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Synoptic and Mesoscale Processes Supporting Vertical Superposition of the Polar and Subtropical Jets in Two Contrasting Cases

By

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45 **Abstract**

46
47 Observational studies have shown that the tropopause characteristically exhibits a three-
48 step pole-to-equator structure, with each break between steps in the tropopause height associated
49 with a jet stream. While the two jet streams, the polar and subtropical jets, typically occupy
50 different latitude bands, their separation can occasionally vanish, resulting in a relatively rare
51 vertical superposition of the two jets. A cursory examination of several historical and recent
52 high-impact weather events over North America and the North Atlantic suggests that superposed
53 jets are a component of their evolution. This study examines the processes that support the
54 production of a polar/subtropical jet superposition during two such events; the 18–20 December
55 2009 Mid-Atlantic Blizzard and the 1–3 May 2010 Nashville Flood.

56 Given that ageostrophic transverse circulations and convection have both been shown to
57 be capable of restructuring the tropopause within a single jet environment, the analysis focuses
58 on the role these same processes play within the more complex double jet environment. The
59 results demonstrate that ageostrophic transverse circulations play a primary role in the
60 production of a superposition during the December 2009 case by placing subsidence, and a
61 downward protrusion of high potential vorticity (PV) air, between the two jet cores, thereby
62 contributing to the production of the single, steep tropopause wall characteristic of the
63 superposed jet environment. Furthermore, convection fundamentally influences the existence and
64 structure of the subtropical jet stream in both cases, through its associated latent heat release and
65 irrotational outflow as well as the geostrophic adjustment process that responds to upper-
66 tropospheric mass deposition from convection on the anticyclonic shear side of the jet.

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71 **1. Introduction**

72
73 Narrow, rapidly flowing currents of air located near the tropopause are known as jet
74 streams or jets. These jets, often found nearly girdling the globe while exhibiting large
75 meridional meanders, are among the most recognizable structural characteristics within the
76 Earth’s atmosphere. Careful observational work by Defant and Taba (1957, hereafter DT57) was
77 one of the first to demonstrate that the location of these jets is intricately related to the structure
78 of the tropopause. Specifically, they found that the atmosphere typically exhibits the three-step
79 pole-to-equator tropopause structure¹ shown in Fig. 1, wherein each step is separated from its
80 neighbors by the presence of a westerly wind maximum (Winters and Martin 2014, their Fig. 2).
81 In particular, the subtropical jet resides within the break between the tropical (~90 hPa) and
82 subtropical tropopause (~250 hPa) at the poleward edge of the Hadley cell and is characterized
83 by relatively modest baroclinicity in the upper troposphere and lower stratosphere (e.g., Loewe
84 and Radok 1950; Yeh 1950; Koteswaram 1953; Mohri 1953; Koteswaram and Parthasarathy
85 1954; Sutcliffe and Bannon 1954; Krishnamurti 1961; Riehl 1962). The polar jet is located
86 farther poleward (~50°N) in the break between the subtropical tropopause and the even lower
87 polar tropopause (~300 hPa) and is positioned atop the strongly baroclinic, tropospheric-deep
88 polar front (e.g., Palmén and Newton 1948; Namias and Clapp 1949; Newton 1954; Palmén and
89 Newton 1969; Keyser and Shapiro 1986; Shapiro and Keyser 1990).

90 A particularly insightful element of the DT57 analysis was their construction of
91 hemispheric maps of tropopause height (in hPa). One such map, shown in Fig. 2 (Winters and
92 Martin 2014, their Fig. 3), demonstrates that the location of the individual tropopause breaks, and
93 thus the jets at any particular time, are characterized by sharp, localized, and easily identifiable

¹ DT57 identified the tropopause via the analysis of soundings. The tropopause was identified at the elevation of a “noticeable change of tropospheric lapse rate to an isothermal layer or to an increase of temperature with height.” (DT57, p. 261)

94 gradients in tropopause height. While the analysis indicates that the polar and subtropical jets
95 typically occupy different latitude bands, substantial meanders in their location are common.
96 Occasionally, the characteristic meridional separation between the two jets can vanish, as it does
97 in Fig. 2 in the area bounded by the black circle over the North Atlantic, where the polar and
98 subtropical jets vertically superpose.² The consequences of such a vertical superposition are the
99 development of a two-step tropopause structure from the tropics to high latitudes, rather than the
100 more common three-step structure represented in Fig. 1, and a consolidation of the upper-
101 tropospheric and lower-stratospheric baroclinicity associated with each jet into a substantially
102 narrower zone of contrast. Together, these observations of the tropopause by DT57 are
103 specifically identified as the theoretical foundation to an objective jet identification scheme
104 outlined in Winters and Martin (2014, hereafter WM14).

105 Employing that jet identification scheme as part of an analysis of the 1–3 May 2010
106 Nashville Flood, WM14 found that the event was characterized by the development of a
107 superposed jet. As the jets became superposed, WM14 diagnosed a strengthening of the
108 associated ageostrophic transverse circulation. The low-level, poleward-directed branch of this
109 circulation was subsequently found to make the primary contribution to an observed increase in
110 poleward moisture flux over the southeastern United States that preceded the second day of
111 heavy rainfall characterizing the 2010 Nashville Flood. Moore et al. (2012) indicated that this
112 increase in poleward moisture flux was essential for the continued production of heavy
113 precipitation during the latter half of the event. In light of this result, the analysis by WM14

² More recently, potential vorticity (PV) tropopause maps have also been beneficial in locating the individual tropopause breaks and the occasional vertical superposition of the polar and subtropical jets (e.g., Hoskins and Berrisford 1988; Davis and Emanuel 1991; Hakim et al. 1995; Bosart et al. 1996; Morgan and Nielsen-Gammon 1998; Shapiro et al. 1999).

114 highlighted one specific pathway through which a superposed jet, and its associated ageostrophic
115 transverse circulation, can influence the evolution of a high-impact weather event.

116 In addition to the 2010 Nashville Flood, a cursory examination of a number of other
117 historical and recent high-impact weather events over North America and the North Atlantic by
118 the authors suggests that superposed jets were a component of their evolution. For example,
119 Defant (1959) discussed the impact of a dramatic jet superposition on an explosive cyclogenesis
120 event south of Iceland on 8 January 1956, in which the sea level pressure dropped 61 hPa in 24
121 h. Furthermore, the 25–26 January 1978 Cleveland Superbomb (Hakim et al. 1995, their Fig. 5),
122 the 15–16 October 1987 Great October Storm (Hoskins and Berrisford 1988, their Fig. 7), the
123 12–14 March 1993 Storm of the Century (Bosart et al. 1996, their Fig. 13), the 18–20 December
124 2009 Mid-Atlantic Blizzard (National Weather Service 2014), and the 25–28 April 2011 severe
125 weather outbreak (Christenson and Martin 2012) are all examples of events that occurred within
126 an environment that exhibited the two-step tropopause structure associated with a superposed jet.

127 The association of jet superpositions with a class of high-impact weather events, and the
128 relatively infrequent occurrence of superpositions over North America and the North Atlantic
129 (Christenson 2013), motivates an investigation into the mechanisms that support the process of
130 superposition. This topic was not considered as part of the previous work by WM14 and remains
131 an out standing research question. Historically, prior work that addresses the related topic of jet
132 “mergers” has been focused on either interannual or climatological time scales (e.g., Lee and
133 Kim 2003; Son and Lee 2005; Eichelberger and Hartmann 2007; Harnik et al. 2014), has been
134 strongly based on wave-wave interaction (e.g., Lee and Kim 2003; Son and Lee 2005; Martius et
135 al. 2010; O’Rourke and Vallis 2013), and/or has been conducted within an idealized model
136 environment (e.g., Lee and Kim 2003; Son and Lee 2005; O’Rourke and Vallis 2013). This

137 circumstance, coupled with only limited analysis of such features using actual observed data, has
138 resulted in incomplete insight into the synoptic-dynamic mechanisms that foster the development
139 of jet superpositions.

140 The concept of mid-latitude trough mergers (e.g., Lai and Bosart 1988; Gaza and Bosart
141 1990; Hakim et al. 1995; Hakim et al. 1996; Dean and Bosart 1996; Strahl and Smith 2001), in
142 which two mid-tropospheric vorticity maxima with origins in distinctly different westerly
143 airstreams amalgamate into a single maximum, offers the closest physical analog to jet
144 superpositions in the synoptic-dynamic literature. These studies emphasize that trough merger
145 often results in explosive cyclogenesis – also a frequent by-product of jet superposition. While it
146 appears that certain trough merger cases may be simultaneously characterized by jet
147 superpositions, the aforementioned studies do not identify the merging air streams as distinctly
148 related to separate polar and subtropical jets and do not specifically investigate the impact of
149 trough merger on the evolution of the upper-tropospheric jet and tropopause structure. Instead,
150 the focus of these studies is largely placed on the effects merger can have on the development of
151 surface cyclones.

152 Numerous observationally based studies have addressed the different mechanisms that
153 can be responsible for altering the structure of an *individual* jet stream, however. For instance,
154 the presence of ageostrophic transverse circulations, and particularly the differential vertical
155 motions associated with them, in the vicinity of an upper-level jet-front system can act not only
156 to aid in the production of precipitation, but also to significantly restructure the baroclinicity and
157 tropopause both above and below the jet. Many of the historical contributions to the problem of
158 upper-tropospheric frontogenesis are well summarized by Keyser and Shapiro (1986), while

159 Lang and Martin (2012) provide a recent extension of these studies to the process of lower-
160 stratospheric frontogenesis.

161 The influence of convection in altering the structure of the tropopause on the anticyclonic
162 shear side of a jet has also been well documented. In particular, Lang and Martin (2013)
163 investigated four cases of upper-frontal evolution in southwesterly flow. They noted that latent
164 heat release offers separate but simultaneous physical mechanisms that can alter the tropopause
165 structure. First, direct diabatic erosion of potential vorticity (PV) above the heating maximum
166 can increase the tropopause height in a given column. Second, the associated reduction in upper-
167 tropospheric static stability can intensify a forced ageostrophic transverse circulation, which can
168 then act to further tilt the tropopause. Tropical cyclones and extratropical transition (ET) events
169 have also been shown to exert a considerable influence on the location and strength of the
170 subtropical jet via the negative PV advection accomplished by their tropopause-level, divergent
171 outflow and the diabatic erosion of upper-tropospheric PV that accompanies intense latent heat
172 release in the middle troposphere (e.g., Ahmadi-Givi et al. 2004; Agusti-Panareda et al. 2004;
173 McTaggart-Cowan et al. 2001; McTaggart-Cowan et al. 2004; McTaggart-Cowan et al. 2007;
174 Grams et al. 2011; Grams et al. 2013; Archambault et al. 2013; Griffin and Bosart 2014;
175 Archambault et al. 2015).

176 Despite extensive research on a variety of aspects of upper-level jet-front systems, no
177 prior study has examined the role of the above dynamical mechanisms in specifically supporting
178 the interaction and subsequent vertical superposition of the two, initially distinct, jet features.
179 Consequently, the present study will consider the specific roles that ageostrophic transverse
180 circulations, convection, and the interaction between the two may play in the restructuring of the
181 tropopause that characterizes jet superpositions. These objectives stand in contrast to WM14,

182 which focused solely on the specific role that a superposed jet's ageostrophic transverse
183 circulation played in magnifying a high-impact event. The process of superposition will be
184 addressed through the examination of two recent high-impact weather events associated with
185 superposed jets: the 18–20 December 2009 Mid-Atlantic Blizzard and the aforementioned 1–3
186 May 2010 Nashville Flood. These events were selected because they occurred at different times
187 of the year and were associated with different types of high-impact weather events (i.e., rapid
188 cyclogenesis and an extreme precipitation event).

189 The remainder of this study is structured as follows. Section 2 briefly discusses the
190 WM14 jet identification scheme, given its relevance to the current study, and provides some
191 background on the Sawyer-Eliassen circulation equation (Sawyer 1956; Eliassen 1962), which is
192 used to diagnose the ageostrophic transverse jet circulations. Sections 3 and 4 diagnose the
193 development of a superposed jet during each individual case, respectively, and Section 5 finishes
194 with a discussion and suggestions for future work.

195 **2. Methodology**

196 This study is performed using model analyses from the National Centers for
197 Environmental Prediction (NCEP) Global Forecast System (GFS) at 6 h intervals with a
198 horizontal grid spacing of $1.0^\circ \times 1.0^\circ$ and a vertical grid spacing of 50 hPa (25 hPa between 1000
199 hPa and 900 hPa). To accommodate the jet identification scheme outlined in WM14, which is
200 briefly summarized below, these data were bilinearly interpolated onto isentropic surfaces at 5-K
201 intervals from 300–370-K using programs within the General Meteorological Package
202 (GEMPAK; desJardins et al. 1991). Throughout the subsequent analysis, the tropopause will
203 refer to the location of the 2-PVU surface ($1 \text{ PVU} = \text{K m}^2 \text{ kg}^{-1} \text{ s}^{-1}$), in line with defining the
204 dynamic tropopause as a surface of constant PV (e.g., Morgan and Nielsen-Gammon 1998).

205 With this definition for the dynamic tropopause, and in an effort to better diagnose its movement,
206 PV advection is calculated using only the gradient in the 1–3-PVU channel.

207 *2.1 Jet Identification*

208 The forthcoming summary of the identification scheme for the polar, subtropical, and
209 superposed jet streams³ contains elements from WM14 and references the features shown in Fig.
210 3 (WM14, their Fig.4). Figure 3a depicts a characteristic example of clearly separate polar and
211 subtropical jets from 0000 UTC 27 April 2010 in the eastern North Pacific. A vertical cross
212 section from A-A' through these distinct features unambiguously identifies the separate jet cores
213 and a three-step tropopause structure (Fig. 3b). From this cross section, it is apparent that the
214 polar jet core, located at approximately 300 hPa, is largely contained within the 315–330-K
215 isentropic layer, while the subtropical jet core occupies the 340–355-K isentropic layer at
216 roughly 200 hPa. Additionally, both the polar and the subtropical jets lie at the low PV edge of
217 the strong horizontal PV gradient that separates the upper troposphere from the lower
218 stratosphere in their respective isentropic layers. With these attributes in mind, the identification
219 scheme identifies the presence, or absence, of a polar or subtropical jet within each grid column
220 based upon whether the wind speed distribution and horizontal PV gradient within each jet's
221 isentropic layer satisfy the criteria specified in WM14. The occurrence of both polar and
222 subtropical jet characteristics in a single grid column identifies a jet superposition at that time in
223 that grid column. An example of a jet superposition is shown in Fig. 3c at 0000 UTC 24 October
224 2010. Notice that, rather than the three-step tropopause structure shown in Fig. 3b, a superposed
225 jet is characterized by a two-step tropopause structure with a steep tropopause wall that extends

³ Throughout the course of this study, the term jet “stream” is synonymous with jet “streak” and will refer to a zonally confined wind speed maximum along either the polar or subtropical waveguide.

226 from the polar to the tropical tropopause (Fig. 3d). This nearly vertical PV wall (from roughly
 227 550 to 150 hPa in this example case) is the leading structural characteristic of a superposed jet.

