitioning scheme is presented that isolates 62 PV perturbations associated with the PJ and STJ, respectively. Inversion of the jet-specific PV 63 perturbations suggests that these separate features make distinct contributions to the restructuring 64 of the tropopause that characterizes the development of a jet superposition. 65 66 67 68

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72 **1. Introduction**

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74 The atmosphere typically exhibits the three-step pole-to-equator tropopause structure 75 shown in Fig. 1a, with each break in the troppopuse height associated with a jet stream¹. The 76 polar jet (PJ) stream resides at middle latitudes in the break between the polar (~350 hPa) and 77 subtropical (~250 hPa) tropopauses and is situated atop the strongly baroclinic, tropospheric-78 deep polar front (e.g., Palmén and Newton 1948; Namias and Clapp 1949; Newton 1954; Palmén 79 and Newton 1969; Keyser and Shapiro 1986; Shapiro and Keyser 1990). The subtropical jet (STJ) stream is located equatorward of the PJ (~30°N in the Northern Hemisphere) in the break 80 81 between the subtropical tropopause and the even higher tropical tropopause (~100 hPa) and is 82 characterized by modest baroclinicity in the upper troposphere and lower stratosphere (e.g., 83 Loewe and Radok 1950; Yeh 1950; Koteswaram 1953; Mohri 1953; Koteswaram and 84 Parthasarathy 1954; Sutcliffe and Bannon 1954; Krishnamurti 1961; Riehl 1962). 85 While the separate polar and subtropical jets typically reside in different climatological 86 latitude bands, their meridional separation occasionally vanishes, resulting in a relatively rare 87 vertical superposition of the PJ and STJ (Christenson 2013). A vertical cross section through a jet 88 superposition is shown in Fig. 1b, which highlights three of the primary attributes of a 89 superposition: (1) the development of a two-step tropopause structure from polar to tropical 90 latitudes, rather than the more common three-step structure shown in Fig. 1a, (2) a consolidation 91 of the upper-tropospheric and lower-stratospheric baroclinicity associated with each jet into 92 substantially narrower zones of contrast, and (3) anomalously strong wind speeds associated with 93 the aforementioned increase in baroclinicity.

¹ Throughout this study, tropopause specifically refers to the dynamic tropopause, which is defined as a surface of constant potential vorticity (e.g., Morgan and Nielsen-Gammon 1998). In line with previous work, we select the 2-PVU surface (1 PVU = 10^{-6} K m² kg⁻¹ s⁻¹). The term, jet, will also be synonymous with jet streak in the text and refers to a zonally confined wind speed maximum along either the polar or subtropical waveguide.

94	The observations of the tropopause discussed with reference to Fig. 1 served as the
95	foundation for the objective identification scheme for the PJ, STJ, and superposed jets outlined in
96	Winters and Martin (2014). Employing that jet identification scheme as part of an analysis of the
97	historic 1–3 May 2010 Nashville Flood, Winters and Martin (2014) determined that the
98	development of a jet superposition was a critical component in the evolution of that flooding
99	event. A cursory reexamination of a number of other historical and recent high-impact weather
100	events over North America and the North Atlantic by the authors suggests that superposed jets
101	were a component of their evolution, as well (e.g., Defant 1959; Hoskins and Berrisford 1988;
102	Hakim et al. 1995, 1996; Bosart et al. 1996; Christenson 2013).
103	The association of jet superpositions with a class of high-impact weather events
104	motivated Winters and Martin (2016, hereafter WM16) to diagnose the development of a jet
105	superposition in two cases: the 18-20 December 2009 Mid-Atlantic Blizzard and the
106	aforementioned 1-3 May 2010 Nashville Flood. These cases demonstrated that elements of both
107	the antecedent remote and local synoptic environments are important to consider when
108	diagnosing the development of a superposition. A large-scale environment conducive to jet
109	superposition is broadly conceptualized in Fig. 2 as one that facilitates the simultaneous
110	advection of tropopause-level cyclonic and anticyclonic potential vorticity (PV) perturbations
111	from polar and tropical latitudes, respectively, and the subsequent horizontal juxtaposition of
112	those PV perturbations at middle latitudes.
113	Much attention in the literature has focused on the origin and characteristics of these
114	tropopause-level PV perturbations. Polar cyclonic PV perturbations, which have been referred to
115	as coherent tropopause disturbances (CTDs; Pyle et al. 2004), are typically located along the
116	polar waveguide, accompanied by a PJ on their equatorward flank (Fig. 2), and exhibit a

117 localized depression in the height of the tropopause. One particular class of CTD that has

118 received specific attention is the tropopause polar vortex (TPV), which primarily forms as a

result of an enhanced vertical gradient in radiative heating near the tropopause at polar latitudes

120 (Cavallo and Hakim 2010). As CTDs are transported towards middle latitudes by the background

121 flow within which they are embedded, they occasionally initiate surface cyclogenesis (e.g.,

122 Hakim et al. 1995, 1996; Pyle et al. 2004; Cavallo and Hakim 2010).

123 In contrast to polar latitudes, where polar cyclonic PV perturbations are manifest on the 124 tropopause as coherent vortices, tropical anticyclonic PV perturbations are not readily 125 identifiable on the troppause at tropical latitudes (i.e., Morgan and Nielsen-Gammon 1998, their 126 Fig. 2). Instead, the tropical upper-troposphere is characterized by a reservoir of uniform, low PV 127 air that is continuously replenished by mass deposition in the upper troposphere from tropical 128 convection. Once this tropical, low PV air is transported poleward via tropical plumes or the 129 presence of a low-latitude trough (e.g., Liebmann and Hartmann 1984; Roundy et al. 2010; 130 Fröhlich et al. 2013; Archambault et al. 2013, 2015; WM16), it becomes manifest as an 131 anticyclonic PV perturbation along the subtropical waveguide with a STJ positioned on its 132 poleward flank (Fig. 2). Not only are these tropical anticyclonic PV perturbations accompanied 133 by an upper-tropospheric thermodynamic environment characterized by weak static stability, but 134 also by the occasional presence of atmospheric rivers (Newell et al. 1992; Zhu and Newell 1998) 135 within the poleward-directed branch of their anticyclonic circulation. Consequently, the 136 horizontal juxtaposition of polar cyclonic and tropical anticyclonic PV perturbations at middle 137 latitudes typifies a dynamical and thermodynamic environment conducive to the production of 138 high-impact weather.

139 As polar cyclonic and tropical anticyclonic PV perturbations are transported into close 140 proximity to one another, the individual non-divergent circulations associated with each PV 141 perturbation add constructively to produce the anomalously strong upper-tropospheric wind 142 speeds associated with a superposed jet. In addition, mesoscale processes within the near-jet 143 environment, such as ageostrophic transverse circulations and proximate midlatitude convection 144 (Fig. 2), can act to locally restructure the tropopause into the two-step structure characteristic of 145 a jet superposition (WM16). While WM16 note the relevance of dynamical structures within the 146 remote synoptic environment to the production of a superposition, stronger emphasis is placed on 147 the role of mesoscale processes within the near-jet environment. Consequently, a detailed 148 understanding of the large-scale interaction between tropopause-level PV perturbations along the 149 polar and subtropical waveguides during a jet superposition event remains unresolved. 150 A particularly effective way to examine the large-scale interaction between separate PV 151 perturbations during the development of a jet superposition is to employ piecewise PV inversion, 152 which leverages the intrinsic principles of PV conservation and invertibility (e.g., Hoskins et al. 153 1985; Thorpe 1985; Robinson 1988; Holopainen and Kaurola 1991; Davis and Emanuel 1991). 154 Specifically, these principles imply that (1) the PV serves as a particularly good tracer for 155 atmospheric motion under the assumption of adiabatic and inviscid flow and (2) knowledge of a 156 PV perturbation at a particular time, along with a suitable balance condition, permits a recovery 157 of the mass and thermal fields associated with that PV perturbation. 158 Piecewise PV inversion has been employed extensively to examine a number of different 159 tropospheric processes. In particular, piecewise PV inversion has fostered insight into surface

160 cyclogenesis (e.g., Davis and Emanuel 1991; Davis 1992; Davis et al. 1993; Davis et al. 1996;

161 Nielsen-Gammon and Lefevre 1996; Morgan and Nielsen-Gammon 1998), midlatitude trough

interaction (e.g., Hakim et al. 1996), tropospheric frontogenesis (e.g., Morgan 1999; Korner and
Martin 2000), the development and movement of tropical cyclones (e.g., Wu and Emanuel
1995a,b; Shapiro 1996; Shapiro and Franklin 1999; McTaggart-Cowan et al. 2001; Shapiro and
Möller 2003), and understanding of tropical–extratropical interactions (e.g., McTaggart-Cowan
et al. 2001, 2004, Agustí-Panareda et al. 2004; Ahmadi-Givi et al. 2004; Grams et al. 2011,
2013).

