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**SINUOSITY AS A MEASURE OF MIDDLE TROPOSPHERIC WAVINESS**

by

Jonathan E. Martin<sup>1</sup>, Stephen J. Vavrus<sup>2</sup>, Fuyao Wang<sup>2</sup>, and Jennifer A. Francis<sup>3</sup>

<sup>1</sup>Department of Atmospheric and Oceanic Sciences, University of Wisconsin-Madison

<sup>2</sup>Center for Climatic Research, University of Wisconsin-Madison

<sup>3</sup>Institute of Marine and Coastal Sciences, Rutgers University

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## ABSTRACT

28           Despite the importance of synoptic- to planetary-scale atmospheric waves in  
29 the production of organized mid-latitude weather systems, no widely accepted  
30 metric exists for quantifying the waviness of the large-scale circulation. The concept  
31 of sinuosity, borrowed from geomorphology, is introduced as a means of measuring  
32 the waviness of the mid-tropospheric flow using 500 hPa geopotential height  
33 contours. A simple method for calculating the sinuosity of the flow is presented and  
34 several broad characteristics of the flow are discussed.

35           First, the circulation is characterized by a maximum in waviness in the  
36 summer and a minimum in winter. Second, weakening (strengthening) of the  
37 wintertime mid-tropospheric zonal flow is shown to be associated with increased  
38 (decreased) waviness. Third, a strong negative correlation is found between the  
39 observed daily sinuosity and the daily Arctic Oscillation (AO) index in the cold  
40 season. Additionally, the DJF average sinuosity is shown to be highly correlated  
41 with the seasonal average AO index, suggesting that physical mechanisms that  
42 reduce (increase) the poleward height gradient, and correspondingly weaken  
43 (strengthen) the mid-latitude westerlies, may also encourage increased (reduced)  
44 waviness. The use of this metric to examine changes in the complexion of mid-  
45 latitude waviness in a changing climate is discussed.

46

## 46 **1. Introduction**

47           In recognition of the prominent role played by the mid-latitude westerlies in  
48 the general circulation of the Earth's atmosphere, Rossby and collaborators (1939)  
49 introduced the concept of the "zonal index"<sup>1</sup> as a measure of the strength of the  
50 zonal westerlies. Subsequent work by Namias (1950) examined what appeared to  
51 be a characteristic decline and recovery of the westerlies each winter in what he  
52 termed the "index cycle". This work represented the culmination of a series of  
53 investigations (e.g. Namias 1947a,b, Willett 1948, Wexler 1948) linking changes in  
54 the hemispheric circulation (evident in changes in the zonal index) to the  
55 equatorward movement of cold air during boreal winter. Central to this idea was  
56 the notion that strong, zonally oriented mid- to upper-tropospheric westerlies act to  
57 contain cold air at high latitudes so that cold-air outbreaks are afforded when the  
58 zonality of the flow relaxes.

59           The development of blocking ridges substantially interrupts the zonality of  
60 the flow and so has become a topic of considerable inquiry (e.g. Elliot and Smith  
61 1949, Rex 1950ab, White and Clark 1975, Egger 1978, Austin 1980, Legenäs and  
62 Øakland 1983, Dole and Gordon 1983, Lupo and Smith 1995, Shabbar et al. 2001,  
63 Pelly and Hoskins 2003, Schwierz et al. 2004, Woollings et al. 2011, Masato et al.  
64 2013, Barnes et al. 2014, Davini et al. 2014). Another feature at the center of studies  
65 of hemispheric circulation variability has been the circumpolar vortex (CPV) (e.g.

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<sup>1</sup> Originally defined at sea-level as the average geostrophic wind in the latitude belt 35°N to 55°N. It is commonly evaluated aloft as well.

66 Markham 1985, Angell 1998, Davis and Benkovic 1992, Burnett 1993, Frauenfeld  
67 and Davis 2003, Rohli et al. 2005, Wrona and Rohli 2007). As noted by Frauenfeld  
68 and Davis (2003), assessment of variability in the size, strength and waviness of the  
69 circulation can all be considered in terms of measurable characteristics of the CPV.

70 To our knowledge, only two studies of the variability of the CPV have directly  
71 assessed the waviness of the mid-tropospheric flow. Rohli et al. (2005) borrowed a  
72 measure from fluvial geomorphology – the circularity ratio ( $R_c$ ) – to quantify the  
73 waviness of the 5460m isohypse at 500 hPa (recommended by the study of  
74 Frauenfeld and Davis 2003) for the month of January from 1959-2001. Wrona and  
75 Rohli (2007) extended this analysis to DJF for each of those 43 cold seasons and  
76 added analyses of the months of April, July, and October in order to uncover aspects  
77 of the seasonality of the CPV, as depicted by this single 500 hPa isohypse.