228 2.2 Sawyer-Eliassen Circulation Equation

229 A particularly powerful diagnostic tool for interrogating the ageostrophic transverse
 230 circulations associated with jet-front structures, in nearly straight flow, is afforded by the
 231 Sawyer-Eliassen circulation equation (Sawyer 1956; Eliassen 1962)⁴:

$$232 \quad \left(-\gamma \frac{\partial \theta}{\partial p}\right) \frac{\partial^2 \psi}{\partial y^2} + \left(2 \frac{\partial M}{\partial p}\right) \frac{\partial^2 \psi}{\partial p \partial y} + \left(-\frac{\partial M}{\partial y}\right) \frac{\partial^2 \psi}{\partial p^2} = Q_g - \gamma \frac{\partial}{\partial y} \left(\frac{d\theta}{dt}\right) \quad (1)$$

233 where γ is a constant on isobaric surfaces [$\gamma = (R/fp_o)(p_o/p)^{c_v/c_p}$], $p_o=1000$ hPa, $c_v=718$ J kg⁻¹
 234 K⁻¹, $c_p=1004$ J kg⁻¹ K⁻¹, R is the gas constant for dry air, θ is the potential temperature, and f is
 235 the Coriolis parameter. In addition, Q_g is the geostrophic forcing term, which is the sum of the
 236 shearing { $Q_{SH} = 2\gamma[(\partial U_g/\partial y)(\partial \theta/\partial x)]$ } and stretching deformation terms {
 237 $Q_{ST} = 2\gamma[(\partial V_g/\partial y)(\partial \theta/\partial y)]$ } and where U_g and V_g are the along- and across-front geostrophic
 238 winds, respectively. M is defined as the absolute geostrophic momentum ($M = U_g - fy$). The
 239 coefficients of the second-order terms on the left-hand side of (1) represent the static stability,
 240 baroclinicity, and inertial stability, respectively, and act to modulate the structure of the
 241 ageostrophic transverse circulation. The ageostrophic transverse circulation lies in a plane
 242 perpendicular to the frontal boundary (i.e., jet axis) and is determined by the Sawyer-Eliassen
 243 streamfunction, ψ , such that the across-front ageostrophic wind and vertical motion are defined
 244 as $v_{ag} = -\partial \psi / \partial p$ and $\omega = dp/dt = \partial \psi / \partial y$, respectively. In the solution, the along-front
 245 component of the ageostrophic circulation (u_{ag}) is assumed to be zero. For the purposes of this
 246 study, successive overrelaxation (SOR) is used to converge on a solution to (1) for the

⁴ Eq. (1) is shown in isobaric coordinates, which offers the advantage of distinguishing between the geostrophic and diabatic forcing terms.

247 ageostrophic transverse circulation following the method described in WM14. The reader is
248 referred to Eliassen (1962) or Keyser and Shapiro (1986) for the full derivation and a more
249 detailed discussion of (1).

250 Employing (1), Shapiro (1982) described a series of conceptual models detailing the
251 characteristic transverse circulations associated with idealized upper-level jet-front systems.
252 Specifically, in the absence of any along-jet geostrophic temperature advection, solutions for the
253 ageostrophic circulations are driven purely by the geostrophic stretching deformation and
254 resembled the traditional four-quadrant model, with a thermally direct (indirect) circulation in
255 the jet-entrance (-exit) region (Fig. 4a). The introduction of along-jet geostrophic temperature
256 advection mobilizes the geostrophic shearing deformation term, which acts to “shift” the
257 thermally direct (indirect) circulation to the anticyclonic (cyclonic) shear side of the jet in cases
258 of geostrophic cold-air advection, such that subsidence is present through the jet core (Fig. 4b).
259 Conversely, geostrophic warm-air advection along the jet axis shifts the thermally direct
260 (indirect) circulation to the cyclonic (anticyclonic) shear side of the jet, positioning ascent
261 through the jet core (Fig. 4c)⁵.

262 **3. Jet Evolution during the 18-20 December 2009 Mid-Atlantic Blizzard**

263 *3.1 Synoptic Overview*

264 Throughout the 72 h period of 18–20 December 2009, large portions of the Mid-Atlantic
265 and New England states accumulated 30-60 cm of snow in conjunction with a rapidly deepening
266 mid-latitude cyclone that formed over the northern Gulf of Mexico and tracked northeastward
267 along the East Coast (National Weather Service 2014). Coincident with the cyclone’s most rapid
268 period of intensification was the development of a jet superposition over the southeastern United

⁵ These circulations are fortified by ascent and descent associated with positive and negative vorticity advection by the thermal wind (i.e., Sutcliffe 1947) as described by Martin (2014).

269 States. For brevity, the following overview will focus primarily on the jet evolution in the upper
270 troposphere in the hours preceding superposition.

271 At 0000 UTC 19 December, 36 h prior to jet superposition, a subtropical jet (red dashed
272 line) with winds in excess of 60 m s^{-1} extended from central Mexico northeastward across the
273 Florida peninsula, while a weaker polar jet (blue dashed line) was identified upstream of a polar
274 trough in northwesterly flow over the Central Plains (Fig. 5a). A cross section through the two
275 separate jet structures at this time (Fig. 5b) indicates the presence of a three-step tropopause
276 structure and demonstrates that the jets were clearly distinct from one another. The developing
277 mid-latitude cyclone responsible for producing blizzard conditions across much of the eastern
278 United States was also firmly located in a favorable position for further deepening in the
279 subtropical jet's left exit region.

280 By 1800 UTC 19 December, the subtropical jet was displaced slightly poleward of its
281 previous location and was noticeably stronger, with winds now in excess of 80 m s^{-1} (Fig. 5c).
282 The surface cyclone, which had deepened by roughly 8 hPa, remained favorably located within
283 the subtropical jet's left exit region off of the Mid-Atlantic coast, suggesting that the jet likely
284 played a role in the cyclone's development. Meanwhile, the polar jet, which was also
285 characterized by increased wind speeds, had propagated around the base of the deepening polar
286 trough and had assumed an orientation parallel to the subtropical jet over the southeastern United
287 States. A cross section through the entrance regions of both of these jet structures (Fig. 5d)
288 indicates the persistence of a three-step tropopause structure and that the two jets, while in closer
289 proximity to one another by this time, were still not vertically superposed.

290 During the subsequent 18 h, the cyclone underwent its most rapid period of
291 intensification, reaching a minimum central pressure below 980 hPa southeast of Cape Cod at

292 1200 UTC 20 December (Fig. 5e). Coincident with this period of most rapid intensification was
293 a superposition of the polar and subtropical jets from central Georgia northeastward to off the
294 North Carolina coast (yellow line). This superposed jet was characterized by increased wind
295 speeds, now well in excess of 90 m s^{-1} , and was positioned such that the cyclone remained firmly
296 located in the jet's left exit region. An investigation of the movement of each individual jet axis
297 from the previous time indicates that the subtropical jet was, once again, displaced only slightly
298 poleward of its prior location, while the polar jet was located farther southeast of its previous
299 position, consistent with the continued propagation and deepening of the polar trough.

300 A cross section drawn through the superposed portion of this jet illustrates the two-step
301 tropopause structure and vertical PV wall (extending from roughly 500 hPa to 150 hPa)
302 characteristic of a superposed jet (Fig. 5f). Consistent with this structure, the cross section no
303 longer depicts two separate wind speed maxima, but rather a single jet core with wind speeds in
304 excess of 90 m s^{-1} . Particular attention is drawn to the 320-K and 325-K isentropes, highlighted
305 in red, which are located at a significantly lower altitude beneath the jet core than at the prior
306 times (Figs. 5b,d). This suggests that subsidence may have been responsible for the downward
307 depression of these isentropes during the intervening 18 h and, therefore, potentially played a
308 role in restructuring the tropopause into the characteristic superposed jet structure. The veracity
309 of this inference is considered as part of the subsequent analysis.

310 *3.2 Superposed Jet Formation*

311 *3.2.1. Subtropical Jet Evolution*

312 Given that polar and subtropical jets are each associated with unique tropopause breaks,
313 insight into the formation of a superposed jet can be garnered through a diagnosis of the
314 movement of each individual tropopause break (i.e., jet axis), as they eventually become

315 vertically aligned. In this case, the existence of a subtropical jet was closely tied to the presence
 316 of remote tropical convection over portions of Central America and the eastern equatorial Pacific
 317 Ocean. One particularly insightful way to examine the effect that tropical convection can have on
 318 the subsequent evolution of the subtropical jet is through a consideration of the anomalous
 319 pressure depth of the isentropic layer that contains the subtropical jet. Particularly telling is the
 320 depth of that layer on the anticyclonic shear side of the jet, where positive perturbation depths
 321 correspond to excess mass, relative to a long term mean, residing in the layer. The perturbation
 322 pressure depths of various isentropic layers are calculated as the difference between
 323 instantaneous pressure depths and a 31-yr (1979-2009) average depth at that analysis time for
 324 each individual grid point, determined using NCEP’s Climate Forecast System Reanalysis
 325 (CFSR) dataset (Saha et al. 2010). For the subtropical jet, we consider the pressure depth of the
 326 340–355-K isentropic layer.

327 The outflow from tropical convection serves as one mechanism through which an
 328 isentropic layer can become anomalously inflated. Specifically, tropical convection often ingests
 329 boundary layer air with very high equivalent potential temperature (θ_e). Parcels embedded
 330 within convective updrafts are then exhausted at an isentropic level that roughly corresponds to
 331 this boundary layer θ_e . Often, such air is within the range of 340–355-K, coinciding with the
 332 isentropic layer that houses the subtropical jet. Furthermore, regions characterized by a strong
 333 horizontal gradient in perturbation pressure depth are associated with a perturbation geostrophic
 334 vertical shear, in accordance with the isentropic thermal wind relationship:

$$\frac{\partial \vec{V}'_g}{\partial \theta} = \frac{1}{\rho f \theta} \hat{k} \times \nabla p' \tag{2}$$

336 Consequently, a subtropical jet is typically positioned on the poleward edge of an area
 337 characterized by positive perturbation pressure depths in the 340–355-K isentropic layer.

338 Figure 6a demonstrates that at 0000 UTC 19 December, positive perturbation pressure
339 depths in the 340–355-K isentropic layer were found over much of the Gulf of Mexico and
340 Caribbean Sea on the anticyclonic shear side of the subtropical jet. Immediately upstream of the
341 inflated isentropic layer were active areas of organized tropical convection over portions of the
342 eastern equatorial Pacific Ocean and Central America (Fig. 6b), suggesting that convective
343 outflow was a source of the excess mass found within the isentropic layer. Furthermore, the
344 presence of weak, poleward-directed divergent winds at 200 hPa in the vicinity of the subtropical
345 jet axis (red dashed line) induced a strip of negative PV advection within the 1–3-PVU channel
346 along the subtropical tropopause break.⁶ This negative PV advection suggested a poleward shift
347 in the location of the subtropical jet axis, consistent with the observations made from Figs. 5a,c.

348 At 1800 UTC 19 December, perturbation pressure depths increased in both magnitude
349 and areal coverage over a large portion of the central Caribbean and off the southeastern United
350 States coast downstream of continued convection in the tropics (Figs. 6c,d). An inflation of the
351 340–355-K isentropic layer at this time is also apparent on the equatorward side of the
352 subtropical jet within the cross section D-D' in Fig. 5d. Figure 6c indicates that weak, poleward-
353 directed divergent winds continued to drive a well-defined strip of negative PV advection along
354 the subtropical tropopause break, maintaining support for a poleward shift of the subtropical jet
355 axis. Figure 7, however, depicts the total PV advection at 200 hPa accomplished by the full wind
356 at this time, which indicates only localized regions of weak negative PV advection along the
357 subtropical tropopause break over the Gulf of Mexico. This demonstrates that a large fraction of
358 the negative PV advection accomplished by the divergent wind in Fig. 6c is mitigated by positive

⁶ 200 hPa in this case, as well as the 2010 Nashville Flood, corresponds well to the level of maximum wind for the subtropical jet and cuts perpendicularly through the subtropical tropopause break (e.g., 2-PVU surface), allowing it to be a suitable level to assess the horizontal advection of the tropopause break by the divergent wind (e.g., Archambault et al. 2013; Archambault et al. 2015).

359 PV advection that must be associated with the non-divergent component of the flow in this
360 location. Consequently, the role of the divergent wind appears to be slight, promoting only a
361 slight poleward shift in the location of the subtropical jet. Figure 6e demonstrates that a subtle
362 poleward shift in the location of the subtropical jet continued up until 1200 UTC 20 December
363 when the polar and subtropical jets superposed. Furthermore, coincident with the superposed
364 jet's increased wind speed at this time is a strengthened gradient in perturbation pressure depth
365 immediately equatorward of the jet axis from the eastern Gulf of Mexico northeastward towards
366 Bermuda.

367 The upper-tropospheric evolution in the hours preceding superposition strongly suggests
368 that persistent remote tropical convection over the eastern equatorial Pacific Ocean and Central
369 America was responsible for an inflation of the 340–355-K isentropic layer. An analysis of
370 backward trajectories, using the NOAA/ARL HYSPLIT model (Draxler and Hess 1997; Draxler
371 and Hess 1998; Draxler 1999; Draxler and Rolph 2015; Rolph 2015), for parcels originating at
372 the center of the positive pressure perturbation at 1200 UTC 20 December (Fig. 8, see figure
373 caption for more details on trajectory calculations) confirms this assertion. Specifically, Fig. 8
374 shows that roughly a fifth of the trajectories are characterized by rapid ascent around 0000 UTC
375 19 December in the vicinity of the tropical convection shown in Fig. 6b and warming to potential
376 temperatures of 340–350-K. Upper-tropospheric southwesterly flow downstream of a low-
377 latitude trough west of Mexico, which is identified by the cyclonic curvature of a few trajectories
378 that initiate between 100–110°W, subsequently acted to transport the convective outflow towards
379 the Caribbean Sea in the 24–36 h prior to superposition, resulting in the positive perturbation
380 pressure depths observed there. Forward trajectories (not shown) initiated at the center of the
381 pressure perturbation at 1200 UTC 20 December show that parcels conserved their potential

382 temperature in the 72 h following jet superposition, as well, indicating little flow out of the 340–
383 355-K isentropic layer. Consequently, there is strong evidence that the combination of both
384 persistent tropical convection and the approach of a low-latitude trough contributed to the
385 existence and maintenance of a subtropical jet over the Gulf of Mexico. First, by facilitating an
386 inflation of the 340–355-K isentropic layer in the tropics and, secondly, by the subsequent
387 translation of mass within that layer towards higher latitudes where it manifest itself as a positive
388 pressure perturbation and was associated with an increase in westerly vertical shear on its
389 poleward flank.

390 3.2.2. Polar Jet Evolution

391 Focusing attention on the evolution of the polar jet, and its interaction with the
392 subtropical jet, Fig. 9a shows that the polar jet sat atop a region of enhanced baroclinicity that
393 extended from northwestern Kansas southeastward into northern Mississippi at 0000 UTC 19
394 December. Furthermore, the geostrophic jet exit region was characterized by weak geostrophic
395 cold air advection over southern Missouri and northern Arkansas. These circumstances support a
396 poleward shift in the jet exit region’s ageostrophic transverse circulation so as to position descent
397 through the jet core (Fig. 4b). The solution for the Sawyer-Eliassen circulation within the cross
398 section identified in Fig. 9a confirms this notion, depicting a region of subsidence centered
399 squarely beneath the polar jet core (Fig. 10a). A comparison of Fig. 10a with the total GFS
400 model vertical motion shown in Fig. 10b indicates a strong agreement between the model
401 vertical motion and the distribution of subsidence associated with the transverse circulation. The
402 subsidence driven by transverse circulation was specifically tied to the presence of dipole
403 circulations, which consisted of a thermally direct (indirect) circulation to the south (north) of the
404 jet core. This subsidence was not only responsible for strengthening the mid-tropospheric

405 temperature gradient via tilting, but also for supporting a downward protrusion of high PV air
406 associated with the development of the polar tropopause fold, as denoted by the strip of positive
407 PV advection driven by the circulation in the vicinity of the fold.

408 By 1800 UTC 19 December, the polar jet had propagated around the base of the polar
409 trough and assumed an orientation parallel to the axis of the subtropical jet over the southeastern
410 United States (Fig. 9b). Furthermore, geostrophic wind speeds associated with the polar jet
411 increased to greater than 60 m s^{-1} , in response to the intensified horizontal baroclinicity situated
412 beneath the jet. The magnitude of the geostrophic cold air advection also continued to strengthen
413 in the vicinity of both jets' entrance regions over northern Alabama, implying continued
414 subsidence in the vicinity of the jet cores.

415 The Sawyer-Eliassen circulation within the cross section D-D', drawn through the
416 entrance region of both the polar and subtropical jets at this time, is characterized by a strong
417 thermally direct circulation with subsidence confirmed directly on and beneath the subtropical
418 tropopause step⁷ (Fig. 10c). It is likely that the strength of the circulation arises from its
419 proximity to the weakly stratified, tropical air mass located equatorward of the subtropical jet
420 (e.g., Lang and Martin 2013). The subsidence associated with the circulation also accounted for
421 the large majority of the positive PV advection diagnosed along the subtropical tropopause step
422 in Fig. 10c (not shown). Once again, the distribution of subsidence compared well with the
423 model vertical motion in Fig. 10d, which was also characterized by a maximum in subsidence
424 beneath the subtropical tropopause step that tilted equatorward with increasing elevation.
425 Consequently, it can be concluded that the diagnosed subsidence was favorably positioned to

⁷ Cross sections along the entire length of the polar and subtropical jets at this time are consistent with the result shown in Fig. 10c.