168 The analysis performed by Wandishin et al. (2000), however, is of particular relevance 169 when considering the application of PV inversion to diagnose the development of a jet 170 superposition. In that study, piecewise quasi-geostrophic (QG) PV inversion was employed to 171 examine the development of an idealized tropopause break. The analysis determined that vertical 172 motion at the tropopause initiated the development of a tropopause break by vertically tilting an 173 initially flat portion of the troppause. Once the troppause exhibited a vertical slope, the 174 presence of a vertical shear acted to further tilt the tropopause in the vertical, completing the 175 production of the tropopause break (Wandishin et al. 2000; their Fig. 4). 176 For the present work, which centers on diagnosing the vertical alignment of two distinct 177 tropopause breaks, differential horizontal displacement and vertical motion are likely to play 178 important roles. As an idealized example, Fig. 3 depicts a stronger horizontal wind component 179 normal to the subtropical tropopause break than that normal to the polar tropopause break (red 180 arrows). This enables a differential horizontal displacement of the two tropopause breaks that

181 may promote their vertical alignment at a later time. In addition, vertical motion (blue arrow)

182 positioned between the polar and subtropical tropopause breaks has the capability to alter the

183 elevation of the subtropical tropopause and contribute to the production of the two-step

184 tropopause structure characteristic of a jet superposition (WM16).

185 While the nature of the interaction between tropopause-level PV perturbations along the 186 polar and subtropical waveguides, and their role in the production of a jet superposition, is of 187 particular interest to this study, it is also apparent that jet superposition events can be associated 188 with surface cyclogenesis and midlatitude convection (WM16). Consequently, a holistic 189 understanding of the process of jet superposition from a PV perspective necessitates 190 consideration of the influence that both lower-tropospheric and diabatically-generated PV 191 perturbations have on the production of a jet superposition, as well. With this in mind, the 192 forthcoming analysis isolates tropopause-level, lower-tropospheric, and diabatically-generated 193 PV perturbations during a well-established case of jet superposition analyzed by WM16. These 194 PV perturbations are subsequently inverted in an effort to diagnose the dynamical structures that 195 contribute the most towards restructuring the tropopause during a jet superposition event. 196 The remainder of this study is structured as follows. Section 2 discusses the methodology 197 employed in this study to partition the PV distribution and to perform piecewise PV inversion. 198 Section 3 applies piecewise PV inversion to a well-established case of jet superposition 199 previously examined by WM16 and Section 4 finishes with a brief discussion and some

200 conclusions.

201 2. Methodology

This study considers the development of a jet superposition during the 18–20 December 203 2009 Mid-Atlantic Blizzard, which was chosen to complement the analysis previously performed 204 on this case by WM16. For more specific information on the impacts of this case, the reader is 205 referred to WM16. Wind, temperature, geopotential height, and relative humidity data for this 206 case was acquired from National Centers for Environmental Prediction (NCEP) Global Forecast 207 System (GFS) analyses at 6-h intervals during the 6-day period, 0000 UTC 17 December 2009 –

208 0000 UTC 23 December 2009. The data has a horizontal grid spacing of $1.0^{\circ} \times 1.0^{\circ}$ and 20 209 vertical levels, with a vertical grid spacing of 50 hPa between 1000–50-hPa. This data served as 210 boundary conditions for performing the piecewise PV inversion. The details of the inversion 211 techniques are provided in the discussion that follows.

a) *PV* Partitioning Scheme

213 The degree to which insight is gained from piecewise PV inversion is highly dependent 214 upon the scheme used to partition the PV distribution. Consequently, care must be taken to 215 partition the flow into a finite number of pieces, such that each piece captures a subset of the PV 216 distribution that is associated with a particular dynamical structure. The Perturbation PV (PPV) 217 at 6-h intervals was defined as the instantaneous deviation of the full PV at a grid point from the 218 6-day Mean PV (MPV) at that same grid point. The PPV was further partitioned at each 6-h 219 interval using a slightly modified version of the three-way partition described by Korner and 220 Martin (2000).

221 A conceptual diagram illustrating this partition is shown in Fig. 4a. The Surface PV 222 (SPV) isolates the PPV at grid points in the 950–850-hPa isobaric layer with a relative humidity 223 < 70%, as well as all potential temperature perturbations (calculated against a 6-day mean for 224 each grid point) on the bottom boundary of the domain. The SPV is designed to capture the 225 impact of near-surface temperature perturbations that behave as PV perturbations along the 226 bottom boundary of the domain (Bretherton 1966). The Interior PV (IPV) isolates the PPV at 227 grid points in the 950–150-hPa isobaric layer with a relative humidity \geq 70%. The IPV is 228 designed to separate the diabatic creation and destruction of PV that accompanies latent heat 229 release. Finally, the Upper-Tropospheric PV (UTPV) captures the PPV at grid points in the 650-230 100-hPa isobaric layer with a relative humidity < 70%, as well as all temperature perturbations

on the top boundary of the domain. The UTPV isolates dry air of either stratospheric or uppertropospheric origin and captures the PPV tied to dynamical structures in the middle and upper
troposphere, including the PJ and STJ. Together, the SPV, IPV, and UTPV account for nearly all
of the PPV within the domain, except for dry air between 800–700-hPa and nearly saturated air
above 150-hPa. An examination of this residual PPV demonstrates that it is negligible and its
omission does not significantly impact the analysis.

237 While a three-way partition of the PPV provides insight into the interaction between PV 238 perturbations in the lower and upper troposphere and those generated via diabatic processes, it 239 does not separate the influence of individual PV perturbations along the polar and subtropical 240 waveguides during a jet superposition event (i.e., polar cyclonic and tropical anticyclonic PV 241 perturbations). Consequently, an additional partitioning scheme is employed that isolates the 242 PPV associated with the PJ and STJ, respectively. In the upper troposphere and lower 243 stratosphere, an individual tropopause break is characterized in Fig. 4b by the horizontal 244 juxtaposition of a positive PV perturbation on the poleward side of the tropopause break and a 245 negative PV perturbation on the equatorward side of the tropopause break (e.g., Davies and 246 Rossa 1998; Morgan and Nielsen-Gammon 1998; Pyle et al. 2004). The non-divergent 247 circulations accompanying these PV perturbations subsequently combine to drive a jet that is 248 situated parallel to its respective tropopause break. To capture these PV perturbations, the 249 partition scheme isolates the PPV associated with each jet by considering the characteristic 250 isentropic layers that contain the polar and subtropical tropopause breaks.