78 High impact mid-latitude weather events and regimes are often associated  
79 with large-amplitude planetary waves, as such patterns are dynamically linked to  
80 robust cyclogenesis and anticyclonogenesis events as well as the development of  
81 blocked flows. In spite of this well-known relationship, no widely accepted metric  
82 exists for quantifying the waviness of the circulation. Recent studies employing  
83 gridded reanalysis data sets have offered reasonable suggestions. Francis and  
84 Vavrus (2012) and Barnes (2013) incorporated measures of the maximum  
85 meridional extent of 500 hPa isohypses (on both seasonal and daily time scales) as a  
86 means of examining interannual trends in the complexion of middle tropospheric  
87 waves. Screen and Simmonds (2013) employed a Fourier decomposition to first

88 characterize both the meridional and zonal amplitudes of waves in the mid-latitude  
89 middle troposphere, and then examine temporal changes in these characteristics. In  
90 the present paper we appropriate a measure common in geomorphology – *sinuosity*  
91 – to measure the waviness of the mid-tropospheric flow using 500 hPa geopotential  
92 height contours. As will be shown, calculation of this simple quantity ensures that  
93 any departure from zonality in geostrophic streamlines, *not only the most extreme*  
94 *departures*, is incorporated into a metric of hemispheric waviness. A seasonality in  
95 the sinuosity of the flow is demonstrated, with a maximum in summer and  
96 minimum in winter. Through consideration of a 500 hPa zonal index<sup>2</sup>, a  
97 characteristic weakening of the mid-tropospheric zonal wind in association with an  
98 increase in sinuosity is demonstrated. Additionally, a strong negative correlation is  
99 found between the observed daily sinuosity and the daily Arctic Oscillation (AO)  
100 index in the cold season. Further, the winter (DJF) average sinuosity is shown to be  
101 highly correlated with the seasonal average AO, suggesting that the physical  
102 mechanisms that reduce (increase) the poleward height gradient and  
103 correspondingly weaken (strengthen) the mid-latitude westerlies, may also foster  
104 increased (reduced) waviness.

105         The purpose of this paper is to introduce a new tool for assessing changes in  
106 the complexion of the large-scale circulation and to demonstrate fundamental  
107 aspects of its utility. Accordingly, the paper is organized in the following manner.  
108 In Section 2 we define sinuosity and describe both the method and data set used to  
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<sup>2</sup> The daily 500 hPa zonal index is calculated as the zonal average of the westerly geostrophic wind at 500 hPa in the latitude belt 35°-55°N.

109 calculate it. Aspects of the annual cycle in sinuosity, along with an emphasis on  
110 analysis of a time series of the previous 66 winter seasons, are presented in Section  
111 3. The relationship between cold-season time series of sinuosity and the AO are also  
112 considered in that section. Finally, a summary and discussion of the results,  
113 including suggestions for future research, are offered in Section 4.

## 114 **2. Data and Methodology**

115 Morphological aspects of the meanders of rivers and streams is a subject in  
116 fluvial geomorphology. A simple measure of such meanders is known as sinuosity  
117 which is the ratio of the length of a segment of a stream to the length of the shortest  
118 distance between the endpoints of the segment (Leopold et al. 1964). A schematic  
119 example is given in Fig. 1. The extension of this idea employed in the present study  
120 depends upon calculation of the length of, and the area enclosed by, a given 500 hPa  
121 geopotential height contour (isohypse). Cutoff portions of any isohypse (i.e. cutoff  
122 lows or highs) are easily included in our measure of sinuosity because such features  
123 occupy a measurable area and their perimeters have finite lengths. We consider the  
124 waviness in a given mid-latitude flow to be a measure of the departure of its  
125 streamlines from zonality. Therefore, determination of the sinuosity of the flow  
126 along a geostrophic streamline (i.e. isohypse) begins by calculating the area  
127 enclosed by the given isohypse. Next, we compute an equivalent latitude for that  
128 isohypse. The equivalent latitude is that latitude poleward of which the area is

129 equal to the area enclosed by the given isohypse<sup>3</sup>. Finally, the sinuosity is defined as  
130 the ratio of the length of the given 500 hPa isohypse to the circumference of its  
131 equivalent latitude circle. An example is shown in Fig. 2. It follows from the  
132 definition that the minimum value of sinuosity is 1.0 which describes a purely zonal  
133 streamline (i.e. no waviness).