426 advect high PV air downward and to lower the altitude of the subtropical tropopause step with
427 time.

428 From another perspective, it is apparent that the 320-K and 325-K isentropes were
429 situated within the horizontal baroclinicity that sat beneath the subtropical jet. The presence of a
430 mid-tropospheric maximum in subsidence through the polar jet core, as indicated in Fig. 10c,
431 implies that the 320-K isentrope was advected downward on the poleward side of the subtropical
432 jet at a more rapid rate than the 325-K isentrope, reducing the horizontal baroclinicity beneath
433 the subtropical jet. At the same time, the subsidence acted to incorporate these same isentropes
434 into a strengthening region of baroclinicity beneath the polar jet core (Fig. 5f). Consequently, the
435 subsidence associated with the Sawyer-Eliassen circulation promoted an intensification of the
436 baroclinicity directly beneath the polar jet core at the expense of the subtropical jet's
437 baroclinicity and, subsequently, the production of one consolidated region of intense horizontal
438 temperature contrast that is characteristic of a superposed jet.

439 Also of note is the potential role that the upper-level branch of the transverse circulation
440 may have played in horizontally displacing the subtropical tropopause break. Figure 10c
441 indicates a region of negative PV advection that was centered squarely along the subtropical
442 tropopause break and that was primarily attributable to the upper-level branch of the circulation
443 (not shown). The magnitude of this negative PV advection also compares well with the negative
444 PV advection by the full divergent wind at 200 hPa in Fig. 6c. However, given that the total
445 horizontal PV advection along the subtropical tropopause break was found to be small (Fig. 7), it
446 can be concluded that the influence of the upper-level branch of the circulation on restructuring
447 the tropopause was relatively minimal compared to the circulation's subsiding branch.

448 By 1200 UTC 20 December, the polar tropopause break became vertically aligned with
449 the subtropical tropopause break, producing the vertical PV wall shown in Fig. 5f and a
450 superposed jet from central Georgia northeastward to eastern North Carolina (Fig. 9c). Wind
451 speeds in the core of the superposed jet increased as well, consistent with the consolidation of
452 baroclinicity beneath the superposed jet.

453 3.2.3 Synthesis

454 The preceding analysis of this case is summarized in the conceptual diagram shown in
455 Fig. 11, which highlights the importance of internal jet dynamics for facilitating a vertical
456 superposition of the polar and subtropical jets. While remote convection over Central America
457 and the equatorial Pacific Ocean was essential for strengthening and establishing the subtropical
458 jet over the Gulf of Mexico, the upper-tropospheric divergent wind only promoted a slight
459 poleward shift in the location of the subtropical jet axis in that location. Ageostrophic transverse
460 circulations, on the other hand, were crucial in their ability to restructure the tropopause into the
461 two-step structure characteristic of a superposition. Specifically, the subsiding branches of these
462 circulations were not only favorably positioned to support the production of a polar tropopause
463 fold, but also to drive a downward protrusion of high PV air that was centered squarely on and
464 beneath the subtropical tropopause step. Consequently, this subsidence, which was present
465 throughout the 18 h period prior to superposition (not shown), was found to lower the tropopause
466 height between the polar and subtropical jets and to consolidate the baroclinicity associated with
467 each jet into a single zone of horizontal temperature contrast.

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469

470

471 **4. Jet Evolution during the 1-3 May 2010 Nashville Flood**

472 *4.1 Synoptic Overview*

473 As described by WM14, the 1–3 May 2010 Nashville Flood was an historic two-day
474 event in which two consecutive mesoscale convective systems (MCSs) were responsible for
475 rainfall accumulations in excess of 180 mm (7 in.) across a large portion of Tennessee, southern
476 Kentucky, and northern Mississippi (Moore et al. 2012, their Fig. 1). Moore et al. (2012) and
477 Durkee et al. (2012) provide excellent overviews of both the meso- and synoptic-scale processes
478 responsible for the production of precipitation in this case and the reader is referred to those
479 works for any additional information. As with the December 2009 case, here we present an
480 abbreviated synoptic overview that focuses solely on the jet evolution in the upper troposphere
481 during the 24 h period of 0000 UTC 1 May 2010 – 0000 UTC 2 May 2010 across the contiguous
482 United States.

483 Figure 12a depicts a high amplitude flow pattern in place over a large portion of North
484 America at 0000 UTC 1 May, with a deep, positively tilted trough over the western United States
485 and a strong ridge over the east. A polar jet was identified downstream of the trough axis and
486 extended from Baja California northeastward into the Central Plains, while a subtropical jet,
487 which was of comparable strength to the polar jet, stretched from northern Mexico eastward
488 along the Gulf Coast. Note that at this time, even though the two jets are in close proximity to
489 one another, they are not superposed. A cross section through the two separate jet cores (Fig.
490 12b) confirms this diagnosis and depicts a clear, three-step tropopause structure with each
491 tropopause break associated with a distinct wind speed maximum.

492 At 1200 UTC 1 May, a broad area of precipitation situated over much of the Ohio River
493 Valley helped to further build the extensive ridge that was in place over a large portion of the

494 eastern United States via a diabatic erosion of upper-tropospheric PV. This is best illustrated by a
495 substantial poleward retreat in the location of the 2-PVU contour at 200 hPa over the eastern
496 United States in Fig. 15. Consequently, in response to the strengthened ridge, the axis of the
497 subtropical jet shifted noticeably poleward and westward, bringing it closer to the polar jet (Fig.
498 12c). A cross section through the two jet structures at this time illustrates that a three-step
499 tropopause structure remained intact, while clearly showing the movement of the subtropical jet
500 towards the northwest and a wind speed increase in both jets (Fig. 12d).

501 At 0000 UTC 2 May, the polar and subtropical jets became superposed over portions of
502 west Texas and southwestern Oklahoma, as the axis of the subtropical jet continued to migrate
503 towards the northwest and the western trough shifted slowly eastward (Fig. 12e). A cross section
504 through the superposed portion of the jet (Fig. 12f) shows both the appearance of a slight
505 equatorward shift in the location of the polar tropopause break within the plane of the cross
506 section and the continued northwestward migration of the subtropical tropopause break, which
507 combined to produce the two-step tropopause structure and vertical PV wall characteristic of a
508 superposition. Further note that the two wind speed maxima are now consolidated into a single
509 jet core that featured wind speeds greater than 70 m s^{-1} in response to the increased horizontal
510 baroclinicity in the upper troposphere and lower stratosphere that accompanied the superposition
511 (Fig. 12f).

512 *4.2 Superposed Jet Formation*

513 *4.2.1. Polar Jet Evolution*

514 At 0000 UTC 1 May, the polar jet sat atop a rather extensive and continuous area of mid-
515 tropospheric baroclinicity that stretched from just off the coast of southern California into
516 northern Minnesota, as indicated by the 400 hPa temperature analysis in Fig. 13a. Furthermore,

517 the entrance region of the polar jet was characterized by an area of geostrophic cold air advection
518 centered squarely in the base of the western trough. This circumstance promotes subsidence
519 beneath the jet core (Shapiro 1982) by shifting the thermally direct circulation in the entrance
520 region towards the anticyclonic shear side of the jet (Fig. 4b).

521 The Sawyer-Eliassen circulation within the cross section identified in Fig. 13a illustrates
522 the presence of subsidence directly beneath the polar jet core and in the vicinity of the polar
523 tropopause fold (Fig. 14a). As for the circulations diagnosed in the previous case, the spatial
524 distribution of the subsidence associated with the transverse circulation in Fig. 14a matches well
525 with the distribution of model vertical motion shown in Fig. 14b. However, it is notable that the
526 subsidence in Fig. 14a is substantially weaker in magnitude and more spatially confined than its
527 model vertical motion counterpart. Nevertheless, the diagnosed subsidence, once again, promotes
528 a downward protrusion of high PV air into the middle troposphere, as denoted by the positive PV
529 advection situated in the polar tropopause fold in Fig. 14a, while simultaneously acting to
530 increase the horizontal baroclinicity beneath the jet via tilting. Subsequently, regions of strong
531 mid-tropospheric baroclinicity in Fig. 13 correspond roughly to the location of the polar
532 tropopause fold. It is also likely that the strong curvature associated with the western trough
533 further enhanced the total subsidence observed in the jet entrance region beyond that estimated
534 by the transverse circulation given the differences between Figs. 14a,b (see the maximum in 200
535 hPa velocity potential immediately upstream of the trough in Fig. 15 that suggests subsidence in
536 that location). Consequently, it is conceivable that flow curvature, in addition to the diagnosed
537 transverse circulation, acted to accentuate the development of the polar tropopause fold and to
538 strengthen the polar jet in this location.

539 At 1200 UTC 1 May, the axis of the polar jet became fractured near the United
540 States/Mexico border (Fig. 13b), partially due to the influence of ongoing convection over the
541 southern Mississippi River Valley and an associated region of negative PV advection along the
542 polar tropopause break driven by the divergent wind (not shown). Additionally, locations in the
543 vicinity of the jet fracture were characterized by an environment favorable for large-scale ascent,
544 given the close proximity of the upstream trough (see the minimum in velocity potential located
545 immediately downstream of the trough in Fig. 15). This large-scale ascent, while not explicitly
546 shown here, was responsible for decreasing the horizontal temperature gradient in the middle
547 troposphere via tilting downstream of the Rio Grande. Further upstream, in the base of the
548 trough, geostrophic jet wind speeds increased from the previous time in response to the
549 strengthened baroclinicity beneath the jet. Geostrophic cold air advection remained strong in the
550 base of the trough at this time as well, suggesting continued subsidence in the vicinity of the
551 polar jet core and the subsequent maintenance of the polar tropopause fold.

552 At 0000 UTC 2 May, the polar jet streak in the base of the trough was positioned slightly
553 downstream of its previous location, such that its most downstream edge overlapped with the
554 region of superposition identified in Fig. 12e over southwestern Oklahoma and west Texas (Fig.
555 13c). Furthermore, rather intense baroclinicity, which extended from the Southern Plains
556 upstream to Baja California, continued to characterize the polar jet stream. Recalling that this
557 area of baroclinicity was also associated with the development of a polar tropopause fold, it
558 becomes clear that the appearance of an equatorward displacement of the polar tropopause break
559 within Figs. 12d,f is simply the downstream propagation of an intense polar tropopause fold into
560 the area of superposition, as the trough slowly migrated eastward.

561

562 4.2.2. Subtropical Jet Evolution

563 As suggested, the evolution of the subtropical jet is closely tied to the persistent
564 convection present over portions of the southern Mississippi River Valley throughout the
565 duration of the flooding event. Recall that at 0000 UTC 1 May the subtropical jet was
566 characterized by a rather zonal orientation and was distinct from the polar jet (Fig. 12a).
567 Furthermore, Fig. 15a depicts an elongated minimum in velocity potential at 200 hPa
568 (approximately the level of maximum divergent outflow) centered over the Mississippi River
569 Valley, consistent with the presence of large-scale ascent in that location, and a maximum in
570 velocity potential immediately upstream of the trough over the western United States. The
571 juxtaposition of these two features resulted in the presence of easterly divergent winds over the
572 spine of the Rocky Mountains and northern Mexico. At this time, these divergent winds were
573 responsible for only a weak region of negative PV advection over northern Mexico along the
574 subtropical tropopause break, given its rather zonal orientation.

575 By 1200 UTC 1 May, it is apparent that latent heating from the ongoing convection over
576 the southern Mississippi River Valley (see Fig. 12c) had resulted in a substantial diabatic erosion
577 of upper-tropospheric PV in that location, as demonstrated by the poleward retreat of the 2-PVU
578 contour at 200 hPa (Fig. 15b). Consequently, the subtropical jet became characterized
579 predominantly by southwesterly flow and took on an orientation that was roughly parallel to the
580 polar jet. Divergent winds across northern Mexico also strengthened considerably over the
581 previous 12 h, largely due to the enhanced outflow from convection over portions of Tennessee
582 and southern Kentucky. The combination of these two factors resulted in a significantly more
583 favorable situation for the divergent wind to displace the subtropical tropopause break towards

584 the northwest, as indicated by the increase in negative PV advection along the subtropical
585 tropopause break.

586 The influence of the divergent wind is best analyzed in the context of Fig. 16, which
587 shows the total PV advection accomplished at 200 hPa at this time along the subtropical
588 tropopause break. From this analysis, it is evident that the divergent wind slows the eastward
589 translation of the subtropical tropopause break over northern Mexico, given the strip of positive
590 PV advection found in that location in Fig. 16. This mechanism, whereby the divergent wind
591 slows the eastward progression of a mid-latitude trough, is similar to that identified by
592 Archambault et al. (2015), among others, in cases of ET. Over Texas and Oklahoma, the
593 divergent and non-divergent wind fields appear to combine constructively to drive a region of
594 negative PV advection. Consequently, the northwestward shift in the position of the subtropical
595 jet axis over the Southern Plains is aided by the convective outflow and continued up until 0000
596 UTC 2 May, when the subtropical jet became vertically superposed with the polar jet over
597 portions of west Texas and southwestern Oklahoma (Fig. 15c).

598 4.2.3. Synthesis

599 In contrast to the December 2009 case, jet superposition in the May 2010 case was more
600 strongly dependent on the presence of convection over the southeastern United States. The
601 analysis, which is summarized in Fig. 17, demonstrates that the convection acted to substantially
602 restructure the tropopause via 1) the diabatic erosion of upper-tropospheric PV and, 2) advection
603 of the subtropical jet axis westward towards the polar jet via its associated divergent outflow.
604 This result aligns particularly well with existing evidence illustrating the role that tropopause-
605 level outflow can play in influencing the strength and location of a particular jet stream (e.g.,
606 Grams et al. 2011; Archambault et al. 2013; Grams et al. 2013; Archambault et al. 2015).

607 However, in contrast to the December 2009 case, ageostrophic transverse circulations played a
608 more indirect role in the production of a superposition during the 2010 Nashville Flood.
609 Specifically, persistent geostrophic cold air advection in the base of the western trough was
610 responsible for driving an area of subsidence in the vicinity of the polar jet core that facilitated
611 the development of a polar tropopause fold. This fold subsequently propagated downstream into
612 west Texas and southwestern Oklahoma by 0000 UTC 2 May where it undercut the retreating
613 subtropical tropopause break, thereby facilitating the development of the superposed jet
614 structure.

615 **5. Discussion**

616 Motivated by the identification of jet superpositions in several historic and recent high-
617 impact sensible weather events, this study examines the dynamical processes responsible for
618 producing a superposition during the 18–20 December 2009 Mid-Atlantic Blizzard and the 1–3
619 May 2010 Nashville Flood. The two cases selected highlight the fact that jet superpositions can
620 be associated with different types of high-impact weather events and can develop at different
621 times of year. Given that ageostrophic transverse jet circulations and convection both influence
622 the restructuring of the tropopause within single jet environments, the analysis focuses on the
623 role these same mechanisms play in reshaping the tropopause within the more complex double
624 jet environment.

625 Both cases were characterized by mid-tropospheric geostrophic cold air advection in
626 cyclonic shear along a portion of the polar jet at some point prior to superposition, indicating that
627 subsidence was positioned directly through and beneath the polar jet core. Consequently, the
628 descending motions were favorably positioned to facilitate downward advection of high PV air
629 from the stratosphere and contribute to the requisite restructuring of the tropopause that

630 characterizes a jet superposition. In the December 2009 case, this subsidence was specifically
631 positioned directly on and beneath the subtropical tropopause step and in the immediate vicinity
632 of both the polar and subtropical jets. Consequently, the subsidence played a primary role in the
633 production of a superposition by lowering the tropopause height in the region between the two
634 individual jets and by consolidating the baroclinicity associated with each jet into one single
635 zone of contrast. For comparison, only the jet entrance region of the polar jet was characterized
636 by subsidence during the May 2010 Flood. As a result, the subsidence in that case only fostered
637 the development of a polar tropopause fold, instead of working to directly assimilate the two jets
638 into a single structure.