The isentropic layers used for the jet PV partition are subjective and are heavily dependent upon the case under consideration. For the 18–20 December 2009 Blizzard, the Polar Jet PV (PJPV) isolates the PPV at grid points in the 305–325-K isentropic layer with a relative

humidity < 70%. The implementation of a relative humidity criterion in this partition is designed
to remove the influence of proximate latent heat release when determining the flow associated
with the PJ and STJ. The Subtropical Jet PV (STJPV) isolates the PPV at grid points in the 325–
355-K isentropic layer with a relative humidity < 70%. An examination of potential temperature
on the dynamic tropopause throughout this case demonstrates that the 325-K isentrope routinely
intersected the subtropical tropopause step (Fig. 4b). Consequently, the 325-K surface served as
a suitable isentrope to differentiate between the PJPV and STJPV.

While the PJPV and STJPV are not a strict partition of the UTPV, their sum closely approximates the distribution and magnitude of the UTPV (not shown). Consequently, an examination of the three-dimensional circulations associated with the PJPV and STJPV provides insight into the nature of the interaction between PV perturbations along the polar and subtropical waveguides during the development of a jet superposition.

266 b) Piecewise PV Inversion Techniques

267 Since substantial flow curvature and diabatic processes routinely characterize jet 268 superposition events (WM16), an inversion of the Ertel PV (Ertel 1942) is more suitable for 269 diagnosing the interaction between PV perturbations during a jet superposition event than QGPV 270 inversion. For the present study, a static PV inversion was used to invert the Ertel PV for its 271 associated geopotential, ϕ , and non-divergent streamfunction, ψ . The methodology for 272 performing the inversion is identical to that described by Davis and Emanuel (1991) and the 273 reader is encouraged to consult that work for any technical details. 274 Full and piecewise static PV inversions were performed within a North American domain

Full and piecewise static PV inversions were performed within a North American domain
bounded horizontally from 130°W–50°W and 10°N–65°N and vertically by the 1000-hPa and
50-hPa isobaric surfaces. For an inversion of the full PV, the analyzed geopotential height from

277 the GFS was used to prescribe ϕ on the lateral boundaries. The boundary ψ was specified such 278 that (1) the component of the wind from the GFS analysis perpendicular to the boundary was 279 equivalent to the gradient of ψ along the boundary and (2) by ensuring that there was no net 280 divergence out of the domain. Hydrostatic balance and the vertically averaged potential 281 temperature, θ , between 1000–950 hPa (100–50 hPa) were used to determine ϕ and ψ on the 282 bottom (top) boundary of the domain. In order to converge on a solution for ϕ and ψ , negative values of PV were changed to a small positive constant (0.01 PVU; 1 PVU = 10^{-6} K m² kg⁻¹ s⁻¹) 283 284 and the static stability was not permitted to become negative.

The methodology for inverting the MPV is identical to the full PV, but with the ϕ , ψ , and θ fields from the GFS analysis replaced by a 6-day average of those variables, $\overline{\phi}$, $\overline{\psi}$, and $\overline{\theta}$, along the boundaries. Lateral and horizontal boundary conditions for an inversion of the full PPV, ϕ', ψ' , and θ' , were specified as the difference between the boundary ϕ , ψ , and θ from the full PV inversion and the MPV inversion (i.e., $\phi - \overline{\phi} = \phi'$). Lateral boundary conditions for ϕ' and ψ' were set to zero for inversions of the SPV, IPV, UTPV, PJPV, and STJPV, while θ' at the top

and bottom boundaries for these inversions was specified according to the partitioning schemediscussed in Section 2a.

A static PV inversion only returns the balanced, non-divergent flow associated with each subset of the PV distribution. Given that vertical motion can also play a substantial role in restructuring the tropopause during a jet superposition event (WM16), recovery of the balanced divergent flow associated with each subset of the PV distribution was also required. This particular task was accomplished by inverting the system of prognostic balance equations described in Davis and Emanuel (1991). This technique returned the geopotential tendency, ϕ^t ,

streamfunction tendency, ψ^t , PV tendency, q^t , velocity potential, χ , and vertical motion, ω , 299 300 associated with each subset of the PV distribution.

301 Convergence on a solution to the system of prognostic balance equations for this case required setting the lateral boundaries of ϕ^t , ψ^t , q^t , χ , and ω equal to zero, as well as the top 302 and bottom boundaries of q^t , χ , and ω equal to zero. ϕ^t and ψ^t along the top and bottom 303 304 boundaries were determined by calculating the time tendency of the hydrostatic equation and the 305 potential temperature tendency, θ^t . The latent heating term $(d\theta/dt)$ in the system of prognostic 306 balance equations was calculated following the method employed in Emanuel et al. (1987) and 307 Winters and Martin (2014). In order to converge consistently on a solution to the system of 308 prognostic balance equations, smoothing of the individual forcing terms in the ω -equation was 309 required. As for the static PV inversion, the reader is referred to Davis and Emanuel (1991) for more specific information on the system of prognostic balance equations and its inversion. 310 311 The combination of the static and prognostic PV inversion recovers the balanced three-312 dimensional flow associated with each subset of the PV distribution. The unbalanced portion of 313 the flow cannot be returned via these methods and falls into a residual term, which primarily 314 corresponds to the non-divergent component of the ageostrophic wind (e.g., Davis et al. 1996). For the case considered in this study, the unbalanced portion of the flow exceeded 20 m s⁻¹ in the 315 316 immediate vicinity of the developing superposed jet core² and was aligned anti-parallel to, and

317 was considerably weaker than, the balanced non-divergent wind (not shown). Consequently, the

318 restructuring of the tropopause accomplished by the unbalanced portion of the flow was greatly overshadowed by that of the balanced flow at all times considered. As a result, the process of

 $^{^{2}}$ Similar to this case, Davis et al. (1996) also noted that the unbalanced winds were maximized on the anticyclonic shear side of an upper-level jet stream in their analysis of the ERICA-IOP-4 storm.

superposition, insofar as it depends on rearrangement of the tropopause, was well explained bythe balanced portion of the flow.

322 3. Jet Superposition during the 18–20 December 2009 Mid-Atlantic Blizzard

a) Case Overview

324 The overview that follows mirrors that provided by WM16 and it is reproduced here 325 because of its relevance to the present study. At 0000 UTC 19 December, a confluent flow 326 pattern was situated over the eastern United States at 250-hPa with a PJ (dashed blue line) 327 located³ in northwesterly flow over the Central Plains and an STJ (red dashed line) extending 328 from Mexico northeastward over the Gulf of Mexico (Fig. 5a). The surface cyclone responsible 329 for producing blizzard conditions across the Mid-Atlantic States was characterized by a 330 minimum sea-level pressure below 1000 hPa and was positioned in a favorable location for 331 continued development beneath the left exit region of the STJ. A vertical cross section through 332 the PJ and STJ highlights the presence of a three-step tropopause structure and demonstrates that 333 the PJ and STJ were clearly distinct structures at this time (Fig. 1a). 334 During the intervening 18 h, the PJ intensified and propagated downstream into the base 335 of an upper-level trough centered over the Great Lakes, such that the PJ axis was aligned parallel 336 to the STJ at 1800 UTC 19 December (Fig. 5b). The STJ also intensified during this interval and 337 shifted poleward of its previous position into the southeastern United States. A cross section 338 through both jet structures at this time demonstrates that, while the jet axes were located in closer 339 proximity to one another, a three-step tropopause structure persisted (Fig. 9b). Additionally, the 340 surface cyclone deepened ~ 8 hPa from the prior time beneath the left exit region of the STJ, as 341 heavy snowfall continued to impact the Mid-Atlantic States in the cyclone's northwest quadrant.

 $^{^{3}}$ PJ and STJ axes shown in Fig. 5 are identical to those shown in Fig. 5 of WM16. The axes were identified in WM16 by employing the objective jet identification scheme outlined in Winters and Martin (2014).