134 It has been suggested that shifting isohypses poleward in a warmer climate  
135 might give rise to the illusion, when using sinuosity as a metric, of a change in  
136 waviness when none is occurring. In order to evaluate this concern we conducted a  
137 series of simple numerical experiments in which the sinuosity of hypothetical  
138 isohypses, characterized by a varying number of deep and shallow square waves,  
139 were carefully examined. The simplest case of a single modest square wave is  
140 shown in Fig. 3. Keeping the aspect ratio of the square wave constant upon moving  
141 the isohypse from 35° to 40°N results in an 8.9° latitudinal depth at 40°N compared  
142 to the original 10° at 30°N. The poleward encroachment of this waveform results in  
143 a 0.24% increase in sinuosity at the higher latitude. We suggest this is well small  
144 enough to ensure that the utility of sinuosity as a metric of waviness is not  
145 compromised. Additional support for allaying the aforementioned concern comes  
146 from recent work by Bezeau et al. (2014) who demonstrated that the daily  
147 climatological variability in Northern Hemisphere 500 hPa height anomalies is  
147

<sup>3</sup> If  $A$  is the area enclosed by a given isohypse, then the equivalent latitude,  $\phi_E$ , is given by  $\phi_E = \arcsin[1 - \frac{A}{2\pi R_e^2}]$ , where  $R_e$  is the radius of the Earth. Reference to an equivalent latitude is reminiscent of an aspect of the measure of eddy amplitude employed by Nakamura and Zhu (2010) and Nakamura and Solomon (2010, 2011) in their development of a diagnostic formulation for finite-amplitude wave activity.

148 significantly greater than the long term increase in heights resulting from Arctic  
149 amplification.

150         Though many prior investigations of the variability of the mid-tropospheric  
151 circulation have considered the area of the circumpolar vortex, only Rohli et al.  
152 (2005) and Wrona and Rohli (2007) explicitly considered the waviness. They did so  
153 using a measure called the circularity ratio ( $R_c$ ) defined as the area enclosed within  
154 a given isohypse divided by the area poleward of a zonal ring whose perimeter is  
155 identically the length of the given isohypse. They applied this measure to a single  
156 500 hPa isohypse (546 dm) for 42 cold seasons (DJF) using observed mean monthly  
157 500 hPa geopotential height analyses on a  $5^\circ \times 5^\circ$  latitude/longitude grid from  
158 NCAR's Monthly Northern Hemisphere Tropospheric Analysis.<sup>4</sup> Their choice of the  
159 5460 m isohypse was motivated by the desire to consistently sample the size and  
160 shape of the circumpolar vortex within the main belt of the westerlies. As described  
161 below, our study builds on these pioneering efforts to quantify atmospheric  
162 waviness by expanding the analysis in time and space and by applying a more  
163 physically based morphometric parameter.

164         We employ the NCEP/NCAR reanalysis (NRA) data (Kalnay et al. 1996). Note  
165 that while direct comparisons of reanalysis values to observations is problematic  
166 owing to lack of independent measures, the upper-level circulation in the NRA has  
167 been found to be very similar to that of the reanalysis by the European Centre for  
168 Medium Range Weather Forecasts (Archer and Caldeira, 2008) and other reanalyses  
168

<sup>4</sup> These data are available at <http://dss.ucar.edu/datasets/ds085.1>



169 by Davini (2013). These data are available 4 times daily on a global 2.5° x 2.5° grid  
 170 and are derived from a frozen state-of-the-art global assimilation system in  
 171 conjunction with a database that includes in-situ and remotely sensed data (when  
 172 available) both at the surface and at levels through the troposphere and  
 173 stratosphere. The present study calculates the sinuosity of a collection of individual  
 174 500 hPa isohypses in a domain covering 20°N to 90°N, using daily average 500 hPa  
 175 heights constructed from the four times daily data, from 1 January 1948 to 28  
 176 February 2014. In addition to calculating the sinuosity of individual 500 hPa  
 177 isohypses, we also calculate the aggregate sinuosity of a set of 5 isohypses (576, 564,  
 178 552, 540, and 528 dm) in which the maximum 500 hPa geostrophic wind resides  
 179 throughout the year. The aggregate sinuosity at a given time is simply the ratio of  
 180 the sum of the lengths of all 5 isohypses to the sum of the circumferences of the 5  
 181 equivalent latitude circles at that time<sup>5</sup>.