639 The two cases examined as part of this study also demonstrate the different roles that
640 convection can play in facilitating a superposition. For instance, during the May 2010 Flood,
641 convection occurred in the immediate vicinity of the subtropical jet. This convection
642 subsequently acted to restructure the tropopause via material displacement and diabatic erosion
643 of upper-tropospheric PV and served as a primary mechanism supporting superposition in that
644 case. Conversely, the December 2009 case was associated with remote convection that occurred
645 in the tropics. This tropical convection was primarily responsible for substantially enhancing and
646 maintaining the strength of the subtropical jet by inflating the anticyclonic shear side of the
647 isentropic layer containing the jet. Together, these two cases demonstrate that the proximity of
648 convection to the jet structure can determine the nature of its influence on the jet. For example,
649 proximate convection can have a locally intense but temporally transient impact on the jet
650 structure through its divergent outflow and latent heat release. Alternatively, remote convection
651 can drive a slower acting, but persistent impact via the development of what might be termed

652 tropical tropopause anticyclones – the balanced response to upper-tropospheric mass deposition
653 on the anticyclonic shear side of the subtropical jet.

654 While both cases illustrate that internal jet dynamics and convection can play important
655 roles in the development of a superposition, it is clear that the individual importance of each
656 component to the process of jet superposition depends on the case being considered. For
657 instance, it appears that internal jet dynamics played a more prominent role during the December
658 2009 case, while convection was the dominant component during the May 2010 Flood. Given
659 that these are only two cases, a broader survey of jet superposition events over North America
660 may help 1) to pinpoint the variety of environmental characteristics that are most conducive for
661 the development of superpositions and 2) to determine whether or not that preferred environment
662 varies seasonally or geographically.

663 Another particularly useful way to investigate the physical processes involved in jet
664 superpositions is found by employing piecewise PV inversion techniques (e.g., Hoskins et al.
665 1985; Davis and Emanuel 1991). Specifically, as a product of ongoing work, we have developed
666 a scheme that isolates the individual PV anomalies associated with each jet structure by
667 considering the distribution of PV within isentropic layers characteristic to each jet. A prominent
668 outcome that emerges from an inversion of these PV anomalies, as well as those generated
669 diabatically from convection, is an ability to diagnose both the lateral and vertical interactions
670 between separate PV anomalies within a double jet environment and to assess the individual role
671 that each anomaly plays in restructuring the tropopause during the process of superposition.
672 Consequently, this analysis has the potential to better illuminate the importance of convection to
673 the process of superposition and to determine whether the three-dimensional circulation
674 associated with the polar or subtropical jet has a greater ability to restructure the tropopause.

675 Finally, persistent observation of these structures by the authors throughout the
676 development of this study has made clear that significant sensible weather is not tied to every jet
677 superposition event. Consequently, greater knowledge regarding the environmental differences
678 that exist between null cases and those associated with significant high-impact weather over
679 North America remains out standing. Ultimately, the ability to diagnose the formation of these
680 structures, and to understand the circumstances that permit them to contribute to the production
681 of high-impact weather at middle latitudes, could have important implications for short-term
682 weather prediction.

683

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879 **Figure Captions**

880

881 FIG 1. Mean meridional cross section of potential temperature for 1 Jan 1956 with the polar,
882 subtropical, and tropical tropopauses labeled as indicated in the legend. The polar frontal layer is
883 shaded in gray (Winters and Martin 2014, their Fig. 2).

884

885 FIG 2. Northern Hemispheric map of tropopause height (hPa) at 0300 UTC 1 Jan 1956.
886 Tropopause breaks that correspond to the subtropical (STJ) and polar jet (POLJ) are labeled
887 accordingly. The area identified with a circle is a region characterized by a vertical superposition
888 of the polar and subtropical jets. Dark gray shading corresponds to the polar tropopause, white
889 shading to the subtropical tropopause, and light gray to the tropical tropopause (Winters and
890 Martin 2014, their Fig. 3)

891

892 FIG. 3. (a) The 300 hPa wind speeds (shaded every 10 m s^{-1} starting at 30 m s^{-1}) at 0000 UTC 27
893 Apr. 2010 depicting separate polar and subtropical jets. (b) Cross section A-A', in Fig. 3a,
894 through separate polar and subtropical jet cores with contours of 1-,2-, and 3-PVU (black); 4-,5-
895 ,6-,7-,8-, and 9-PVU (light gray); potential temperature every 5 K (dashed gray); and wind
896 speed every 10 m s^{-1} beginning at 30 m s^{-1} (dark gray). The 315-330- and 340-355-K isentropic
897 layers, used to identify the locations of the jets, are shaded gray. The dark vertical lines
898 correspond to grid columns with the black dot confirming a positive identification of a polar or
899 subtropical jet. (c) As in (a), but for a superposed jet at 0000 UTC 24 Oct. 2010. (d) As in (b),
900 but for the cross section B-B', in Fig. 3c, with two positive identifications (black dots) within a
901 single grid column indicating a jet superposition (Winters and Martin 2014, their Fig. 4).

902

903 FIG. 4. Idealized configurations of jet circulations associated with a straight jet streak on an
904 isobaric surface in the upper troposphere. Geopotential height (thick solid lines), potential
905 temperature (dashed lines), geostrophic wind speed (fill pattern; with the jet speed maximum
906 represented by the J), and Sawyer-Eliassen vertical motions indicated by “up” and “down” for a
907 regime of (a) no geostrophic temperature advection, (b) upper-tropospheric geostrophic cold-air
908 advection, and (c) upper-tropospheric geostrophic warm-air advection along the jet axis (from
909 Lang and Martin 2012, their Fig. 3).

910

911 FIG. 5. [left column] 250 hPa wind speed is shaded with the gray fill pattern as indicated in the
912 legend, 250 hPa geopotential heights are contoured in red every 120 m, sea level pressure is
913 contoured with the dashed black lines every 4 hPa below 1000 hPa, the location of the sea-level
914 pressure minimum is identified with the red “L”, and jet axes (identified with reference to the
915 WM14 jet identification scheme) are identified as specified in the legend for (a) 0000 UTC 19
916 December 2009, (c) 1800 UTC 19 December 2009, and (e) 1200 UTC 20 December 2009. [right
917 column] Cross sections, as identified in the plot immediately to its left, of wind speed shaded in
918 the blue fill pattern according to the legend, potential temperature contoured every 5 K (dashed
919 green lines), and contours of 1-,2-, and 3-PVU (black) at (b) 0000 UTC 19 December 2009, (d)
920 1800 UTC 19 December 2009, and (f) 1200 UTC 20 December 2009. The gray shaded isentropic
921 layers are those used to identify the jet axes using the identification scheme outlined in the text
922 and the 320-K and 325-K isentropes are highlighted with the dashed red lines in the cross
923 sections for reasons discussed in the text.

924

925 FIG. 6. [left column] 200 hPa geopotential height is contoured in red every 120 m, 200 hPa
926 geostrophic wind speed is shaded with the gray fill pattern according to the legend, positive
927 perturbation pressure depths within the 340-355-K isentropic layer are shaded in the green fill
928 pattern according to the legend, negative PV advection within the 1–3-PVU channel by the
929 divergent wind (arrows) is contoured in yellow every 2×10^{-5} PVU s^{-1} , and the subtropical jet axis
930 from Fig. 5 is identified with the thick, dashed red line for (a) 0000 UTC 19 December 2009, (c)
931 1800 UTC 19 December 2009, and (e) 1200 UTC 20 December 2009. [right column] Infrared
932 satellite imagery from University of Wisconsin – CIMSS for (b) 0000 UTC 19 December 2009,
933 (d) 1800 UTC 19 December 2009, and (f) 1200 UTC 20 December 2009. The yellow box
934 denotes the source region for the trajectories shown in Fig. 8.

935

936 FIG. 7. 200 hPa positive (negative) PV advection within the 1–3 PVU channel by the total wind
937 contoured in purple (green) every 2×10^{-5} PVU s^{-1} and the 2-PVU surface at 300 hPa (200 hPa)
938 contoured in blue (red), which corresponds to the location of the polar (subtropical) tropopause
939 break.

940

941 FIG. 8. 48 h backward trajectories initialized at 1200 UTC 20 December 2009 every 1°
942 latitude/longitude within the yellow box (20°N – 25°N ; 80°W – 70°W) shown in Fig. 6e.
943 Trajectories were initialized at 12000 m AGL within the NOAA/ARL HYSPLIT model and
944 projected backward using archived NCEP Global Data Assimilation System (GDAS) data and
945 model vertical motion. The GDAS data has a horizontal resolution of $1.0^\circ \times 1.0^\circ$, is interpolated
946 from 23 sigma levels to the mandatory pressure levels, and has a temporal resolution of 3 h. The

947 bottom panel depicts the potential temperature of the trajectories throughout the duration of the
948 simulation.

949

950 FIG. 9. 250 hPa geostrophic wind speed is shaded with the gray fill pattern according to the
951 legend, 300 hPa geostrophic cold (warm) air advection is shaded in the blue (red) fill pattern
952 following the legend, 500 hPa potential temperature is contoured in red every 3 K, and sea level
953 pressure is contoured with the dashed black lines every 4 hPa below 1000 hPa for (a) 0000 UTC
954 19 December 2009, (b) 1800 UTC 19 December 2009, and (c) 1200 UTC 20 December 2009.

955 The polar (subtropical) jet axis is indicated by the thick, dashed blue (red) line, as in Fig. 5, the
956 yellow circle highlights the region of jet superposition, and the red “L” marks the location of the
957 sea level pressure minimum.

958

959 FIG. 10. (a,c) Cross sections, as indicated in Fig. 9, of Sawyer-Eliassen streamfunction every 300
960 m hPa s^{-1} with negative (positive) values contoured with dashed (solid) black lines, potential
961 temperature every 5 K contoured in red, positive omega associated with the Sawyer-Eliassen
962 circulation shaded in the purple fill pattern following the legend, geostrophic wind speeds shaded
963 with the gray fill pattern according to the legend, positive (negative) PV advection within the 1–
964 3-PVU channel by the Sawyer-Eliassen circulation contoured with the solid (dashed) yellow
965 lines every $2 \times 10^{-5} \text{ PVU s}^{-1}$, and the 1.5-PVU surface identified by the bold blue line. The sense
966 of the circulation is depicted by the arrowheads plotted on the streamfunction contours. The 320
967 K and 325 K isentropes are bolded in (c) for reasons discussed in the text. (b,d) Wind speed, PV,
968 and potential temperature distributions are identical to those in (a,c) with subsidence from the
969 GFS analysis now shaded in the purple fill pattern.

970

971 FIG. 11. Conceptual diagram summarizing the development of a superposed jet during the 18–20
972 December 2009 Mid-Atlantic Blizzard. “TENN” corresponds to Tennessee and “GULF” denotes
973 the location of the Gulf Coast.

974

975 FIG. 12. Conventions are identical to Fig. 5 but for (a,b) 0000 UTC 1 May 2010, (c,d) 1200 UTC
976 1 May 2010, and (e,f) 0000 UTC 2 May 2010. The precipitation shield at 1200 UTC 1 May 2010
977 in (c) is denoted by the green shading and sea level pressure is now contoured every 4 hPa below
978 996 hPa in the left column.

979

980 FIG. 13. 300 hPa geostrophic wind speed is shaded in the gray fill pattern according to the
981 legend, 300 hPa geostrophic cold (warm) air advection is shaded in the blue (red) fill pattern
982 following the legend, and 400 hPa potential temperature is contoured in red every 3 K at (a) 0000
983 UTC 1 May 2010, (b) 1200 UTC 1 May 2010, and (c) 0000 UTC 2 May 2010. Polar jet axes
984 from Fig. 12 are indicated by the thick, blue dashed lines and the yellow circle highlights the
985 region of jet superposition.

986

987 FIG. 14. Conventions are identical to those in Fig. 10, but for the cross section shown in Fig.
988 13a.

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990 FIG. 15. 200 hPa velocity potential is contoured every $3 \times 10^6 \text{ m}^2 \text{ s}^{-1}$ with positive (negative)
991 values identified with solid (dashed) thick red lines, the 2-PVU contour at 300 hPa (200 hPa) is
992 identified with the thick blue (red) line, and negative PV advection within the 1–3-PVU channel

993 by the divergent wind (arrows) at 200 hPa is shaded in the green fill pattern following the legend
994 at (a) 0000 UTC 1 May 2010, (b) 1200 UTC 1 May 2010, and (c) 0000 UTC 2 May 2010.

995

996 FIG. 16. Conventions are identical to those in Fig. 7, but at 1200 UTC 1 May 2010.

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998 FIG. 17. Conceptual diagram summarizing the development of a superposed jet during the 1–3

999 May 2010 Nashville Flood. “OKLA” corresponds to Oklahoma and “GULF” denotes the

1000 location of the Gulf Coast.

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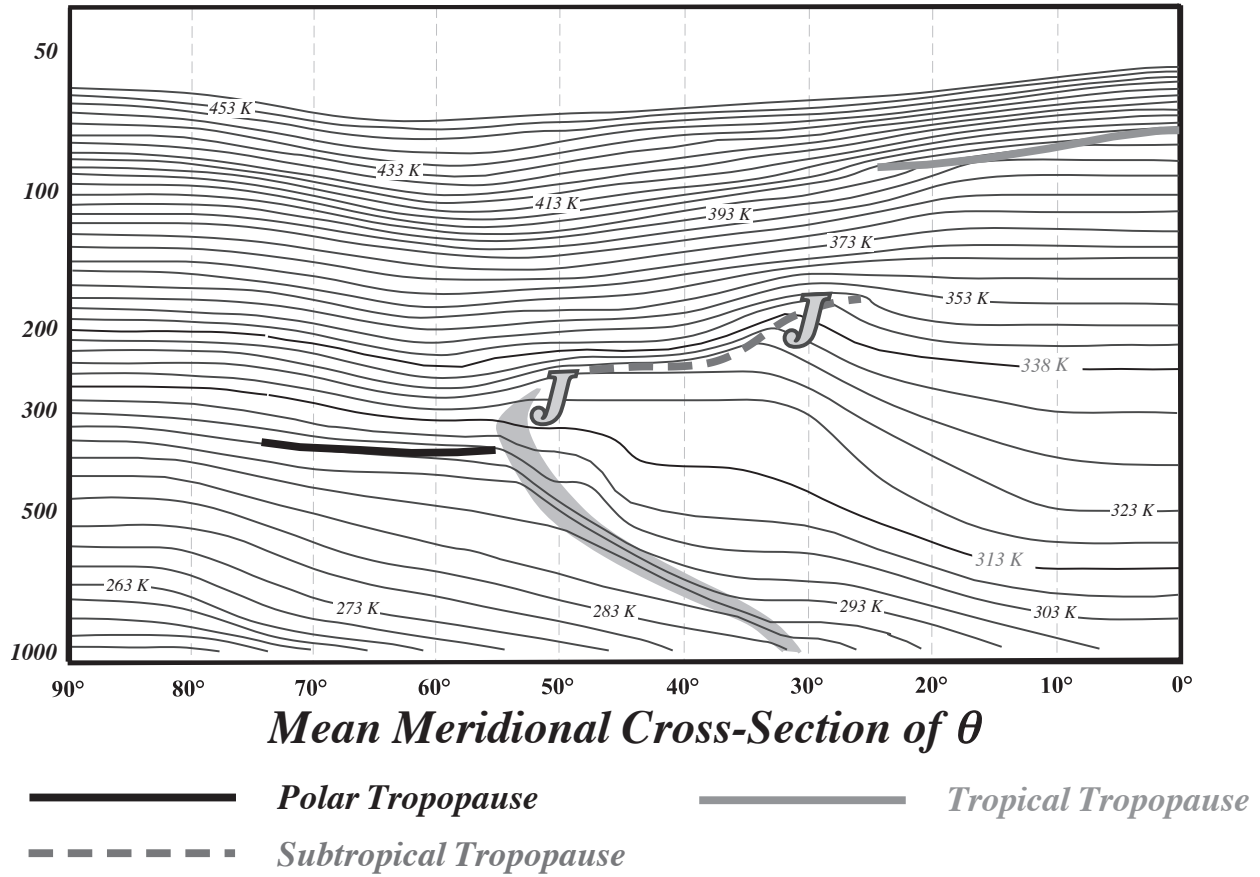
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1016 **Figures**