342 By 1200 UTC 20 December, the axis of the PJ shifted southeastward, as the trough over 343 the Great Lakes continued to deepen, and the STJ migrated farther poleward into the 344 southeastern United States. The combination of these displacements resulted in a vertical 345 superposition (yellow line) of the PJ and STJ from southern Georgia northeastward to off the 346 coast of North Carolina (Fig. 5c). A cross section through the superposed jet at this time 347 indicates a marked increase in jet wind speeds, intensified upper-tropospheric and lower-348 stratospheric baroclinicity in the vicinity of the jet core, and the development of a two-step 349 tropopause structure (Fig. 1b). Beneath the left exit region of the superposed jet, the surface 350 cyclone continued to deepen rapidly off the New England coast, reaching a minimum sea-level 351 pressure below 980 hPa. The preceding discussion suggests that this case contains PV 352 perturbations associated with the PJ and STJ, as well as PV perturbations associated with the 353 surface cyclone and its extensive precipitation shield. Consequently, it is prudent to consider the 354 role played by each of these PV perturbations during the process of jet superposition. 355 For brevity, the foregoing analysis is restricted to diagnosing the displacement of the 356 tropopause at a single time, 1800 UTC 19 December, 18 h prior to superposition. The results 357 from this time were found to be representative of the entire 36-h period discussed above and 358 permit a synthesis with the previous analysis performed on this case by WM16. 359 b) Differential Horizontal Displacement of the Tropopause Breaks 360 As discussed with reference to Fig. 3, both a differential horizontal displacement of the 361 individual tropopause breaks and a vertical displacement of the tropopause steps can result in the 362 production of a superposed jet's two-step tropopause structure. To diagnose the three-363 dimensional displacement of the tropopause, PV advection (PVA) within the domain was 364 calculated by setting all values of PV < 1.5 PVU (> 2.5 PVU) equal to 1.5 PVU (2.5 PVU). This

365 ensures that any diagnosed areas of PVA were restricted to the immediate vicinity of the 2-PVU 366 surface and implied a horizontal or vertical displacement of the tropopause. The subsequent 367 analysis examines the differential horizontal displacement of the polar and subtropical 368 tropopause breaks by calculating PVA within the 1.5–2.5-PVU channel at 300- and 200-hPa, 369 respectively. These isobaric levels are particularly suitable for diagnosing the horizontal 370 displacement of the tropopause since they persistently intersect the polar and subtropical 371 tropopause breaks throughout duration of the case (Fig. 1 and Fig. 9b). 372 The PVA within the 1.5–2.5-PVU channel accomplished along the polar (blue line) and subtropical (red line) tropopause breaks by the balanced non-divergent ($\vec{V}_{nd} = k \times \nabla \psi$) and 373 divergent wind $(\vec{V}_d = \nabla \chi)$ at 1800 UTC 19 December is shown in Fig. 6. From this analysis, it is 374 375 immediately apparent that the non-divergent wind was responsible for a large majority of the 376 PVA diagnosed along each tropopause break. In particular, the polar tropopause break outlined a 377 hook-shaped region of high PV at 300-hPa over the upper Midwest and was characterized by a 378 band of negative PVA (-PVA) by the non-divergent wind from the United States/Canadian 379 border to northern Alabama and a band of positive PVA (+PVA) from the Great Lakes to the 380 Mid-Atlantic States (Fig. 6a). With virtually no PVA provided by the divergent wind at 300-hPa 381 (Fig. 6c), the PVA patterns associated with the non-divergent wind implied a downstream 382 propagation of the PV hook at 300-hPa. Importantly, the portion of the polar tropopause break 383 that paralleled the subtropical tropopause break was not characterized by substantial +PVA at 384 this time, indicating that horizontal advection was not contributing to any systematic lateral 385 displacement of the polar tropopause break towards its subtropical counterpart. 386 At 200-hPa, the subtropical tropopause break outlined the perimeter of a low-latitude 387 trough west of Mexico and extended northeastward across the Florida peninsula (Fig. 6b).

388 Localized maxima in –PVA by the non-divergent wind characterized the subtropical tropopause 389 break off the coast of South Carolina (Fig. 6b), implying a poleward shift of the subtropical 390 tropopause break over the Atlantic Ocean toward the polar tropopause break. Such movement of 391 the subtropical tropopause break contributed to the production of the superposition that occurred 392 18 h later (Fig. 5c). Farther upstream, an intermittently continuous band of +PVA by the non-393 divergent wind extended along the subtropical tropopause break from the base of the low-latitude 394 trough west of Mexico northeastward towards the Gulf Coast. While a fraction of the +PVA by 395 the non-divergent wind (Fig. 6b) was offset by a thin strip of -PVA by the divergent wind over 396 the Gulf of Mexico (Fig. 6d), the diagnosed PVA patterns at this time indicate that the 397 subtropical tropopause break was likely to either remain stationary or propagate eastward in 398 locations upstream of the Florida peninsula. Consequently, the analysis does not support a 399 superposition of the two tropopause breaks via differential horizontal displacement in locations 400 west of Florida.

401 Given that the non-divergent wind was responsible for a large fraction of the PVA 402 diagnosed along both tropopause breaks, additional insight is found by partitioning the non-403 divergent wind field via the piecewise PV inversion techniques described in Section 2. The non-404 divergent wind and PVA associated with the MPV, UTPV, and IPV are each shown in Fig. 7. 405 The SPV non-divergent wind had a negligible influence on the horizontal displacement of the 406 polar and subtropical tropopause breaks during this case and is not included in the subsequent 407 analysis. Figures 7a,b demonstrate that the MPV non-divergent wind was characterized by a 408 confluent flow pattern that accounted for a substantial fraction of the total PVA that was 409 diagnosed along both tropopause breaks in Fig. 6. The confluent flow pattern associated with the 410 MPV also conforms well with the conceptual model presented in Fig. 2 and appears to be

411 essential for positioning the polar and subtropical tropopause breaks in close proximity to one412 another.

413 The UTPV non-divergent wind was characterized by a broad cyclonic circulation that 414 was maximized in the immediate vicinity of the PV hook at 300-hPa (Figs. 7c,d). The UTPV 415 non-divergent wind was responsible for PVA along the polar tropopause break (Fig. 7c) that was 416 of similar magnitude to that forced by the MPV non-divergent wind (Fig. 7a), but opposite in 417 sign. In particular, the UTPV non-divergent wind was responsible for a strip of -PVA from 418 northern Mississippi to the Mid-Atlantic coast that was collocated with a strip of +PVA by the 419 MPV non-divergent wind. Consequently, the competing influence of the UTPV and MPV non-420 divergent wind resulted in the weak PVA that was diagnosed along the portion of the polar 421 tropopause break that paralleled the subtropical tropopause break at this time (Fig. 6a). 422 While the MPV non-divergent wind (Fig. 7b) was responsible for a large fraction of 423 +PVA diagnosed along the subtropical tropopause break west of the Florida peninsula in Fig. 6b, 424 the MPV (Fig. 7b) and UTPV (Fig. 7d) non-divergent wind combined constructively to produce 425 -PVA east of Florida. Specifically, the UTPV non-divergent wind was characterized by 426 southerly flow along the East Coast that resulted in a strip of -PVA east of South Carolina. As a 427 result, both the UTPV and MPV non-divergent wind had an influence on the diagnosed poleward 428 displacement of the subtropical tropopause break over the Atlantic Ocean (Fig. 6b) and the 429 tendency for subtropical tropopause break to more closely approach the polar tropopause break. 430 Figures 7e,f demonstrate the IPV non-divergent flow was characterized by two 431 perturbation anticyclones, with one located well off the New England coast and another situated 432 over the Gulf of Mexico. The perturbation anticyclone off the New England coast was a direct 433 product of the diabatic erosion of upper-tropospheric PV that accompanied the developing