182           A note regarding the differences between circularity ratio and sinuosity as  
 183 separate measures of the waviness is warranted. Calculation of circularity ratio for  
 184 a given isohypse requires determination of a latitude,  $\phi_P$ , at which the length of a  
 185 zonal streamline is equal to the length of the isohypse. Since the *areal extent*, not  
 186 the length, of a given isohypse is directly related to a first order atmospheric  
 187 variable (i.e. average temperature in the underlying troposphere via the  
 187

<sup>5</sup> One can choose any set of consecutive isohypses to produce an aggregate sinuosity. The choice made here is motivated by a desire to sample in the main belt of the westerlies. The aggregate sinuosity here is given by

$$S_5 = \frac{[L_{576} + L_{564} + L_{552} + L_{540} + L_{528}]}{[EL_{576} + EL_{564} + EL_{552} + EL_{540} + EL_{528}]}$$
 where  $L$  is the length of the indicated isohypse and  $EL$  is the length of its corresponding equivalent latitude circle.

188 hypsometric relationship), we submit that sinuosity is a more physically relevant  
189 measure of the waviness. Furthermore, the present analysis, in contrast to those by  
190 Rohli et al. (2005) and Wrona and Rohli (2007), considers an annual cycle in  
191 waviness, relates the waviness metric to an important mode of large-scale  
192 atmospheric variability (the Arctic Oscillation), and incorporates a range of  
193 isohypses to more comprehensively characterize the complexion of middle  
194 tropospheric waves across a broader extratropical domain. The mathematical  
195 relationship between the two measures is presented in the Appendix.

### 196 **3. Results**

197 In order to examine the waviness of the 500 hPa flow in as comprehensive a  
198 manner as possible, the following analysis is split into two broad categories. We  
199 first consider the results of the 5 contour aggregate sinuosity calculations and then  
200 move to evaluation of the characteristics of individual isohypses.

#### 201 *a. Aggregate sinuosity*

202 The 500 hPa aggregate sinuosity analysis presented here considers the 576,  
203 564, 552, 540, and 528 dm geopotential height contours and will be referred to as  
204  $S_5$ <sup>6</sup>. Each of these contours encloses a certain amount of area. Equal area is  
205 contained poleward of an equivalent latitude ( $\phi_{EQ}$ ) and the length of the zonal ring at  
206  $\phi_{EQ}$  represents the shortest possible perimeter that can enclose the given amount of  
207 area. The contour length of a given isohypse is determined by summing its  
207

<sup>6</sup> The correlation of the seasonal (DJF) average zonal index with seasonal average  $S_5$  is -0.651.

208 segments in each 2.5° x 2.5° grid box calculated using the Spherical Law of Cosines  
209 formula;

$$210 \quad L = a \cos[\sin \phi_1 \sin \phi_2 + \cos \phi_1 \cos \phi_2 \cos(\lambda_2 - \lambda_1)] R_e$$

211 where  $(\phi_1, \lambda_1)$  and  $(\phi_2, \lambda_2)$  represent the latitude and longitude coordinates where  
212 the given isohypse intersects the boundaries of a grid box and  $R_e$  is the radius of the  
213 Earth.

214 The analysis presented here focuses on the winter (DJF) as it is during this  
215 season that the mid-latitude flow is at its energetic peak. The 66-year time series of  
216 DJF-average aggregate sinuosity is shown in Fig. 4. Over the course of this time  
217 series, a slight, and statistically insignificant, upward trend in the aggregate  
218 sinuosity is apparent.

219 The exceptionally high values of sinuosity in 2009-10 and 2010-11, suggest a  
220 possible relationship with the Arctic Oscillation (AO), which reached its strongest  
221 negative phase in winter 2009-10. Because mid-latitude circulation during the  
222 positive (negative) phase of the AO tends to be anomalously zonal (wavy), sinuosity  
223 should be able to capture this behavior quantitatively. Employing the daily Arctic  
224 Oscillation (AO) time series from 1 December 1950 to present, the correlation  
225 between the daily aggregate sinuosity of the 500 hPa flow and the AO index for each  
226 DJF season since 1950-51 is shown in Fig. 5. Twenty-three of 64 years exhibit a  
227 strong inverse relationship ( $r \leq -0.6$ ) between the AO index and our measure of  
228 sinuosity. In 43 of the 64 years  $r \leq -0.4$ , indicating a moderate relationship between  
229 the two time series. Additional insight into this relationship arises from

230 consideration of the seasonal average AO index compared to the seasonal average  
231 sinuosity, as shown in Fig. 6. It is clear that enhanced waviness in the 500 hPa flow  
232 is associated with a negative AO as the two time series are correlated at  $r = -0.52$   
233 (significant above the 99% confidence level).