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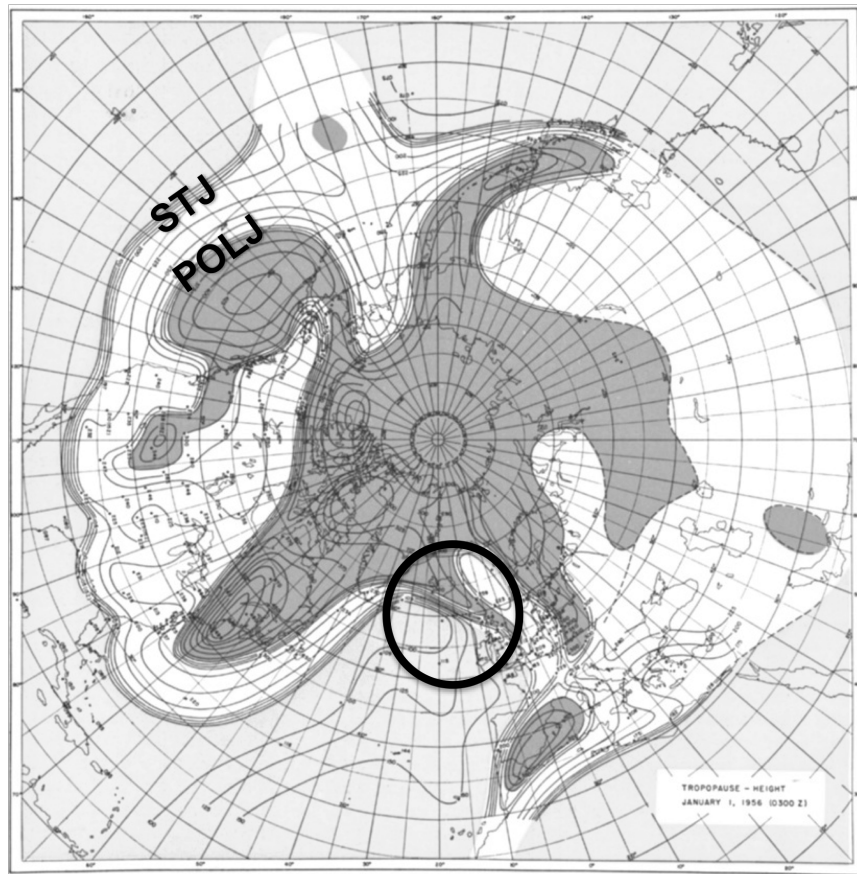


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1019 **FIG 1.** Mean meridional cross section of potential temperature for 1 Jan 1956 with the polar,
1020 subtropical, and tropical tropopauses labeled as indicated in the legend. The polar frontal layer is
1021 shaded in gray (Winters and Martin 2014, their Fig. 2).

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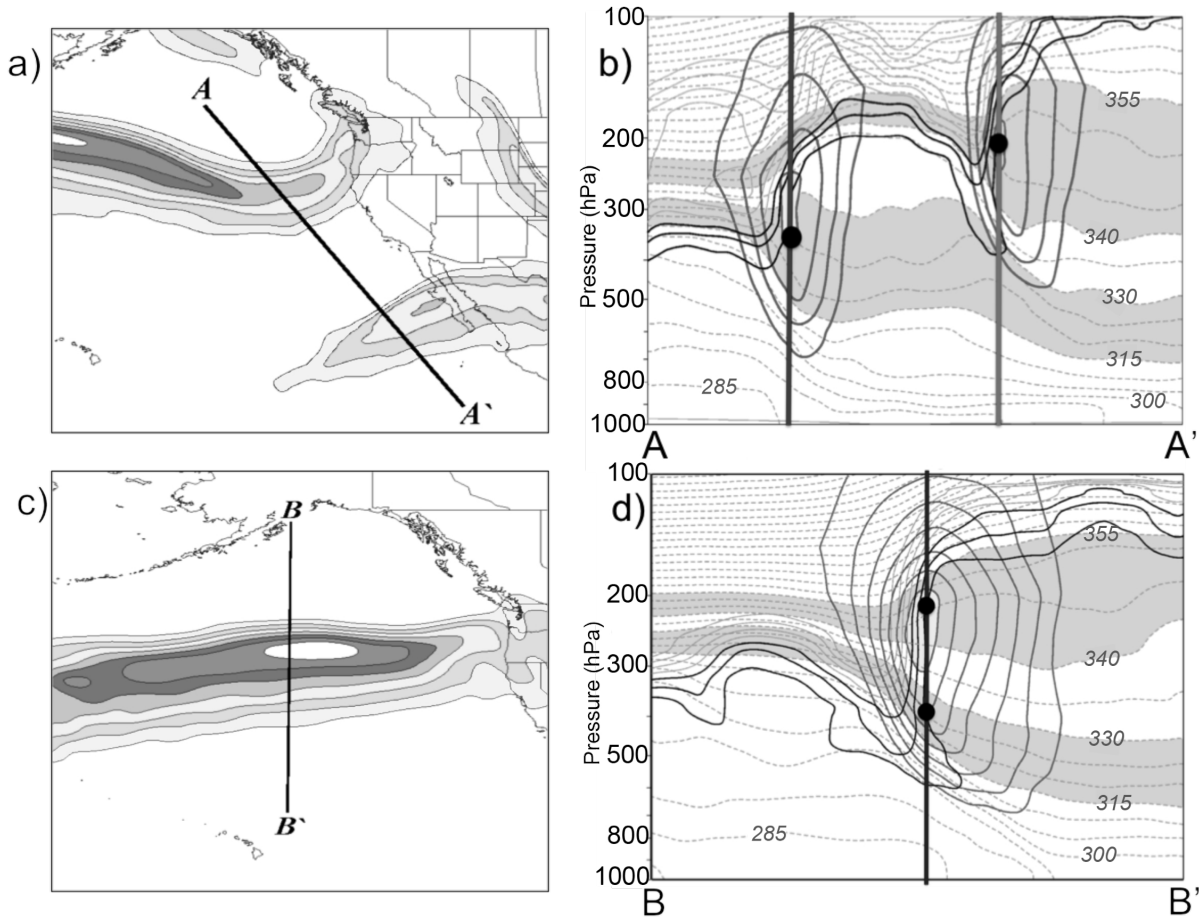
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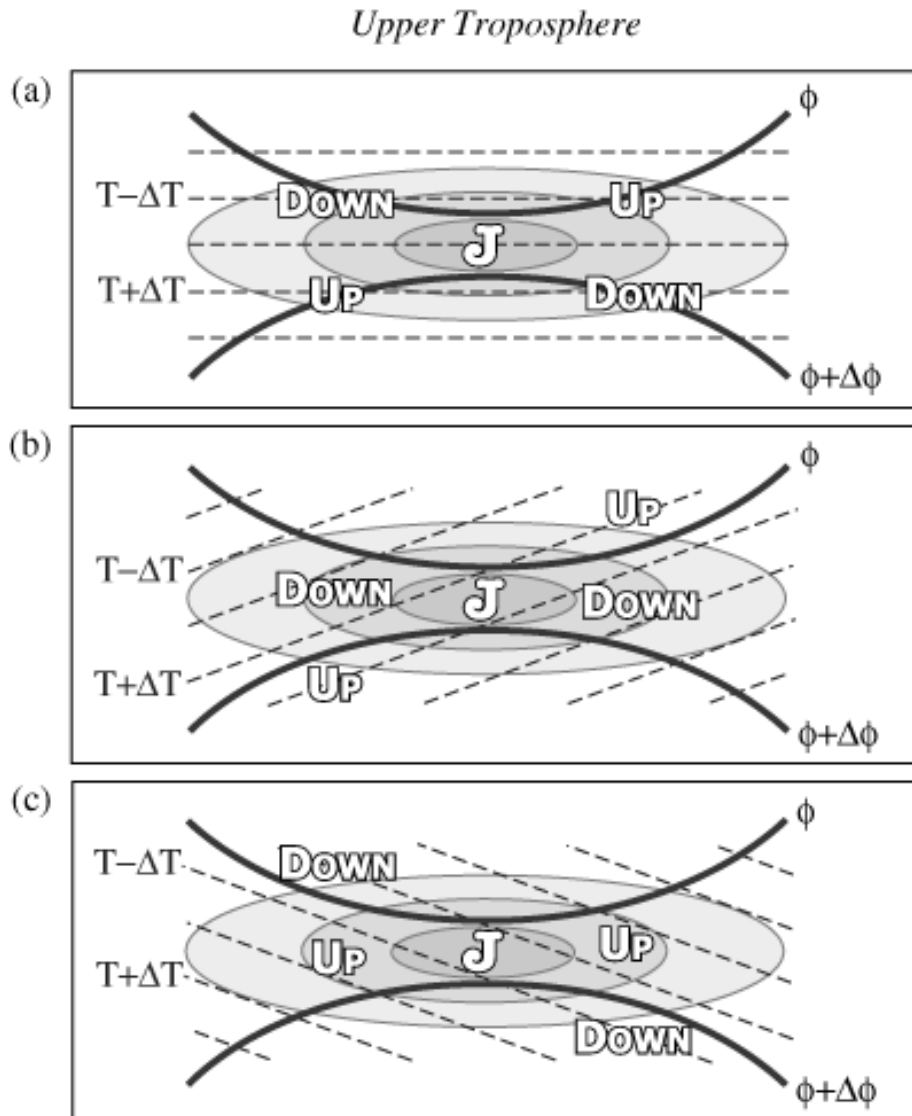
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FIG 2. Northern Hemispheric map of tropopause height (hPa) at 0300 UTC 1 Jan 1956. Tropopause breaks that correspond to the subtropical (STJ) and polar jet (POLJ) are labeled accordingly. The area identified with a circle is a region characterized by a vertical superposition of the polar and subtropical jets. Dark gray shading corresponds to the polar tropopause, white shading to the subtropical tropopause, and light gray to the tropical tropopause (Winters and Martin 2014, their Fig. 3)



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FIG. 3. (a) The 300 hPa wind speeds (shaded every 10 m s^{-1} starting at 30 m s^{-1}) at 0000 UTC 27 Apr. 2010 depicting separate polar and subtropical jets. (b) Cross section A-A', in Fig. 3a, through separate polar and subtropical jet cores with contours of 1-, 2-, and 3-PVU (black); 4-, 5-, 6-, 7-, 8-, and 9-PVU (light gray); potential temperature every 5 K (dashed gray); and wind speed every 10 m s^{-1} beginning at 30 m s^{-1} (dark gray). The 315-330- and 340-355-K isentropic layers, used to identify the locations of the jets, are shaded gray. The dark vertical lines correspond to grid columns with the black dot confirming a positive identification of a polar or subtropical jet. (c) As in (a), but for a superposed jet at 0000 UTC 24 Oct. 2010. (d) As in (b), but for the cross section B-B', in Fig. 3c, with two positive identifications (black dots) within a single grid column indicating a jet superposition (Winters and Martin 2014, their Fig. 4).



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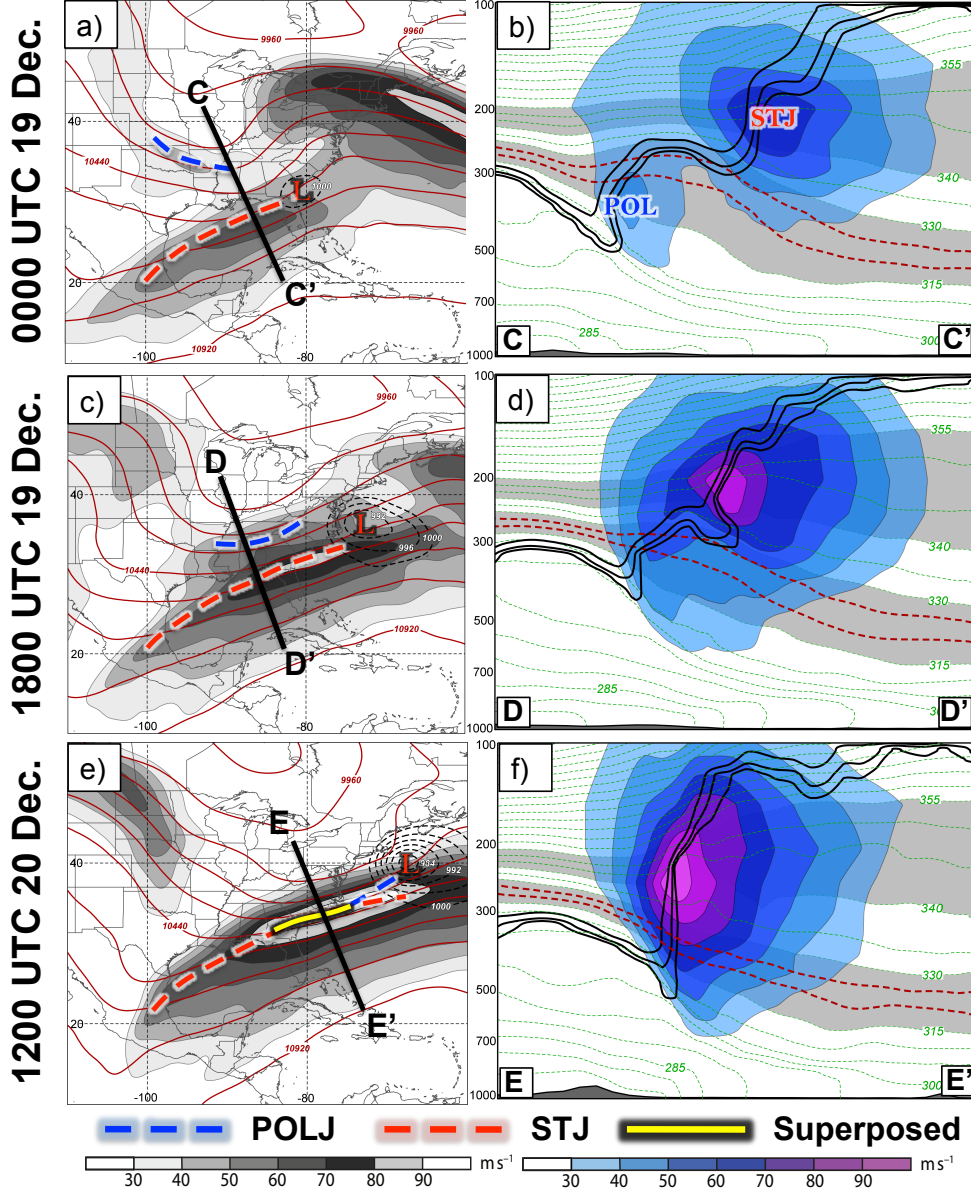
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FIG. 4. Idealized configurations of jet circulations associated with a straight jet streak on an isobaric surface in the upper troposphere. Geopotential height (thick solid lines), potential temperature (dashed lines), geostrophic wind speed (fill pattern; with the jet speed maximum represented by the J), and Sawyer-Eliassen vertical motions indicated by “up” and “down” for a regime of (a) no geostrophic temperature advection, (b) upper-tropospheric geostrophic cold-air advection, and (c) upper-tropospheric geostrophic warm-air advection along the jet axis (from Lang and Martin 2012, their Fig. 3).

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FIG. 5. [left column] 250 hPa wind speed is shaded with the gray fill pattern as indicated in the legend, 250 hPa geopotential heights are contoured in red every 120 m, sea level pressure is contoured with the dashed black lines every 4 hPa below 1000 hPa, the location of the sea-level pressure minimum is identified with the red “L”, and jet axes (identified with reference to the WM14 jet identification scheme) are identified as specified in the legend for (a) 0000 UTC 19 December 2009, (c) 1800 UTC 19 December 2009, and (e) 1200 UTC 20 December 2009. [right column] Cross sections, as identified in the plot immediately to its left, of wind speed shaded in the blue fill pattern according to the legend, potential temperature contoured every 5 K (dashed green lines), and contours of 1-, 2-, and 3-PVU (black) at (b) 0000 UTC 19 December 2009, (d) 1800 UTC 19 December 2009, and (f) 1200 UTC 20 December 2009. The gray shaded isentropic layers are those used to identify the jet axes using the identification scheme outlined in the text and the 320-K and 325-K isentropes are highlighted with the dashed red lines in the cross sections for reasons discussed in the text.