434 surface cyclone and its extensive precipitation shield (Fig. 5). However, it is apparent at this time 435 that this perturbation anticyclone was positioned too far downstream to have an influence on the 436 lateral displacement of the polar and subtropical tropopause breaks over the southeastern United 437 States. In contrast, the perturbation anticyclone over the Gulf of Mexico was more favorably 438 located to displace the subtropical tropopause break (Fig. 7f) and was associated with the 439 outflow from persistent tropical convection downstream of the low-latitude trough (WM16; their 440 Figs. 6,8). Despite its favorable location, however, the weak –PVA by the IPV non-divergent 441 wind along the subtropical tropopause break over Mexico (Fig. 7f) was strongly outweighed by 442 the +PVA accomplished by the MPV non-divergent wind (Fig. 7b). 443 The substantial influence of the UTPV non-divergent wind on the horizontal 444 displacement of the tropopause breaks at this time motivates an examination of the non-divergent 445 wind associated with the PJPV and STJPV. Recall that the PJPV and STJPV are not a strict 446 partition of the UTPV, but closely approximate its distribution. The PJPV non-divergent wind 447 was characterized by a perturbation cyclone that was centered squarely on the PV hook at 300-448 hPa (Fig. 8a). Furthermore, the non-divergent wind associated with the PJPV was maximized on 449 the southernmost edge of the PV hook at this time, coincident with the location of the PJ axis in 450 Fig. 5b. Figure 8a demonstrates that the PJPV non-divergent wind contributed substantially to 451 PVA along the polar tropopause break as well, with PVA of the same sign and in the same 452 locations as shown in Fig. 7c. The strength of the PJPV non-divergent wind was markedly 453 weaker at 200-hPa, however, due to the strong static stability residing above the isentropic layer 454 used to isolate the PJPV (Fig. 4b). Consequently, the PJPV non-divergent wind only accounted 455 for weak –PVA along the subtropical tropopause break off the coast of South Carolina (Fig. 8b).

456 The STJPV non-divergent wind was maximized in the vicinity of the STJ axis along the 457 subtropical tropopause break (Figs. 8c,d) and bore a great deal of qualitative similarity to the 458 UTPV's non-divergent wind pattern (Figs. 7c,d). At 300-hPa, the STJPV non-divergent wind 459 was associated with PVA patterns along the polar troppause break (Fig. 8c) that were nearly 460 identical to those associated with the PJPV (Fig. 8a), which suggests that both the PJPV and 461 STJPV non-divergent wind had a comparable influence on the diagnosed lateral displacement of 462 the polar tropopause break by the UTPV non-divergent wind. However, Fig. 8d shows that the 463 STJPV non-divergent wind accounted for nearly all of the PVA diagnosed along the subtropical 464 tropopause break in Fig. 7d. As a result, it appears that the non-divergent circulation associated 465 with the STJPV had a greater ability to laterally displace *both* the polar and subtropical 466 tropopause breaks.

467

c) Vertical Displacement of the Tropopause Breaks

468 Overall, the analysis at this time suggests that jet superposition via differential horizontal 469 displacement of the two tropopause breaks was only possible off the coast of South Carolina. 470 Recall from Fig. 5c, however, that the two jets became superposed as far west as southern 471 Georgia at 1200 UTC 20 December. Consequently, the analysis must also consider the vertical 472 displacement of the tropopause accomplished by the balanced vertical motion field. The 473 balanced vertical motion field at 1800 UTC 19 December was characterized by a strip of 474 subsidence at 400-hPa that was positioned squarely between the polar and subtropical tropopause 475 breaks (Fig. 9a). Furthermore, a vertical cross section through both tropopause breaks indicates 476 that the subsidence was positioned directly on and beneath the subtropical troppause step and 477 polar tropopause break (Fig. 9b). This subsidence was responsible for a band of +PVA along the

tropopause that favored a downward displacement of the tropopause and an erosion of thesubtropical tropopause step, both of which would be conducive to jet superposition.

480 As for the non-divergent wind, the vertical motion can be partitioned by employing a 481 piecewise inversion of the prognostic balance equations. While the MPV non-divergent wind had 482 a substantial influence on horizontally displacing the polar and subtropical tropopause breaks, 483 Fig. 10a indicates that the MPV only accounted for a small fraction of the subsidence diagnosed 484 between the two tropopause breaks. In contrast, the UTPV was associated with a continuous 485 band of subsidence that extended along the polar tropopause break from Kansas to the East Coast 486 (Fig. 10b), most of which was attributable to the PJPV (Fig. 10e) rather than the STJPV (Fig. 487 10f). The IPV (Fig. 10c) and SPV (Fig. 10d) were also associated with substantial subsidence 488 between the two tropopause breaks, with most of the subsidence confined to the southeastern 489 United States and in the immediate vicinity of the surface cyclone. Consequently, the analysis 490 suggests that PV perturbations associated with the PJ, the surface cyclone, and the surface 491 cyclone's precipitation shield were most responsible for the production of subsidence that would 492 favor jet superposition.

493 WM16 demonstrated a large fraction of the subsidence observed between the two jet 494 cores at this time was attributable to the ageostrophic transverse circulation associated with the 495 double jet structure (their Figs. 10c,d). An advantage afforded by the piecewise PV inversion 496 techniques employed in this study is the ability to partition the ageostrophic transverse 497 circulation diagnosed by WM16 and to identify the dynamical structures most responsible for its 498 production. The ageostrophic transverse circulation can be partitioned using the piecewise form 499 of the Sawyer–Eliassen circulation equation (Sawyer 1956; Eliassen 1962) proposed by Morgan 500 (1999):

501
$$\left(\gamma\frac{\partial\theta}{\partial p}\right)\frac{\partial^2\psi_{se}}{\partial y^2} + \left(2\frac{\partial M}{\partial p}\right)\frac{\partial^2\psi_{se}}{\partial y\partial p} + \left(-\frac{\partial M}{\partial y}\right)\frac{\partial^2\psi_{se}}{\partial p^2} = 2\gamma\left(\frac{\partial U'_g}{\partial y}\frac{\partial\theta}{\partial x} + \frac{\partial V'_g}{\partial y}\frac{\partial\theta}{\partial y}\right)$$
(1)

where γ is a constant on isobaric surfaces [$\gamma = (R/fp_o)(p_o/p)^{c_v/c_p}$], $p_o=1000$ hPa, $c_v=718$ J kg⁻¹ 502 K^{-1} , $c_p = 1004 \text{ J kg}^{-1} \text{ K}^{-1}$, R is the gas constant for dry air, θ is the potential temperature, and f is 503 the Coriolis parameter. M is defined as the absolute geostrophic momentum and U'_g and V'_g are 504 the perturbation geostrophic wind components recovered from an inversion of a subset of the PV 505 distribution ($\vec{V_g'} = \frac{1}{f} \hat{k} \times \nabla \phi'$). The ageostrophic transverse circulation lies in a vertical plane 506 perpendicular to the jet axes and is determined by the Sawyer–Eliassen streamfunction, ψ_{se_1} such 507 508 that the across-jet ageostrophic wind and vertical motion are defined as $v_{ag} = -\partial \psi_{se}/\partial p$ and $\omega = dp/dt = \partial \psi_{se}/\partial y$, respectively. 509

510 The technique for partitioning the ageostrophic tranverse circulation consists of isolating 511 the geostrophic wind associated with each subset of the PV distribution and using those 512 components of the geostrophic wind to calculate the right hand side of (1). Solution to (1) then 513 proceeds by using the full distribution of θ and M to calculate the coefficients on the left hand 514 side of (1) and by employing an identical method for inversion as outlined in WM16. Given that 515 all of the operators in (1) are linear, the ageostrophic transverse circulations associated with each 516 subset of the PV distribution add together to produce the full ageostrophic transverse circulation 517 forced by the total geostrophic wind. The reader is referred to Eliassen (1962) or Keyser and 518 Shapiro (1986) for a more detailed discussion of the Sawyer-Eliassen circulation equation and to 519 Morgan (1999) for a discussion on the piecewise form of the equation. 520 Figure 11a shows the ageostrophic transverse circulation within the cross section C–C' in

521 Fig. 5b that was calculated using the total geostrophic wind from the full PV inversion.

522 Importantly, the circulation in Fig. 11a is nearly identical that shown in Fig. 10c of WM16,

523 which was computed using the geostrophic wind field from the GFS analysis. The ageostrophic 524 transverse circulation in Fig. 11a was responsible for a substantial fraction of the +PVA 525 diagnosed along the tropopause in Fig. 9b, as the subsidence driven by the ageostrophic 526 transverse circulation was favorably located on and beneath the subtropical tropopause step. A 527 partition of the ageostrophic transverse circulation into the piecewise circulations forced by the 528 MPV (Fig. 11b) and PPV (Fig. 11c) geostrophic wind demonstrates that a majority of the +PVA 529 and subsidence in Fig. 11a was associated with the ageostrophic transverse circulation tied to the 530 PPV.