234 Another, less direct, inference regarding waviness of the middle latitude flow  
235 can be discerned from the zonal index. Figure 7 shows the time series of the  
236 correlation between the daily aggregate sinuosity ( $S_5$ ) and the zonal index (ZI) for  
237 each DJF season since 1948-49. In 26 (45) of the 66 years the two time series are  
238 correlated at  $r \leq -0.6$  ( $-0.4$ ) though, as with the AO correlation just described, in  
239 nearly 1/3 of seasons the relationship between the two is rather weak.

#### 240 *b. Annual cycle of sinuosity*

241 The annual cycle of waviness is another aspect of the large-scale behavior of  
242 the mid-latitude atmosphere that can be interrogated using sinuosity. An annual  
243 cycle of the aggregate sinuosity was constructed by taking each calendar day's  
244 average sinuosity over the 66-year time series. The results of this analysis are  
245 shown in Fig. 8. Immediately apparent is the fact that the aggregate sinuosity  
246 reaches its maximum in summer and its minimum in winter. In fact, there is a broad  
247 peak in waviness that characterizes the warm season (April to October) with peak  
248 values of  $S_5$  near 1.9 in early July and a fairly flat period of minimum values ( $\sim 1.45$ )  
249 occurring in DJF. Also of note is the near symmetry of sinuosity on either side of the  
250 peak value. Finally, the annual cycle of 500 hPa zonal index is overlaid with the  
251 daily average  $S_5$  in Fig. 8 indicating the nearly perfect inverse relationship between

252 aggregate sinuosity and 500 hPa zonal wind speeds (they are correlated at  $r = -$   
253 0.9506).

254         The annual cycle of sinuosity for the 5 individual isohypses that compose the  
255 aggregate are shown, along with the aggregate, in Fig. 9. There is a clear  
256 dichotomous structure exhibited amongst these 5 time series. The 576 dm isohypse  
257 (red) exhibits the smallest annual cycle in waviness with evidence of two separate  
258 peaks, the most prominent one near August 1 and a secondary peak near mid-  
259 October. The 564 dm isohypse (orange) is characterized by the sharpest peak  
260 (maximizing in early July) but the tails of its annual cycle are not symmetric. The  
261 sinuosity is much lower (near 1.3) from January ~15 March whereas it persists well  
262 above 1.3 from mid-October to the end of December. A broad warm-season peak  
263 also characterizes the 552 dm isohypse (blue) though it reaches its peak value in  
264 mid-June. The warm season increase in sinuosity of this streamline also  
265 demonstrates a double peak with the secondary maximum centered around August  
266 1.

267         It must be noted that the calculation of daily average sinuosity for  
268 individual isohypses includes only those days on which a value exists. This method  
269 ensures that whenever the contour does not exist on a given day, its absence does  
270 not dilute the average value of sinuosity for the calendar day. This is an important  
271 qualification when considering the dramatically different annual cycles exhibited by  
272 the 540 (green) and 528 dm (magenta) isohypses (Fig. 9). The areal extent of both  
273 of these values of geopotential height shrinks dramatically in the warm season. In

274 fact, for a number of calendar days in late July, more than half of all years had a  
275 lower troposphere warm enough to preclude the existence of the 528 dm isohypse.  
276 Though this is not the case for the 540 dm isohypse<sup>7</sup>, it displays a similar annual  
277 cycle of sinuosity. Careful examination of its annual distribution shows that with the  
278 approach of summer, the 540 dm isohypse, characterized in winter and spring by a  
279 broad polar cap with occasional cutoff “satellites” at low latitude, is transformed  
280 into a collection of small, isolated cutoffs. The daily number of distinct 540 dm  
281 cutoffs peaks in late May/early June. With continued warming of the hemisphere,  
282 the number and areal extent of the 540 dm cutoffs is reduced through July almost to  
283 the point of extinction. The reduction in the number and size of 540 dm features,  
284 which drastically shrinks the total 540 dm perimeter, greatly reduces the sinuosity  
285 of that streamline in July.