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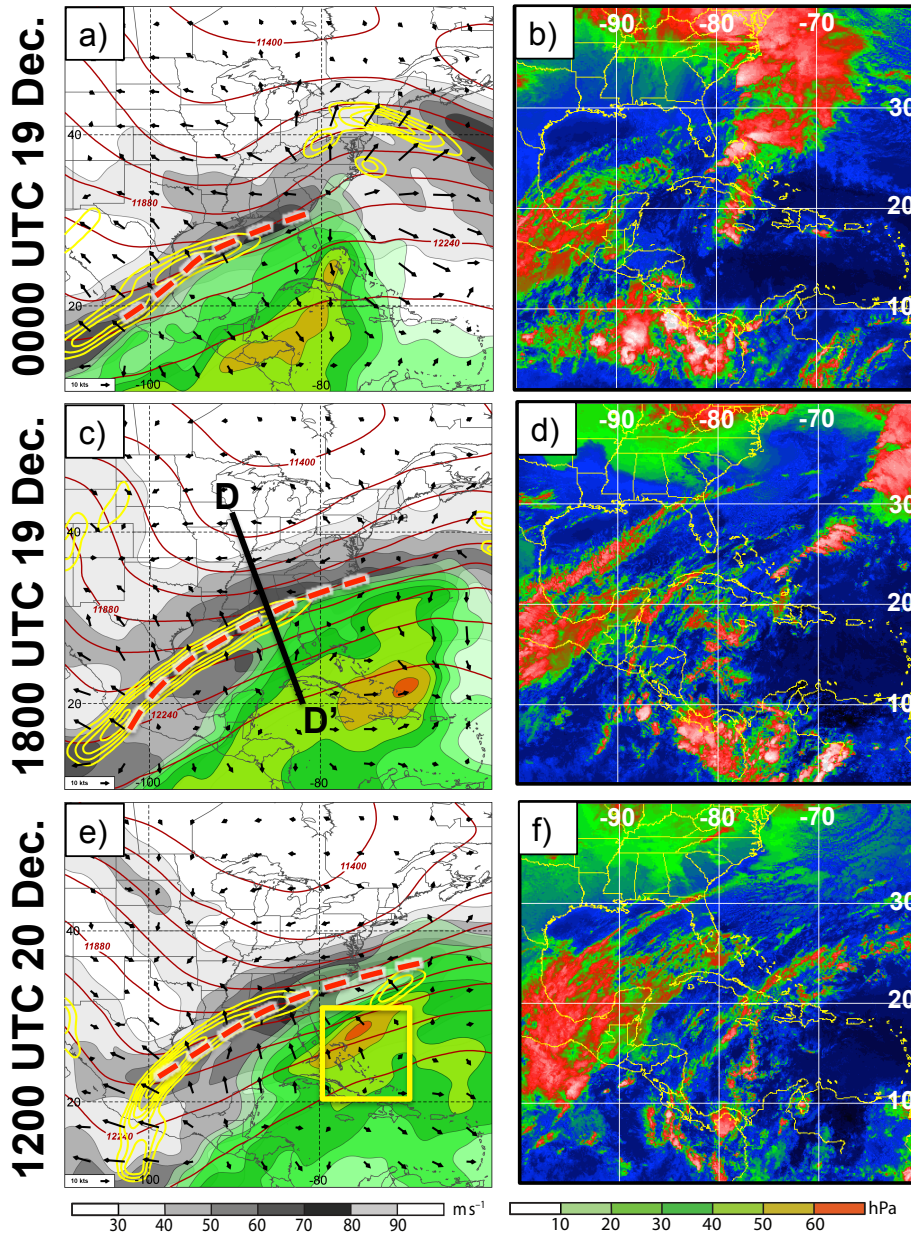


FIG. 6. [left column] 200 hPa geopotential height is contoured in red every 120 m, 200 hPa geostrophic wind speed is shaded with the gray fill pattern according to the legend, positive perturbation pressure depths within the 340-355-K isentropic layer are shaded in the green fill pattern according to the legend, negative PV advection within the 1–3-PVU channel by the divergent wind (arrows) is contoured in yellow every 2×10^{-5} PVU s^{-1} , and the subtropical jet axis from Fig. 5 is identified with the thick, dashed red line for (a) 0000 UTC 19 December 2009, (c) 1800 UTC 19 December 2009, and (e) 1200 UTC 20 December 2009. [right column] Infrared satellite imagery from University of Wisconsin – CIMSS for (b) 0000 UTC 19 December 2009, (d) 1800 UTC 19 December 2009, and (f) 1200 UTC 20 December 2009. The yellow box denotes the source region for the trajectories shown in Fig. 8.

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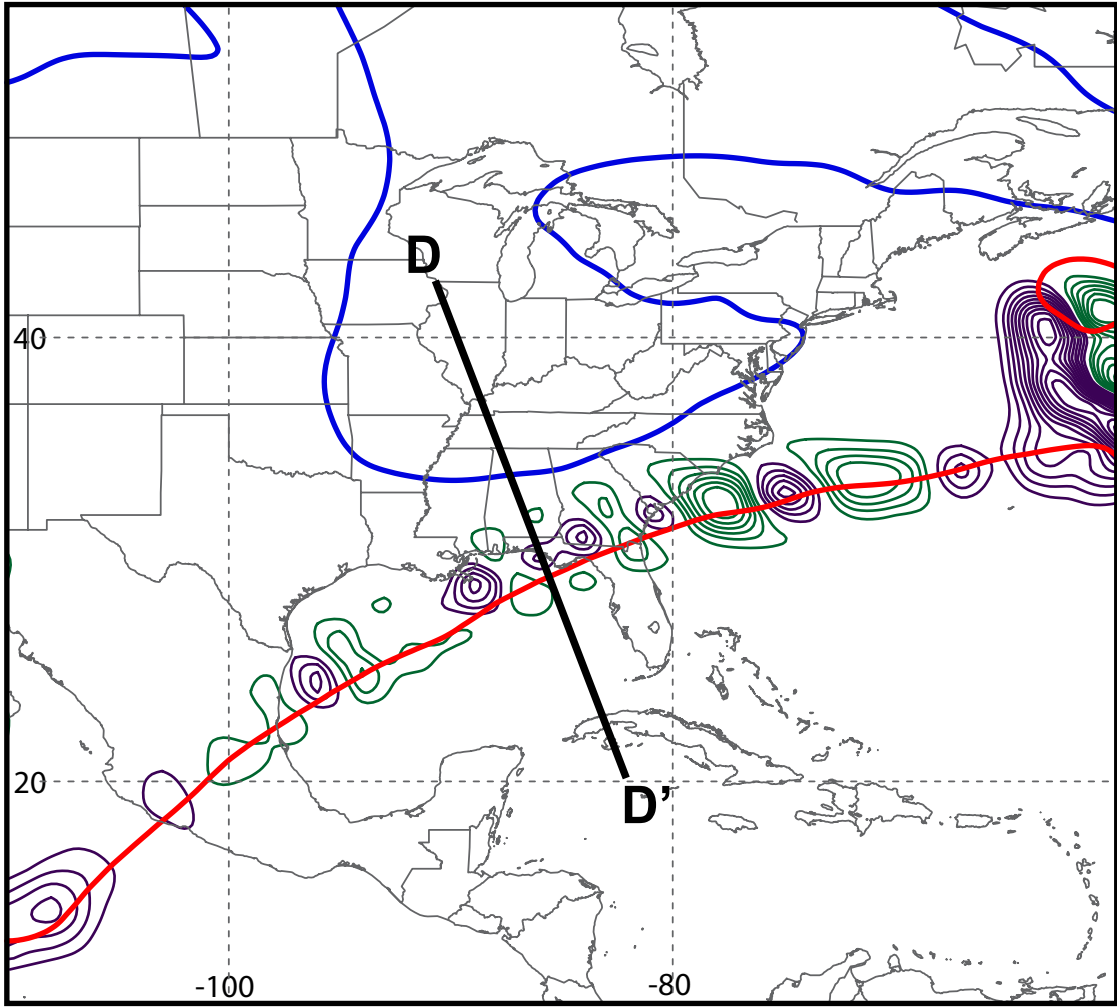
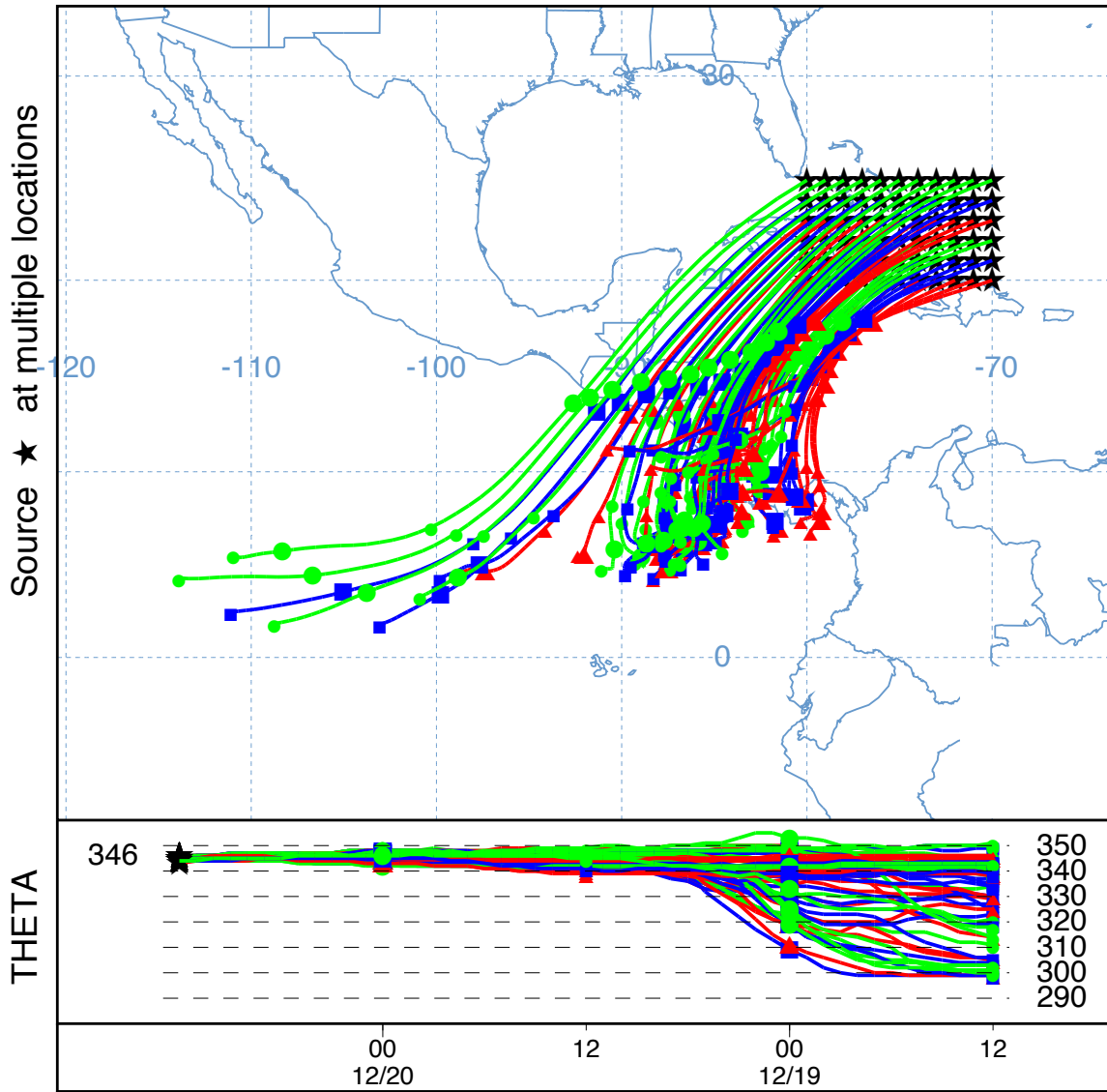


FIG. 7. 200 hPa positive (negative) PV advection within the 1–3 PVU channel by the total wind contoured in purple (green) every 2×10^{-5} PVU s^{-1} and the 2-PVU surface at 300 hPa (200 hPa) contoured in blue (red), which corresponds to the location of the polar (subtropical) tropopause break.

NOAA HYSPLIT MODEL
 Backward trajectories ending at 1200 UTC 20 Dec 09
 GDAS Meteorological Data



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FIG. 8. 48 h backward trajectories initialized at 1200 UTC 20 December 2009 every 1° latitude/longitude within the yellow box (20°N–25°N; 80°W–70°W) shown in Fig. 6e. Trajectories were initialized at 12000 m AGL within the NOAA/ARL HYSPLIT model and projected backward using archived NCEP Global Data Assimilation System (GDAS) data and model vertical motion. The GDAS data has a horizontal resolution of 1.0° x 1.0°, is interpolated from 23 sigma levels to the mandatory pressure levels, and has a temporal resolution of 3 h. The bottom panel depicts the potential temperature of the trajectories throughout the duration of the simulation.

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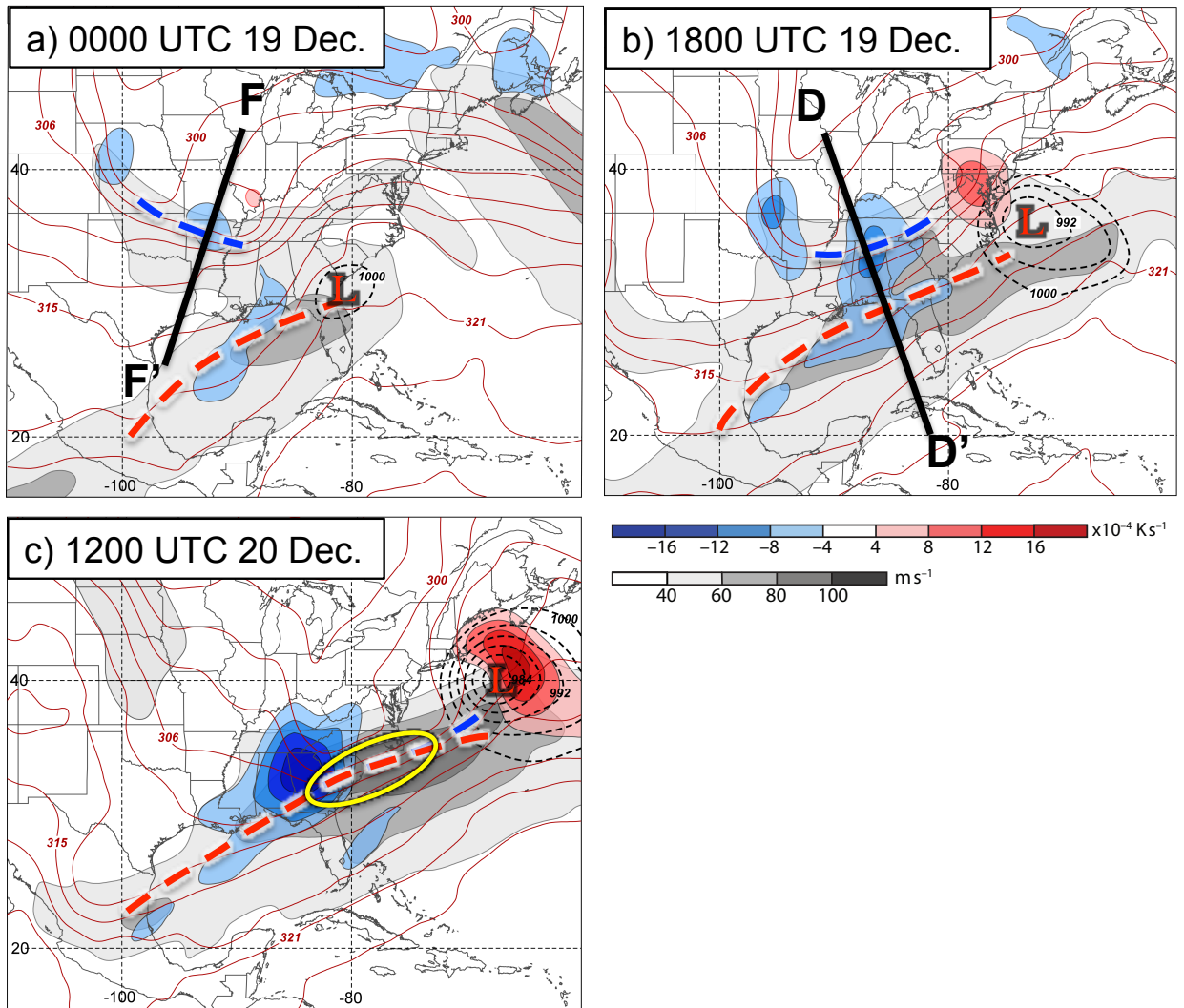


FIG. 9. 250 hPa geostrophic wind speed is shaded with the gray fill pattern according to the legend, 300 hPa geostrophic cold (warm) air advection is shaded in the blue (red) fill pattern following the legend, 500 hPa potential temperature is contoured in red every 3 K, and sea level pressure is contoured with the dashed black lines every 4 hPa below 1000 hPa for (a) 0000 UTC 19 December 2009, (b) 1800 UTC 19 December 2009, and (c) 1200 UTC 20 December 2009. The polar (subtropical) jet axis is indicated by the thick, dashed blue (red) line, as in Fig. 5, the yellow circle highlights the region of jet superposition, and the red “L” marks the location of the sea level pressure minimum.