531 The ageostrophic transverse circulation associated with the PPV can be further 532 partitioned into the individual circulations forced by the UTPV, IPV, and SPV geostrophic wind. 533 Figure 12 indicates that the largest fraction of the PPV's ageostrophic transverse circulation was 534 forced by the UTPV geostrophic wind (Fig. 12a), with minor and negligible contributions from 535 the transverse circulations forced by the IPV (Fig. 12b) and SPV (Fig. 12c) geostrophic wind, 536 respectively⁴. This result aligns well with the partition of the complete vertical motion field 537 shown in Fig. 10, which attributed the greatest amount of subsidence between the polar and 538 subtropical tropopause breaks to the UTPV in the vicinity of the cross section, C-C'. The UTPV 539 transverse circulation can be further divided into the ageostrophic transverse circulations 540 associated with the PJPV (Fig. 12d) and STJPV (Fig. 12e). Notably, a comparison between Fig. 541 12d and Fig. 12e indicates that a greater fraction of the UTPV's ageostrophic transverse 542 circulation was associated with the PJPV. Consequently, the analysis provides additional 543 evidence indicating that the flow associated with the PJPV had a greater ability to vertically 544 restructure the tropopause than the flow associated with the STJPV.

⁴ Vertical cross sections taken further downstream at this time show much more substantial contributions from the ageostrophic transverse circulations associated with the IPV and SPV, consistent with the analysis in Fig. 10.

545 **4. Discussion**

546 The preceding analysis provides additional support for the results in WM16 and for the 547 influential role that vertical motion, and particularly ageostrophic transverse circulations, played 548 in the production of a jet superposition during the 18–20 December 2009 Mid-Atlantic Blizzard. 549 While a vertical displacement of the subtropical tropopause step appears to be the leading 550 characteristic of this event, the PV analysis also suggests that a differential horizontal 551 displacement of the polar and subtropical tropopause breaks aided in the development of a 552 superposed jet east of the Florida peninsula. The dominant role played by vertical displacement 553 in this case may not be representative of all superposition cases, however, as it is possible that 554 other cases may be more strongly characterized by a differential horizontal displacement of the 555 tropopause breaks. Consequently, a more comprehensive examination of superposition events is 556 required to ascertain the mode through which jet superpositions develop most frequently over 557 North America.

558 A novel perspective provided by this analysis was the ability to partition the flow and to 559 attribute the development of a superposed jet to dynamical structures present throughout the 560 troposphere and lower stratosphere during the event. In particular, the MPV non-divergent wind 561 was characterized by a large-scale, confluent flow pattern over the eastern United States that 562 aligned well with the conceptual model presented in Fig. 2. This confluent flow pattern was 563 essential in transporting PV perturbations along both the polar and subtropical waveguides 564 towards middle latitudes where they could interact with one another to restructure the tropopause 565 and produce a superposition. Additional work is underway to examine whether the presence of a 566 large-scale, confluent flow pattern is a common element of jet superposition events over North 567 America and to evaluate the variability in upstream flow patterns that are conducive to jet

568 superpositions. The ability to identify large-scale flow patterns that favor the development of jet 569 superposition events could aid in identifying particular forecast periods that present an increased 570 likelihood for jet superpositions and, consequently, for the development of high-impact weather.

571 Aside from the role played by the MPV non-divergent wind, the three-dimensional 572 circulation associated with the UTPV accounted for the largest fraction of the PVA diagnosed 573 along the tropopause at 1800 UTC 19 December. This result implies that PV perturbations along 574 the polar and subtropical waveguides had the greatest influence on restructuring the tropopause 575 during the event. While the IPV and SPV non-divergent wind did not impact the horizontal 576 displacement of the tropopause breaks, the IPV and SPV contributed to the subsidence diagnosed 577 between the two tropopause breaks. Consequently, the surface cyclone off the East Coast, and its 578 associated diabatic heating, played a less substantial, though important role in the development 579 of the superposition.

580 It is possible, however, that the SPV and IPV may play a larger role in restructuring the 581 tropopause during superposition cases with more intense cyclogenesis and proximate latent heat 582 release. Furthermore, the influence of the divergent wind was found to be minimal during the 583 18–20 December 2009 Blizzard. However, WM16 demonstrated that cases with extensive 584 midlatitude convection in the vicinity of a double jet structure, such as the 1-3 May 2010 585 Nashville Flood, can be characterized by much stronger horizontal displacement of the 586 tropopause by the upper-tropospheric divergent wind. Consequently, a greater sampling of jet 587 superposition events is required to describe the characteristic types of interactions between PV 588 perturbations during jet superposition events.

The substantial role played by the three-dimensional circulation associated with theUTPV in this case motivated isolating the influence of PV perturbations along the polar and

591 subtropical waveguides. Interestingly, the analysis demonstrated that the STJPV non-divergent 592 wind had a stronger ability to horizontally restructure the tropopause than the PJPV non-593 divergent wind. Physically, the PJPV non-divergent wind was limited in its ability to displace the 594 subtropical tropppause break because of the strong static stability residing above the isentropic 595 layer used to isolate PV perturbations along the polar waveguide (Fig. 4). Consequently, the 596 penetration depth of the PJPV's non-divergent circulation above the polar tropopause break was 597 extremely shallow. In contrast, the STJPV's non-divergent circulation was characterized by a 598 deeper penetration depth below the isentropic layer used to isolate PV perturbations along the 599 subtropical waveguide, given the weaker static stability of the upper troposphere. This contrast in 600 the vertical extent of the non-divergent circulations associated with the PJPV and STJPV 601 permitted the STJPV non-divergent wind to have a stronger influence on horizontally displacing 602 both tropopause breaks.

603 An examination of the vertical motion associated with the PJPV and STJPV indicated 604 that the three-dimensional circulation associated with the PJPV had a stronger ability to 605 vertically restructure the tropopause. Some insight into the difference between the vertical 606 motion field associated with the PJPV and STJPV is found by considering the forcing terms on 607 the right hand side of the Sawyer–Eliassen circulation equation. Given that stronger baroclinicity typically resides beneath the PJ, the PJPV geostrophic wind is maximized in the immediate 608 609 vicinity of the strongest tropospheric baroclinicity, by definition. In contrast, the STJPV's 610 horizontal geostrophic circulation has to penetrate downward and laterally before it can interact 611 with the strongest baroclinicity. Consequently, the PJPV geostrophic wind forces a stronger 612 response from the Sawyer-Eliassen circulation equation than the STJPV geostrophic wind and 613 correspondingly more intense subsidence beneath the subtropical tropopause step. The results

from this case imply broadly that PV perturbations along the polar and subtropical waveguidesmay have distinctly different roles with respect to their ability to restructure the tropopause.