286 *c. Relation of the annual cycle in  $S_5$  to morphological features of the NH*  
287 *circulation*

288 Cut-off lows (COLs) are closed cyclonic circulations in the upper  
289 troposphere that have become detached from, and often subsequently migrate  
290 equatorward of, the main westerlies (Gimeno et al. 2007). Conversely, cutoff highs  
291 (COHs) are closed anticyclonic circulations that migrate poleward, often as a result  
292 of wave breaking, and can promote the development of blocked flows. As described  
293 previously, our calculation of sinuosity takes explicit account of the contributions  
294 from COLs as well as COHs. Such features invariably increase the sinuosity of a  
294

<sup>7</sup> July 25 is the calendar day with the highest number (3) of missing 540 dm isohypses. In the entire 66-year time series, there are a total of only 28 such days for 540 dm whereas there are 1934 such days for 528 dm.

295 given geopotential height contour to a degree dependent on the areal extent and  
296 latitude of the cutoff and so contribute to increases in  $S_5$  as well.

297         The seasonal cycle of aggregate sinuosity is consistent with the higher  
298 incidence of mid-tropospheric COLs that characterizes the Northern Hemisphere  
299 warm season (Parker et al. 1989, Bell and Bosart 1989, Wernli and Sprenger 2007,  
300 Nieto et al. 2008). In fact, Nieto et al. (2008) found that 41% of all COLs identified in  
301 the NCEP Reanalysis data from 1948-2006 occurred in JJA while only 17% occurred  
302 in DJF. Additionally they found that the frequency of autumn (SON) COLs slightly  
303 exceeds that of spring (see their Fig. 14). This is consistent with the secondary peak  
304 in  $S_5$  that appears in September/October in the present analysis (see Fig. 6).

305         Parker et al. (1989) also considered the distribution of 500 hPa closed  
306 anticyclones in their 36 year climatology. Such features are substantially less  
307 frequent than COLs. Though anticyclones needn't be closed to have a substantial  
308 impact on sinuosity (e.g. high amplitude, open ridges greatly increase  $S_5$ ), they found  
309 that these disturbances are most frequent over the subtropics with highest  
310 incidence in the warm season.

311         In order to quantify the contribution of cutoff isohypses to the annual cycle of  
312  $S_5$ , COLs and COHs in each of the 5 threshold isohypses were objectively identified  
313 over the entire time series. We then recalculated  $S_5$ , excluding the influence of the  
314 cutoffs. Since COLs are separated from the broader polar cap of heights below a  
315 given threshold (Fig. 10a), the areal extent of such features was excluded from the  
316 recalculation of equivalent latitude and the contour length around them was

317 excluded from the recalculation of the total contour length<sup>8</sup>. Since a COH is always  
318 poleward of the southernmost edge of the distribution of a given isohypse (Fig.  
319 10b), its presence contributes nothing to the area enclosed by that isohypse.  
320 Consequently, for COHs no adjustment to equivalent latitude was required - instead,  
321 only the length around COHs was excluded in the recalculation of  $S_5$ . The annual  
322 cycle of the recalculated  $S_5$  is shown along with the actual annual cycle in Fig. 11.  
323 The analysis demonstrates that the presence of cutoffs in the warm season produces  
324 a substantial increase in sinuosity. In fact, using the wintertime minimum in  
325 average  $S_5$  (1.41) as a baseline, cutoffs contribute ~31% to waviness at the peak of  
326 the warm season (~July 1).<sup>9</sup> This influence is consistent with the much higher  
327 frequency of both species of cutoffs during the warm season. Additionally, routine  
328 perusal of 500 hPa maps makes clear that cutoffs nearly always develop within  
329 flows characterized by elevated values of pre-cutoff waviness. Thus, the evidence  
330 presented in Fig. 11 suggests that the development of cutoffs enhances the waviness  
331 of the already wavier flow that characterizes the warm season.

332         The coincidence of these various synoptic-climatological features suggests  
333 the following explanation for the seasonal cycle in sinuosity. As the minimally wavy  
334 wintertime circumpolar vortex shrinks with the coming spring, cutoff lobes of low  
335 geopotential height are orphaned at low latitudes where increasingly intense

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<sup>8</sup> In addition, any isolated, continuous piece of the area enclosed by a given isohypse that was less than 62% of the total area enclosed by that isohypse on a given day was considered a COL.