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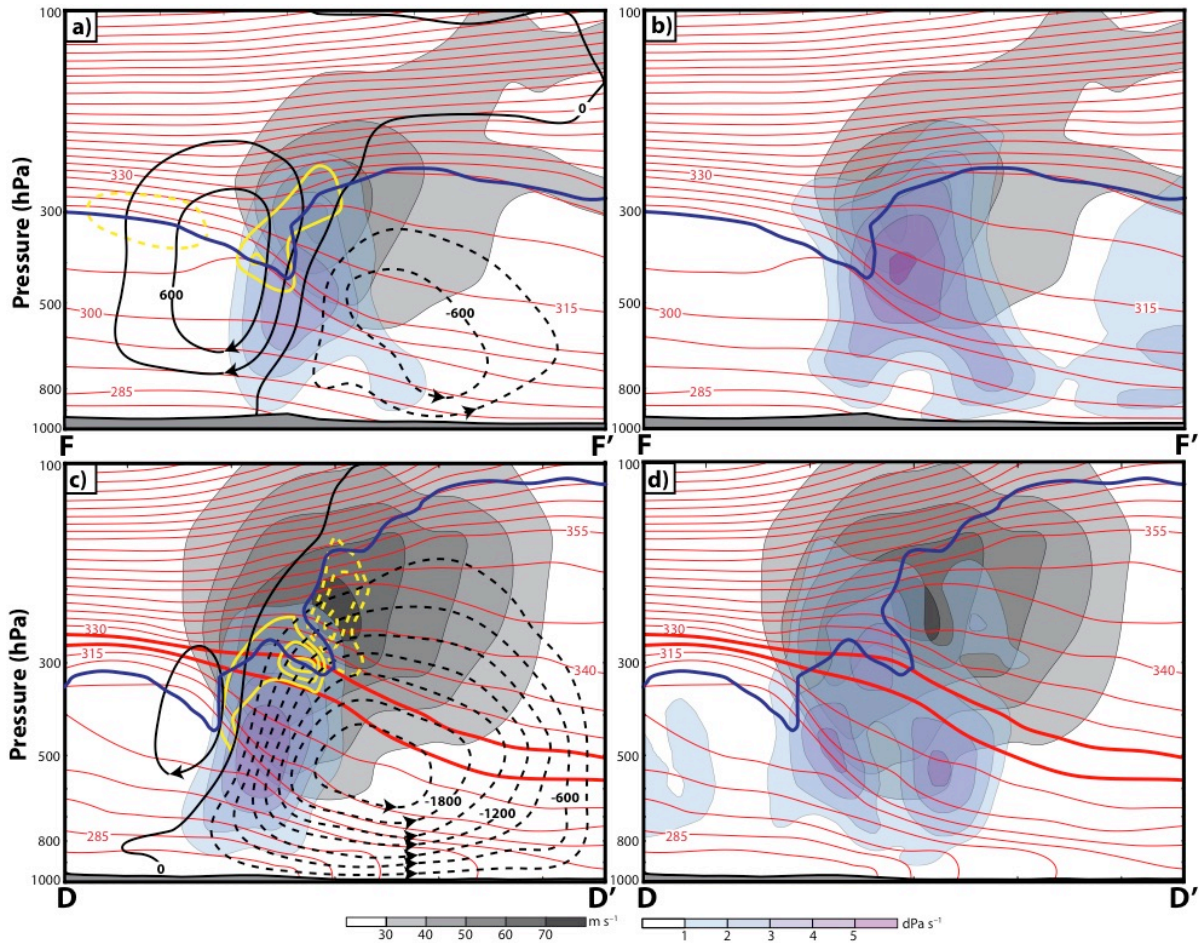
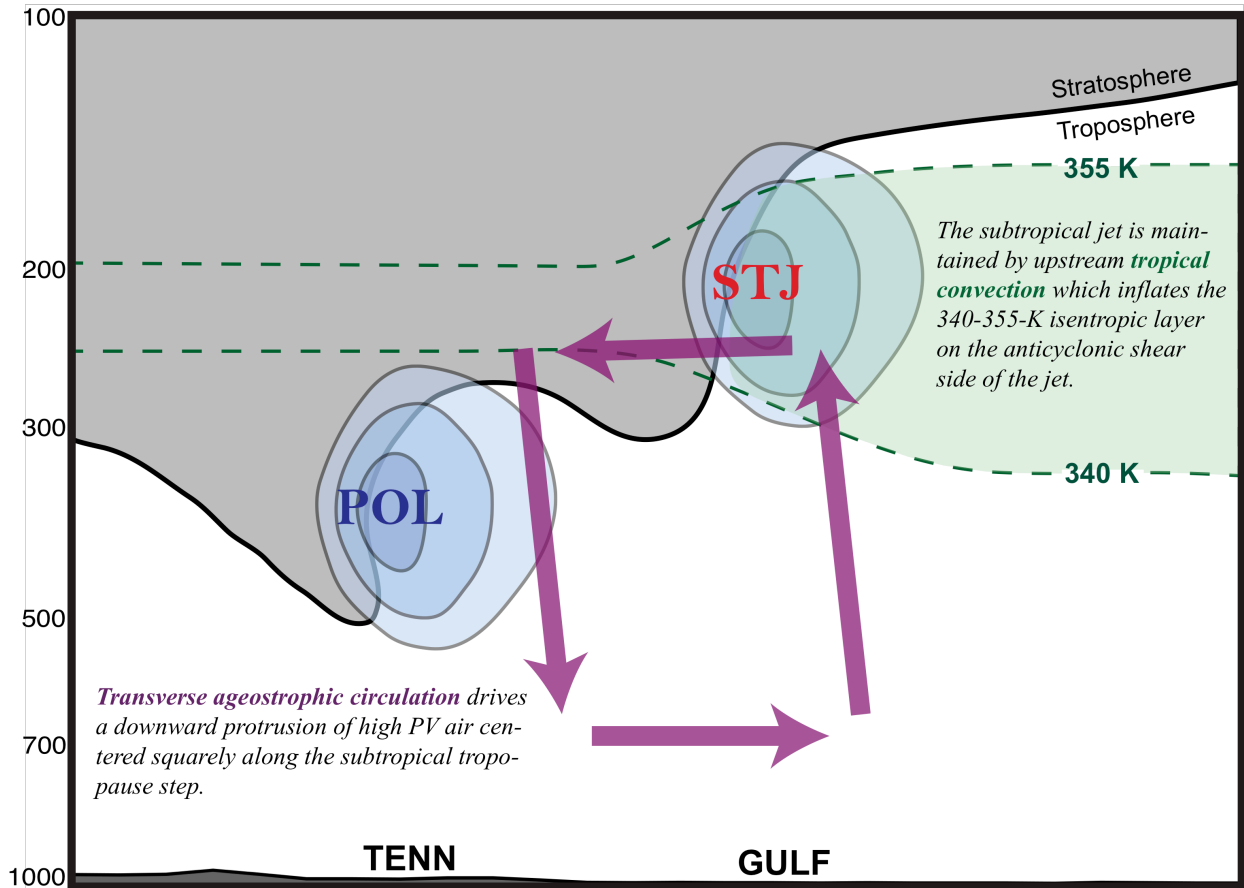


FIG. 10. (a,c) Cross sections, as indicated in Fig. 9, of Sawyer-Eliassen streamfunction every 300 m hPa s^{-1} with negative (positive) values contoured with dashed (solid) black lines, potential temperature every 5 K contoured in red, positive omega associated with the Sawyer-Eliassen circulation shaded in the purple fill pattern following the legend, geostrophic wind speeds shaded with the gray fill pattern according to the legend, positive (negative) PV advection within the 1–3-PVU channel by the Sawyer-Eliassen circulation contoured with the solid (dashed) yellow lines every $2 \times 10^{-5} \text{ PVU s}^{-1}$, and the 1.5-PVU surface identified by the bold blue line. The sense of the circulation is depicted by the arrowheads plotted on the streamfunction contours. The 320 K and 325 K isentropes are bolded in (c) for reasons discussed in the text. (b,d) Wind speed, PV, and potential temperature distributions are identical to those in (a,c) with subsidence from the GFS analysis now shaded in the purple fill pattern.

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FIG. 11. Conceptual diagram summarizing the development of a superposed jet during the 18–20 December 2009 Mid-Atlantic Blizzard. “TENN” corresponds to Tennessee and “GULF” denotes the location of the Gulf Coast.

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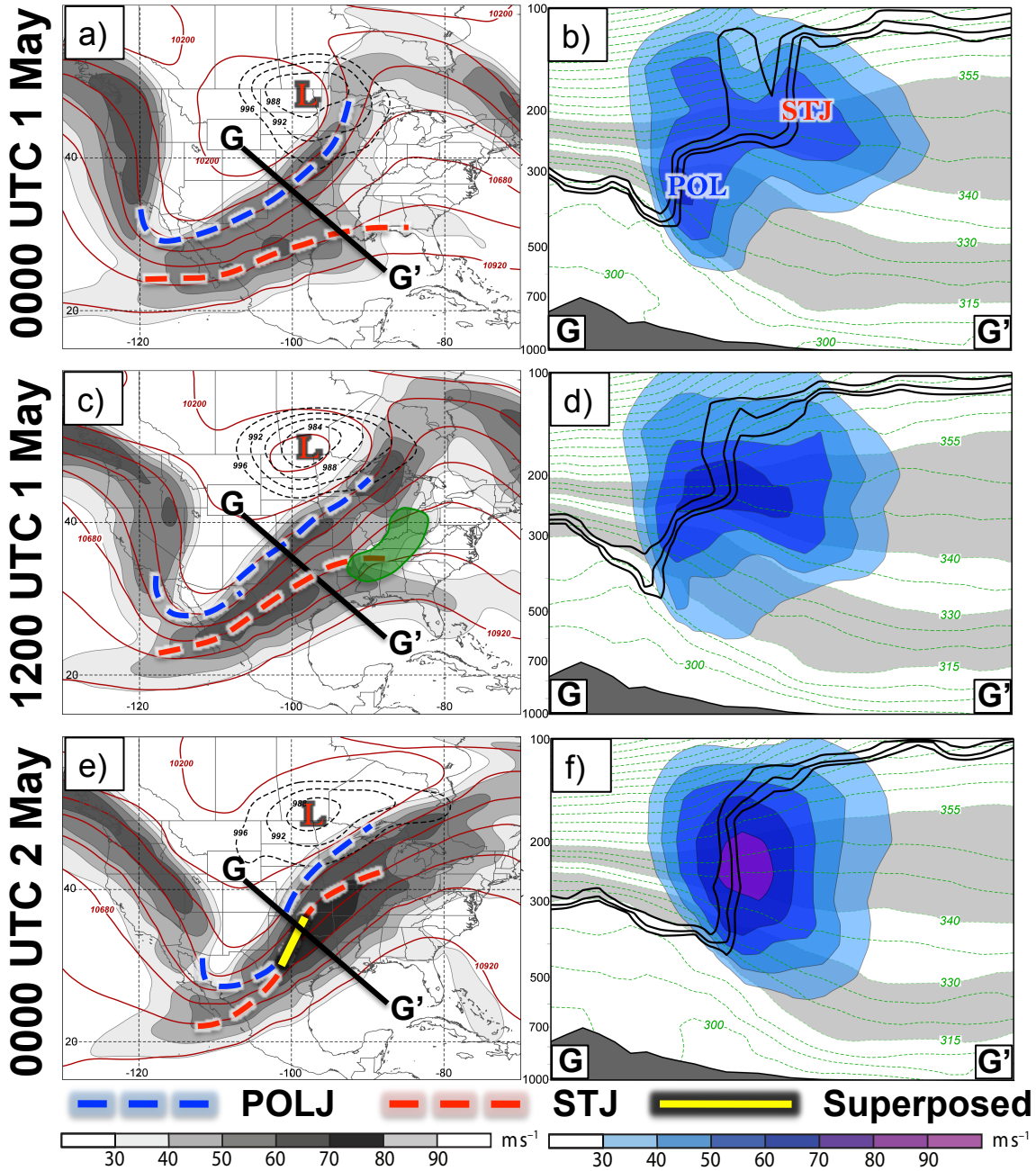


FIG. 12. Conventions are identical to Fig. 5 but for (a,b) 0000 UTC 1 May 2010, (c,d) 1200 UTC 1 May 2010, and (e,f) 0000 UTC 2 May 2010. The precipitation shield at 1200 UTC 1 May 2010 in (c) is denoted by the green shading and sea level pressure is now contoured every 4 hPa below 996 hPa in the left column.

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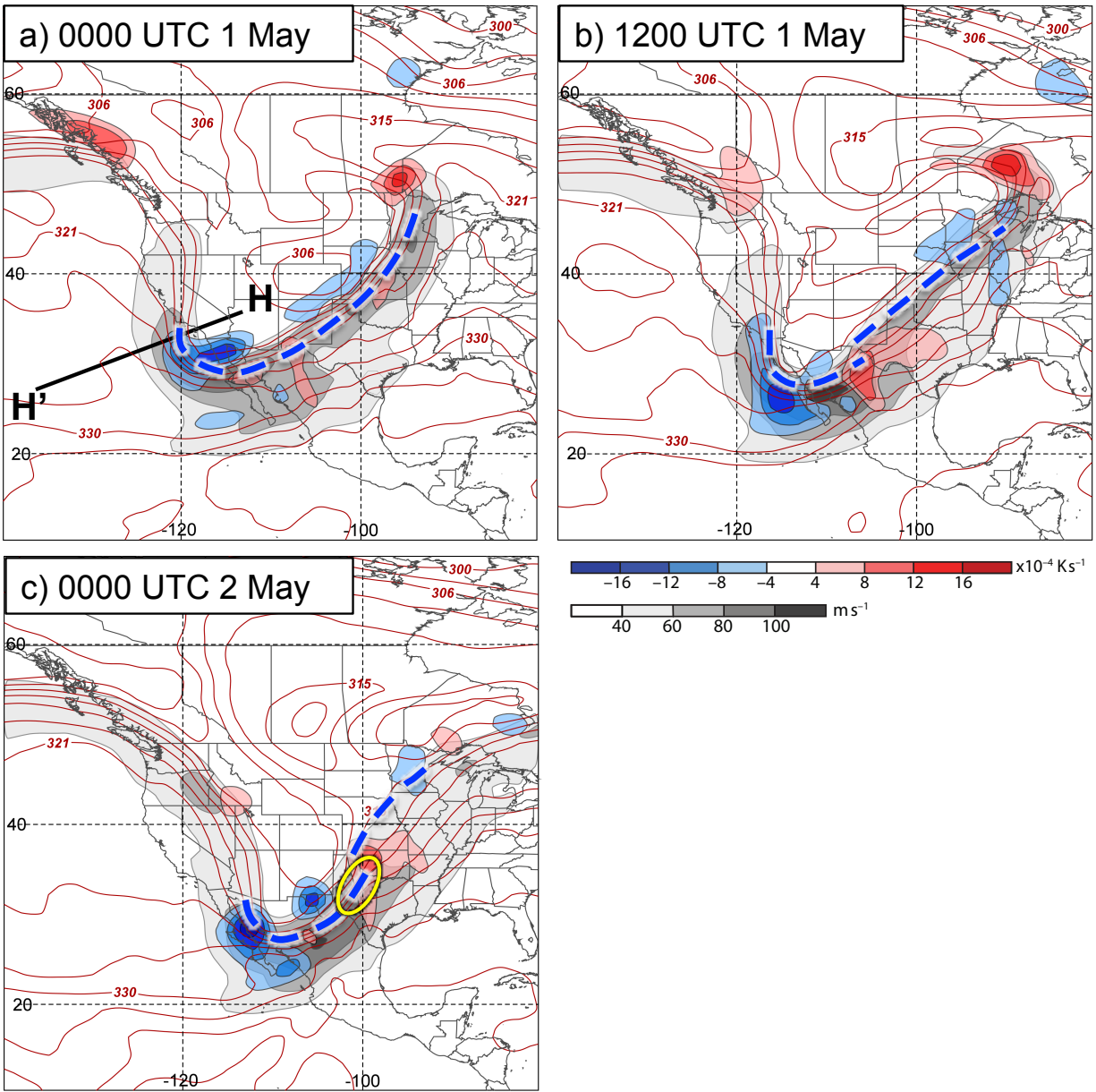


FIG. 13. 300 hPa geostrophic wind speed is shaded in the gray fill pattern according to the legend, 300 hPa geostrophic cold (warm) air advection is shaded in the blue (red) fill pattern following the legend, and 400 hPa potential temperature is contoured in red every 3 K at (a) 0000 UTC 1 May 2010, (b) 1200 UTC 1 May 2010, and (c) 0000 UTC 2 May 2010. Polar jet axes from Fig. 12 are indicated by the thick, blue dashed lines and the yellow circle highlights the region of jet superposition.