616 However, additional evidence is required to verify this claim.

617 Finally, the techniques employed within this study offer a novel perspective from which 618 to examine a number of different tropospheric phenomena. For example, the jet PV partition can 619 be employed to further corroborate the results of Martius et al. (2010), who found that wave 620 activity can be transferred from one waveguide to another, and to more broadly examine the 621 nature of the interaction between the polar and subtropical waveguides. The jet PV partition also 622 holds promise in its ability to interrogate a number of different phenomena that may occur within 623 an environment characterized by multiple jet structures, such as surface cyclogenesis and 624 extratropical transition. Lastly, the piecewise inversion of the Sawyer-Eliassen circulation 625 equation employed in this study has potential to provide richer detail into the nature of transverse 626 frontal circulations.

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628 Acknowledgments

629 This work was funded by the National Science Foundation as part of the first author's

630 dissertation research under the grant, AGS-1265182.

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797 Figure Captions

798 FIG. 1. (a) Vertical cross section, A–A' in Fig. 5a, through separate polar and subtropical jet 799 cores at 0000 UTC 19 December 2009, with potential temperature contoured in red every 5-K. wind speed shaded following the legend in m s^{-1} , and the 2-PVU surface contoured with the 800 801 thick blue line. 'PJ' and 'STJ' identify a polar and subtropical jet core, respectively, and the 802 individual tropopause steps are labeled accordingly. (b) As in (a), but for the vertical cross 803 section, B–B' in Fig. 5c, through a superposed jet at 1200 UTC 20 December 2009. 804 805 FIG. 2. Conceptual diagram summarizing the development of a jet superposition. The orange 806 arrows depict the branches of an ageostrophic transverse circulation, the green circle identifies an 807 area of convection, and the +(-) symbol corresponds to the center of a polar cyclonic (tropical 808 anticyclonic) PV perturbation, with the blue (red) arrow indicating the movement that particular 809 perturbation towards middle latitudes. The purple fill pattern corresponds to isotachs, with the 810 darker shade of purple identifying faster wind speeds. The locations of the polar jet ('PJ'), 811 subtropical jet ('STJ'), and superposed jets are labeled accordingly. For additional information 812 on interpretation, please refer to the discussion in the text.

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FIG. 3. Conceptual diagram illustrating the two ways a three-step tropopause can be restructured into the two-step tropopause characteristic of a superposed jet. The thick black line corresponds to the 2-PVU surface within the cross section A–A' in Fig. 5a, with the gray shading identifying the stratosphere. The red arrows correspond to the horizontal displacement of an individual tropopause break and the blue arrows identify a vertical displacement of the subtropical tropopause step.

821 FIG. 4. (a) Conceptual schematic of the three-way partitioning scheme for the Perturbation PV 822 overlaid on top of the cross section A-A' in Fig. 5a. Potential temperature is contoured in red 823 every 5-K, the 2-PVU surface is contoured with the thick black line, and the gray shading 824 identifies the stratosphere. Each box in the cross section corresponds to a subset of the PV 825 distribution and is drawn such that the top and bottom boundaries of the box identify the isobaric 826 layer used to isolate that subset of the PV distribution. The relative humidity (RH) criterion also 827 used to isolate each subset of the PV distribution is provided within each box. (b) Similar 828 conventions as in (a) but for the jet PV partitioning scheme. The + and - symbols correspond to 829 positive and negative PV perturbations, respectively, and the locations of the polar ('PJ') and 830 subtropical ('STJ') jets are labeled accordingly. The blue (red) shading identifies the isentropic 831 layer used to isolate the Polar Jet PV (Subtropical Jet PV). 832 FIG. 5. 250-hPa wind speed is shaded according to the legend in m s^{-1} , 250-hPa geopotential 833

below 1000 hPa, the location of the surface cyclone is identified with the red 'L', the

precipitation shield associated with the surface cyclone is shaded in green, and the jet axes are

height is contoured in black every 12 dam, sea level pressure is contoured in green every 4 hPa

identified according to the legend at (a) 0000 UTC 19 December 2009, (b) 1800 UTC 19

838 December 2009, and (c) 1200 UTC 20 December 2009.

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FIG. 6. PV advection at 1800 UTC 19 December 2009 within the 1.5–2.5-PVU channel by the non-divergent wind is shaded following the legend in 10^{-5} PVU s⁻¹ at (a) 300-hPa and (b) 200hPa, with the non-divergent streamfunction contoured in black every 120×10^{5} m² s⁻¹. PV

advection within the 1.5–2.5-PVU channel by the divergent wind is shaded as in (a,b) at (c) 300hPa and (d) 200-hPa, with the divergent wind in excess of 5 m s⁻¹ plotted with vectors. The 2PVU surface at 300-hPa (200-hPa) is contoured in all panels with the blue (red) line and
corresponds to the location of the polar (subtropical) tropopause break.

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FIG. 7. PV advection at 1800 UTC 19 December 2009 within the 1.5–2.5-PVU channel by the non-divergent wind associated with the (a,b) Mean PV, (c,d) Upper-Tropospheric PV, and (e,f) Interior PV at 300-hPa and 200-hPa, respectively. Conventions are identical to those in Fig. 6, except with the streamfunction now contoured in black (negative values dashed) every 120×10^5 m² s⁻¹ in (a,b) and every 60×10^5 m² s⁻¹ in (c–f). The red 'L's (blue 'H's) correspond to local minima (maxima) in streamfunction.

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FIG. 8. PV advection at 1800 UTC 19 December 2009 within the 1.5–2.5-PVU channel by the non-divergent wind associated with the (a,b) Polar Jet PV and (c,d) Subtropical Jet PV at 300hPa and 200-hPa, respectively. Conventions are identical to those in Fig. 6, except with the streamfunction now contoured in black (negative values dashed) every 30×10^5 m² s⁻¹. The red 'L's (blue 'H's) correspond to local minima (maxima) in streamfunction.

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FIG. 9. (a) 400-hPa balanced vertical motion shaded according to the legend in dPa s⁻¹ at 1800 UTC 19 December 2009. The 2-PVU surface at 300-hPa (200-hPa) is contoured with the blue

863 (red) line and corresponds to the polar (subtropical) tropopause break. (b) Vertical cross section,

864 C–C' in (a), with potential temperature contoured in red every 5-K, wind speeds shaded

according to the legend in m s⁻¹, subsidence shaded according the legend in dPa s⁻¹, the 1.5-PVU

- surface contoured with the blue line, and PV advection within the 1.5–2.5-PVU channel
- 867 accomplished by the sum of the vertical motion and horizontal divergent wind fields contoured

868 in yellow (negative values dashed) every 1×10^{-5} PVU s⁻¹.

- 869
- 870 FIG. 10. 400-hPa balanced vertical motion associated with the (a) Mean PV, (b) Upper-
- 871 Tropospheric PV, (c) Interior PV, (d) Surface PV, (e) Polar Jet PV, and (f) Subtropical Jet PV at
 872 1800 UTC 19 December 2009. Conventions are identical to those in Fig 9a.
- 873

874 FIG. 11. Vertical cross section, C–C' in Fig. 5c, of the Sawyer–Eliassen streamfunction at 1800

UTC 19 December 2009 associated with the (a) Full PV, (b) Mean PV, and (c) Perturbation PV,

876 contoured in black (negative values dashed) every 300 m hPa s^{-1} , potential temperature

877 contoured in red every 5-K, wind speed shaded according to the legend in m s^{-1} , the 1.5-PVU

878 surface contoured in blue, subsidence associated with the Sawyer-Eliassen circulation shaded

according to the legend, and PV advection within the 1.5–2.5-PVU channel by the Sawyer–

Eliassen circulation contoured in yellow (negative values dashed) every 1×10^{-5} PVU s⁻¹. The

arrowheads plotted on the streamfunction contours indicate the sense of the Sawyer–Eliassencirculation.