<sup>9</sup> This influence was calculated as  $(S_5 - S_5 \text{ w/out cutoffs}) / (S_5 - 1.41)$  which, for peak values near July 1, was 0.15/0.49.



336 insolation quickly relaxes their associated tropospheric cold anomalies and  
337 corresponding negative 500 hPa height anomalies. The warm season maximum in  
338 COLs and COHs accounts for a substantial portion of the summertime maximum in  
339  $S_5$ . The late summer/early autumn presence of tropical cyclones, and their  
340 inevitable recurvature to middle-latitudes, provides a seasonally unique mechanism  
341 for the growth of mid-latitude ridges in that season that accounts for the secondary  
342 autumnal peak in sinuosity previously noted. Finally, it is hypothesized that the  
343 decline of sinuosity in the autumn transition to winter is a function of the absorption  
344 of cutoffs that results from the expansion of the circumpolar vortex as the  
345 hemisphere cools.

#### 346 **4. Discussion and Conclusions**

347         Despite the fact that a substantial fraction of high impact mid-latitude  
348 weather events and regimes are associated with large-amplitude planetary waves  
349 (Screen and Simmonds 2014), no widely accepted metric exists for quantifying the  
350 waviness of the circulation. In this paper we have introduced the concept of  
351 sinuosity as a new tool for measuring waviness and applied it to a set of 500 hPa  
352 geopotential height contours that contain the maximum wind throughout the year.

353         A seasonality in the sinuosity of the flow has been demonstrated, with a  
354 maximum in summer and minimum in winter. This finding is consistent with the  
355 metric of high-amplitude wave patterns introduced by Francis and Vavrus (2015),  
356 which exhibits a similar annual cycle to that of  $S_5$ . It has also been demonstrated  
357 that a weakening of the mid-tropospheric zonal wind is often associated with an

358 increase in sinuosity. Additionally, a strong negative correlation exists between the  
359 observed daily sinuosity and the daily Arctic Oscillation (AO) index in the cold  
360 season. Further, the winter (DJF) average sinuosity is shown to be highly correlated  
361 with the seasonal average AO and the zonal index (ZI), suggesting that the physical  
362 mechanisms that reduce (increase) the poleward height gradient and  
363 correspondingly weaken (strengthen) the mid-latitude westerlies, may also foster  
364 increased (reduced) waviness.

365         We have calculated sinuosity based on 500 hPa height contours in this study  
366 as a means of characterizing the waviness of the broad, middle tropospheric flow.  
367 An extension of the method outlined here, that would more specifically assess the  
368 waviness of the tropopause-level jet stream, would be to calculate the sinuosity of  
369 contours of constant potential vorticity (PV) (referred to as *isertels* by Morgan and  
370 Nielsen-Gammon 1998). Since the tropopause-level jet is coincident with strong  
371 gradients in PV and is found on the low PV edge of such a gradient, calculation of the  
372 sinuosity of, for instance, the 2 PVU isertel would render a clear picture of the  
373 waviness of the tropopause-level jet stream itself. Complicating matters is the fact  
374 that two distinct species of tropopause-level jets, the polar and subtropical jet, are  
375 present nearly all the time. Isolation of one from the other can be accomplished  
376 through consideration of the isertels in separate isentropic layers that contain the  
377 separate jets. We plan to pursue this issue in future work.

378         Recent studies by Francis and Vavrus (2012, 2015), Barnes (2013), and  
379 Screen and Simmonds (2013) have examined the question of whether Arctic

380 amplification has caused planetary-scale waves to become wavier and less  
381 progressive resulting in more frequent blocking and associated severe weather. The  
382 question remains an open one at present, at least partially due to the lack of an  
383 accepted measure of waviness. Continued refinement of the sinuosity metric  
384 introduced here promises to enlighten that debate as well as other questions  
385 regarding the complexion of the middle-tropospheric flow in a changing climate. To  
386 that end, we are currently exploring the nature of the response in sinuosity to a  
387 variety of climate change scenarios using output from the CMIP5 suite of models.

388



408 Sinuosity can also be rewritten in terms of these two different latitudes as

409 
$$S = \frac{L}{EQL} = \frac{2\pi R_e \cos \phi_p}{2\pi R_e \cos \phi_e} = \frac{\cos \phi_p}{\cos \phi_e} \quad (\text{A2})$$

410 since  $EQL = 2\pi R_e \cos \phi_e$  by definition. Thus, the relationship between  $R_C$  and  $S$  is

411 
$$S^2 R_C = \frac{1 + \sin \phi_p}{1 + \sin \phi_e}. \quad (\text{A3})$$

412

412

413

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539

## FIGURE CAPTIONS

540 Fig. 1 Schematic illustrating the concept of sinuosity.  $S_{AB}$  is the ratio of the length of  
541 the blue contour to the length of the red line segment AB.