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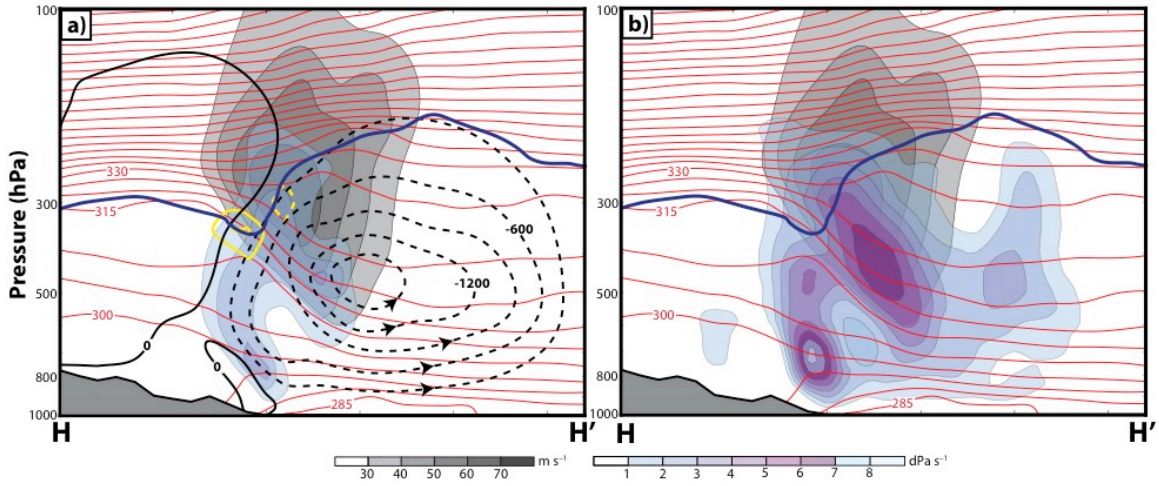


FIG. 14. Conventions are identical to those in Fig. 10, but for the cross section shown in Fig. 13a.

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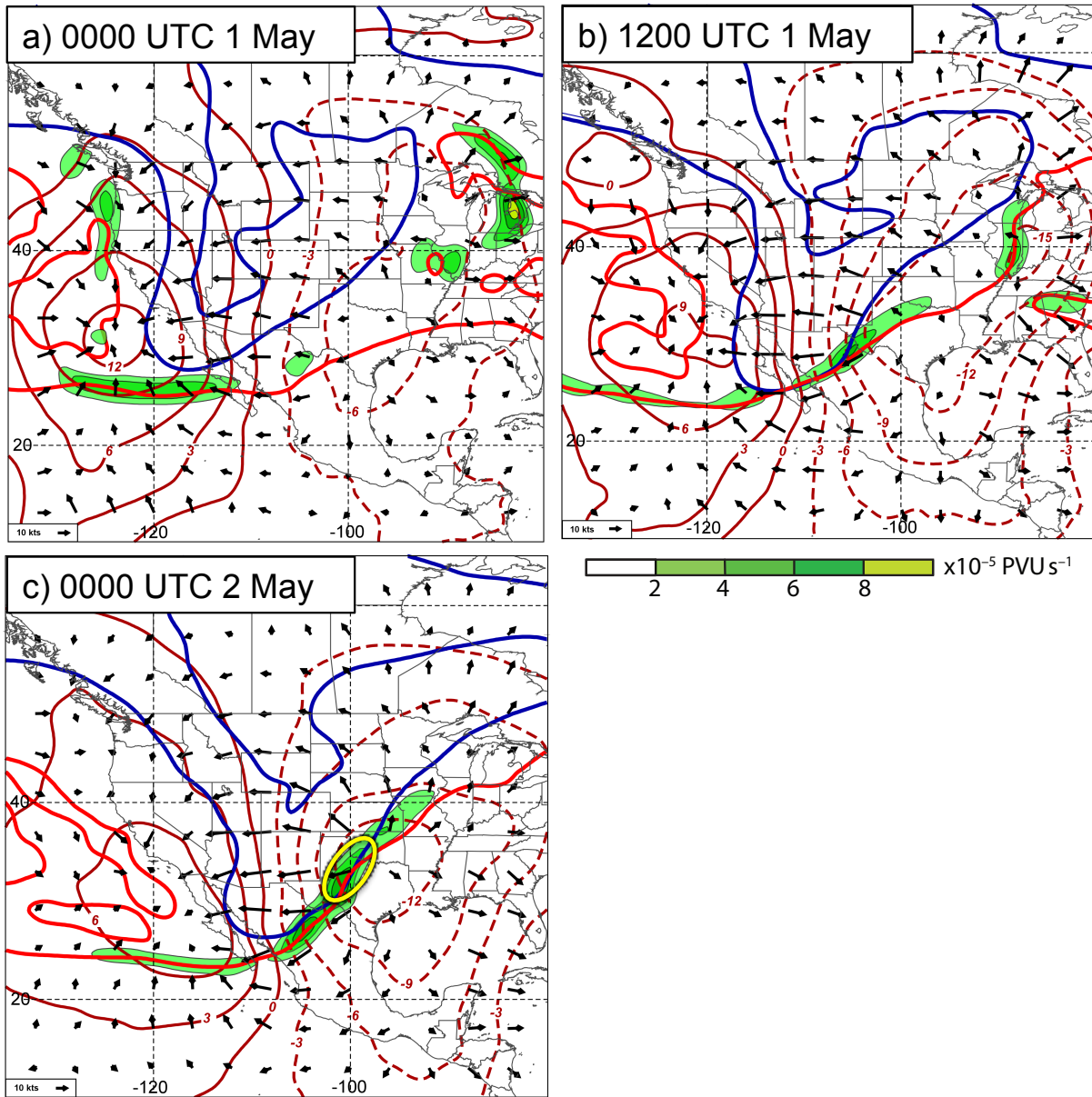


FIG. 15. 200 hPa velocity potential is contoured every $3 \times 10^6 \text{ m}^2 \text{ s}^{-1}$ with positive (negative) values identified with solid (dashed) thick red lines, the 2-PVU contour at 300 hPa (200 hPa) is identified with the thick blue (red) line, and negative PV advection within the 1–3-PVU channel by the divergent wind (arrows) at 200 hPa is shaded in the green fill pattern following the legend at (a) 0000 UTC 1 May 2010, (b) 1200 UTC 1 May 2010, and (c) 0000 UTC 2 May 2010.

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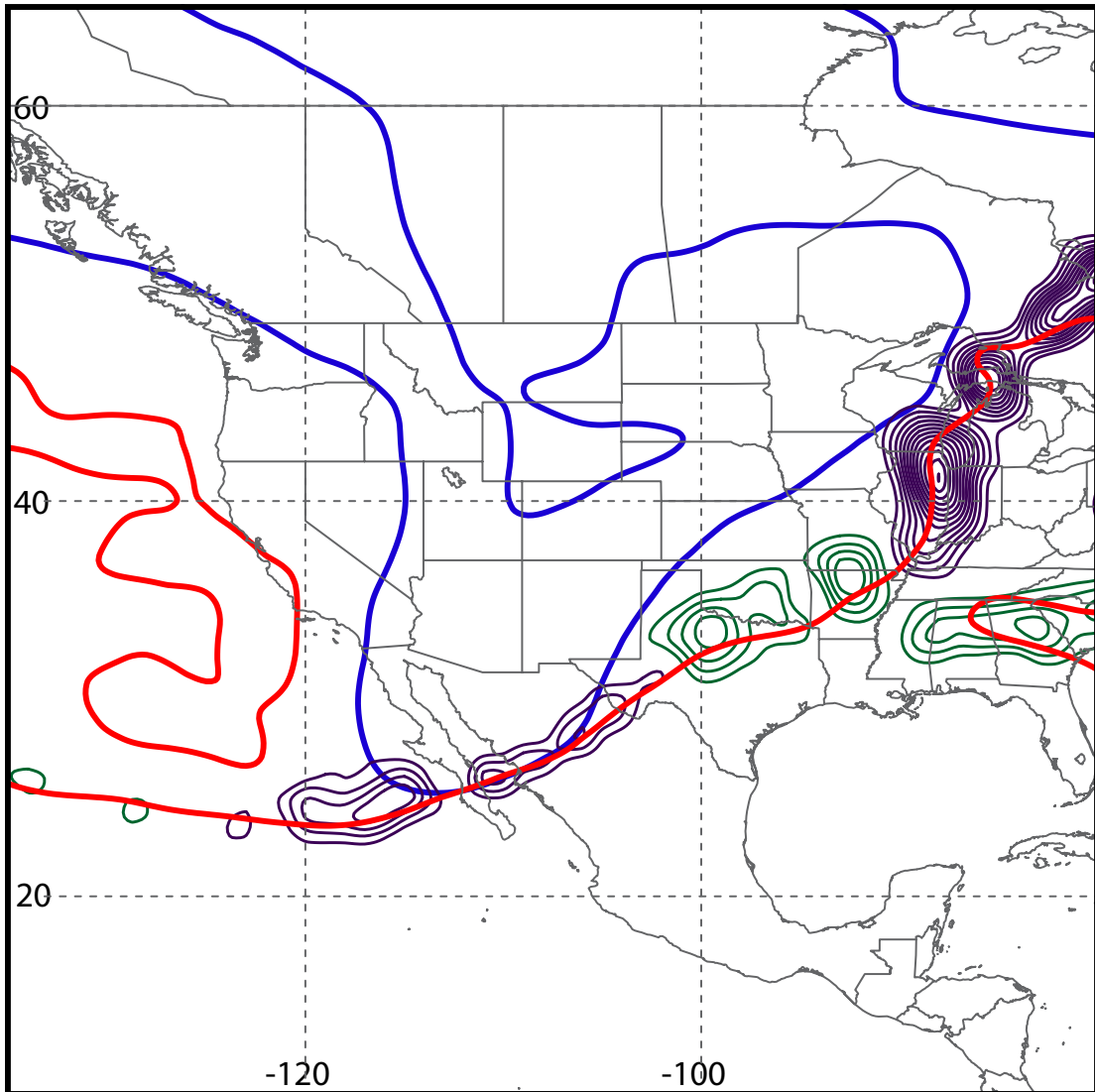
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1545 **FIG. 16.** Conventions are identical to those in Fig. 7, but at 1200 UTC 1 May 2010.

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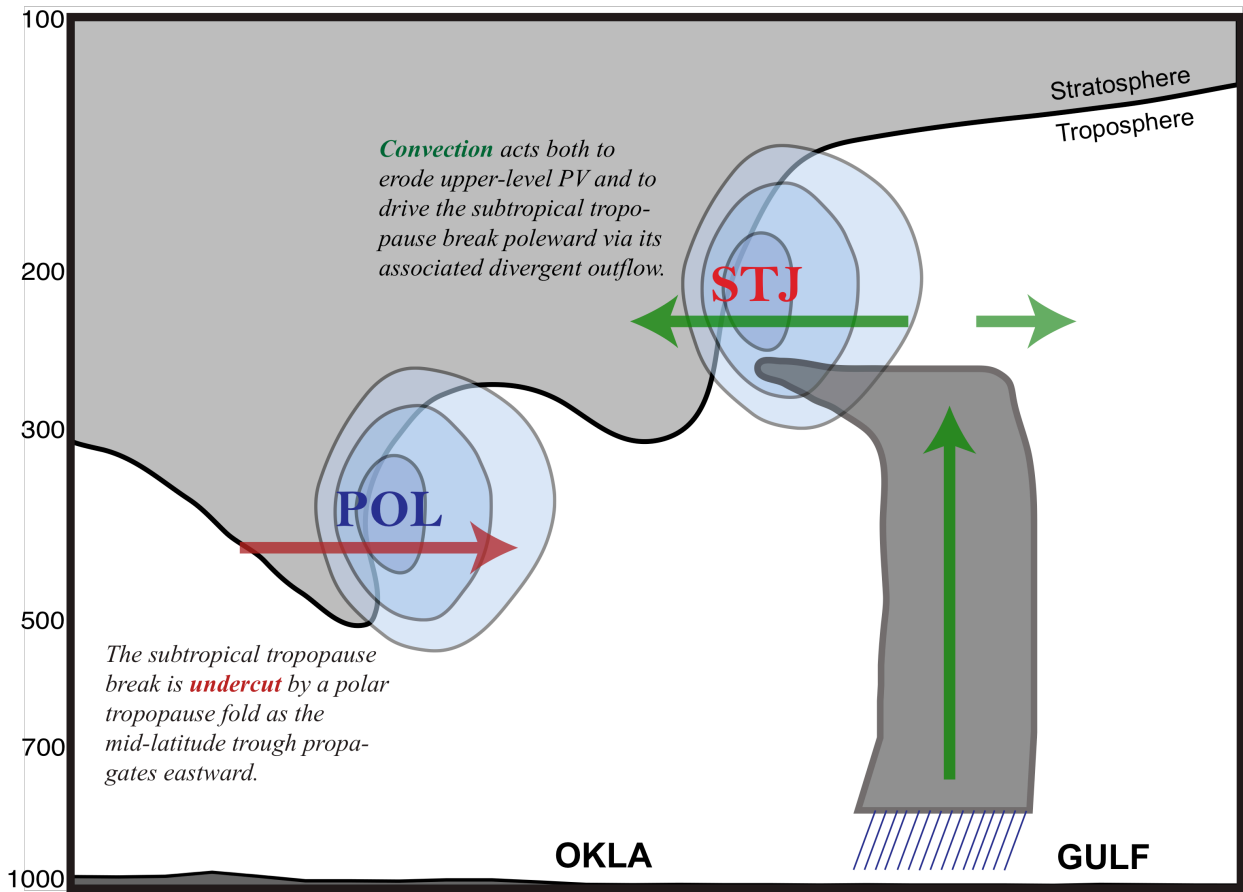
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1554 **FIG. 17.** Conceptual diagram summarizing the development of a superposed jet during the 1–3
1555 May 2010 Nashville Flood. “OKLA” corresponds to Oklahoma and “GULF” denotes the
1556 location of the Gulf Coast.