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FIG. 12. Vertical cross section, C–C' in Fig. 5c, of the Sawyer–Eliassen streamfunction at 1800
UTC 19 December 2009 associated with the (a) Upper-Tropospheric PV, (b) Interior PV, (c)
Surface PV, (d) Polar Jet PV, and (e) Subtropical Jet PV contoured in black (negative values
dashed) every 100 m hPa s⁻¹. All other conventions are identical to those in Fig. 11.

889 Figures890



FIG. 1. (a) Vertical cross section, A–A' in Fig. 5a, through separate polar and subtropical jet cores at 0000 UTC 19 December 2009, with potential temperature contoured in red every 5-K, wind speed shaded following the legend in m s⁻¹, and the 2-PVU surface contoured with the thick blue line. 'PJ' and 'STJ' identify a polar and subtropical jet core, respectively, and the individual tropopause steps are labeled accordingly. (b) As in (a), but for the vertical cross section, B–B' in Fig. 5c, through a superposed jet at 1200 UTC 20 December 2009.



FIG. 2. Conceptual diagram summarizing the development of a jet superposition. The orange arrows depict the branches of an ageostrophic transverse circulation, the green circle identifies an area of convection, and the +(-) symbol corresponds to the center of a polar cyclonic (tropical anticyclonic) PV perturbation, with the blue (red) arrow indicating the movement that particular perturbation towards middle latitudes. The purple fill pattern corresponds to isotachs, with the darker shade of purple identifying faster wind speeds. The locations of the polar jet ('PJ'), subtropical jet ('STJ'), and superposed jets are labeled accordingly. For additional information on interpretation, please refer to the discussion in the text.



FIG. 3. Conceptual diagram illustrating the two ways a three-step tropopause can be restructured
into the two-step tropopause characteristic of a superposed jet. The thick black line corresponds
to the 2-PVU surface within the cross section A–A' in Fig. 5a, with the gray shading identifying
the stratosphere. The red arrows correspond to the horizontal displacement of an individual
tropopause break and the blue arrows identify a vertical displacement of the subtropical
tropopause step.

FIG. 4. (a) Conceptual schematic of the three-way partitioning scheme for the Perturbation PV overlaid on top of the cross section A-A' in Fig. 5a. Potential temperature is contoured in red every 5-K, the 2-PVU surface is contoured with the thick black line, and the gray shading identifies the stratosphere. Each box in the cross section corresponds to a subset of the PV distribution and is drawn such that the top and bottom boundaries of the box identify the isobaric layer used to isolate that subset of the PV distribution. The relative humidity (RH) criterion also used to isolate each subset of the PV distribution is provided within each box. (b) Similar conventions as in (a) but for the jet PV partitioning scheme. The + and – symbols correspond to positive and negative PV perturbations, respectively, and the locations of the polar ('PJ') and subtropical ('STJ') jets are labeled accordingly. The blue (red) shading identifies the isentropic layer used to isolate the Polar Jet PV (Subtropical Jet PV).

FIG. 5. 250-hPa wind speed is shaded according to the legend in m s⁻¹, 250-hPa geopotential height is contoured in black every 12 dam, sea level pressure is contoured in green every 4 hPa below 1000 hPa, the location of the surface cyclone is identified with the red 'L', the

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1003 precipitation shield associated with the surface cyclone is shaded in green, and the jet axes are

- 1004 identified according to the legend at (a) 0000 UTC 19 December 2009, (b) 1800 UTC 19
- 1005 December 2009, and (c) 1200 UTC 20 December 2009.
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- 1008 1009
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FIG. 6. PV advection at 1800 UTC 19 December 2009 within the 1.5-2.5-PVU channel by the non-divergent wind is shaded following the legend in 10^{-5} PVU s⁻¹ at (a) 300-hPa and (b) 200-

- 1019 hPa, with the non-divergent streamfunction contoured in black every 120×10^5 m² s⁻¹. PV 1020 advection within the 1.5–2.5-PVU channel by the divergent wind is shaded as in (a,b) at (c) 300-
- hPa and (d) 200-hPa, with the divergent wind in excess of 5 m s⁻¹ plotted with vectors. The 2-
- 1022 PVU surface at 300-hPa (200-hPa) is contoured in all panels with the blue (red) line and
- 1023 corresponds to the location of the polar (subtropical) tropopause break.

1032FIG. 7. PV advection at 1800 UTC 19 December 2009 within the 1.5–2.5-PVU channel by the1033non-divergent wind associated with the (a,b) Mean PV, (c,d) Upper-Tropospheric PV, and (e,f)1034Interior PV at 300-hPa and 200-hPa, respectively. Conventions are identical to those in Fig. 6,1035except with the streamfunction now contoured in black (negative values dashed) every 120×10^5 1036m² s⁻¹ in (a,b) and every 60×10^5 m² s⁻¹ in (c-f). The red 'L's (blue 'H's) correspond to local1037minima (maxima) in streamfunction.

FIG. 8. PV advection at 1800 UTC 19 December 2009 within the 1.5–2.5-PVU channel by the non-divergent wind associated with the (a,b) Polar Jet PV and (c,d) Subtropical Jet PV at 300hPa and 200-hPa, respectively. Conventions are identical to those in Fig. 6, except with the streamfunction now contoured in black (negative values dashed) every 30×10^5 m² s⁻¹. The red

- 1044 streamfunction now contoured in black (negative values dashed) every 30×10^5 m² s⁻¹. The 1045 'L's (blue 'H's) correspond to local minima (maxima) in streamfunction.

FIG. 9. (a) 400-hPa balanced vertical motion shaded according to the legend in dPa s^{-1} at 1800 UTC 19 December 2009. The 2-PVU surface at 300-hPa (200-hPa) is contoured with the blue (red) line and corresponds to the polar (subtropical) tropopause break. (b) Vertical cross section, C-C' in (a), with potential temperature contoured in red every 5-K, wind speeds shaded according to the legend in m s⁻¹, subsidence shaded according the legend in dPa s⁻¹, the 1.5-PVU surface contoured with the blue line, and PV advection within the 1.5–2.5-PVU channel accomplished by the sum of the vertical motion and horizontal divergent wind fields contoured in vellow (negative values dashed) every 1×10^{-5} PVU s⁻¹.

1090 FIG. 10. 400-hPa balanced vertical motion associated with the (a) Mean PV, (b) Upper-

1091 Tropospheric PV, (c) Interior PV, (d) Surface PV, (e) Polar Jet PV, and (f) Subtropical Jet PV at 1092 1800 UTC 19 December 2009. Conventions are identical to those in Fig 9a.

FIG. 11. Vertical cross section, C-C' in Fig. 5c, of the Sawyer-Eliassen streamfunction at 1800 UTC 19 December 2009 associated with the (a) Full PV, (b) Mean PV, and (c) Perturbation PV, contoured in black (negative values dashed) every 300 m hPa s^{-1} , potential temperature contoured in red every 5-K, wind speed shaded according to the legend in m s^{-1} , the 1.5-PVU surface contoured in blue, subsidence associated with the Sawyer-Eliassen circulation shaded according to the legend, and PV advection within the 1.5-2.5-PVU channel by the Sawyer-Eliassen circulation contoured in yellow (negative values dashed) every 1×10^{-5} PVU s⁻¹. The arrowheads plotted on the streamfunction contours indicate the sense of the Sawyer-Eliassen circulation.

- 1114 **FIG. 12.** Vertical cross section, C–C' in Fig. 5c, of the Sawyer–Eliassen streamfunction at 1800
- 1115 UTC 19 December 2009 associated with the (a) Upper-Tropospheric PV, (b) Interior PV, (c)
- 1116 Surface PV, (d) Polar Jet PV, and (e) Subtropical Jet PV, contoured in black (negative values
- 1117 dashed) every 100 m hPa s⁻¹. All other conventions are identical to those in Fig. 11, except that 1118 PV advection is now contoured in yellow (negative values dashed) every 0.5×10^{-5} PVU s⁻¹.