542 Fig. 2 Blue line is the daily average 552 dm geopotential height contour at 500 hPa  
543 on 18 January 2014. The area enclosed by that line is equal to the area enclosed by  
544 the red circle (the equivalent latitude).  $S_{552}$  is equal to the ratio of the length of the  
545 blue line to the length of the red line (1.2719).

546 Fig. 3 Schematic illustration of the negligible effect that poleward migration of  
547 isohypses has on sinuosity of a given contour. Original contour (in red) is zonal at  
548 35N with a square wave of latitudinal depth 10. Displace contour (in blue) is zonal  
549 at 40N with square wave whose aspect ratio (longitudinal extent/latitudinal extent)  
550 is identical to original wave. The displaced contour has sinuosity 1.0024 times that  
551 of original contour.

552 Fig. 4 Time series of DJF season averaged, aggregate sinuosity from 1948-49 to  
553 2013-14.

554 Fig. 5 Time series of correlation coefficient,  $r$ , between the daily AO index and the  
555 daily value of 500 hPa sinuosity ( $S_5$ ) from 1950-51 to 2013-14. Green (blue) dots  
556 represent seasons with  $r < -0.4$  ( $-0.6$ ).

557 Fig. 6 Time series of DJF seasonal averaged AO index (red) compared to DJF  
558 seasonal averaged sinuosity ( $S_5$ ) (blue). The two time series are correlated with  $r =$   
559  $-0.520$ , significant above the 99% level.

560 Fig. 7 Time series of correlation coefficient,  $r$ , between daily zonal index (ZI) and the  
561 daily value of 500 hPa aggregate sinuosity (S5) from 1948-49 to 2013-14. Green (blue)  
562 dots represent seasons with  $r < -0.4$  ( $-0.6$ ).

563 Fig. 8 Daily average aggregate sinuosity (solid black line) derived from 66-year  
564 NCEP Reanalysis time series. Gray shaded region represents  $\pm 1\sigma$  around the  
565 daily mean. Daily average 500 hPa zonal index (ZI in  $\text{m s}^{-1}$ , blue solid line) derived  
566 from the same data set.

567 Fig. 9 Solid black line is the daily average aggregate sinuosity derived from 66-year  
568 NCEP Reanalysis time series. Daily average sinuosity of individual geopotential  
569 height contours in the set of 5 used in the aggregate calculation are indicated by the  
570 labeled colored lines.

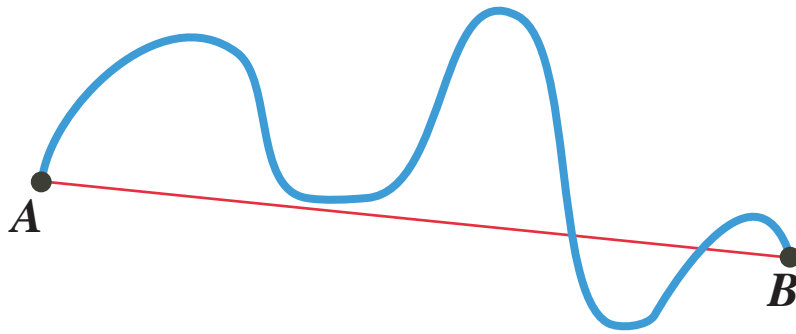
571 Fig. 10 Schematic of an isohypse characterized by (a) a cutoff low (COL) and (b) a cutoff  
572 high (COH). The total area enclosed by the given isohypse in both panels is shaded blue.  
573 For the COL in (a), that area is the sum of A and B while the total contour length is the  
574 sum of the perimeters of A and B. Recalculation of S5 in this case requires subtraction of  
575 area B from the total area and subtraction of perimeter B from the total contour length.  
576 For the COH in (b), the total area is smaller. Recalculation of S5 in this case requires  
577 only that the perimeter of C be subtracted from the total contour length.

578 Fig. 11 Solid black line is the daily average aggregate sinuosity derived from 66-year  
579 NCEP Reanalysis time series. Gray line represents the daily average sinuosity calculated  
580 upon excluding the contribution of cutoff lows and highs in the threshold isohypses. See

581 text for explanation.

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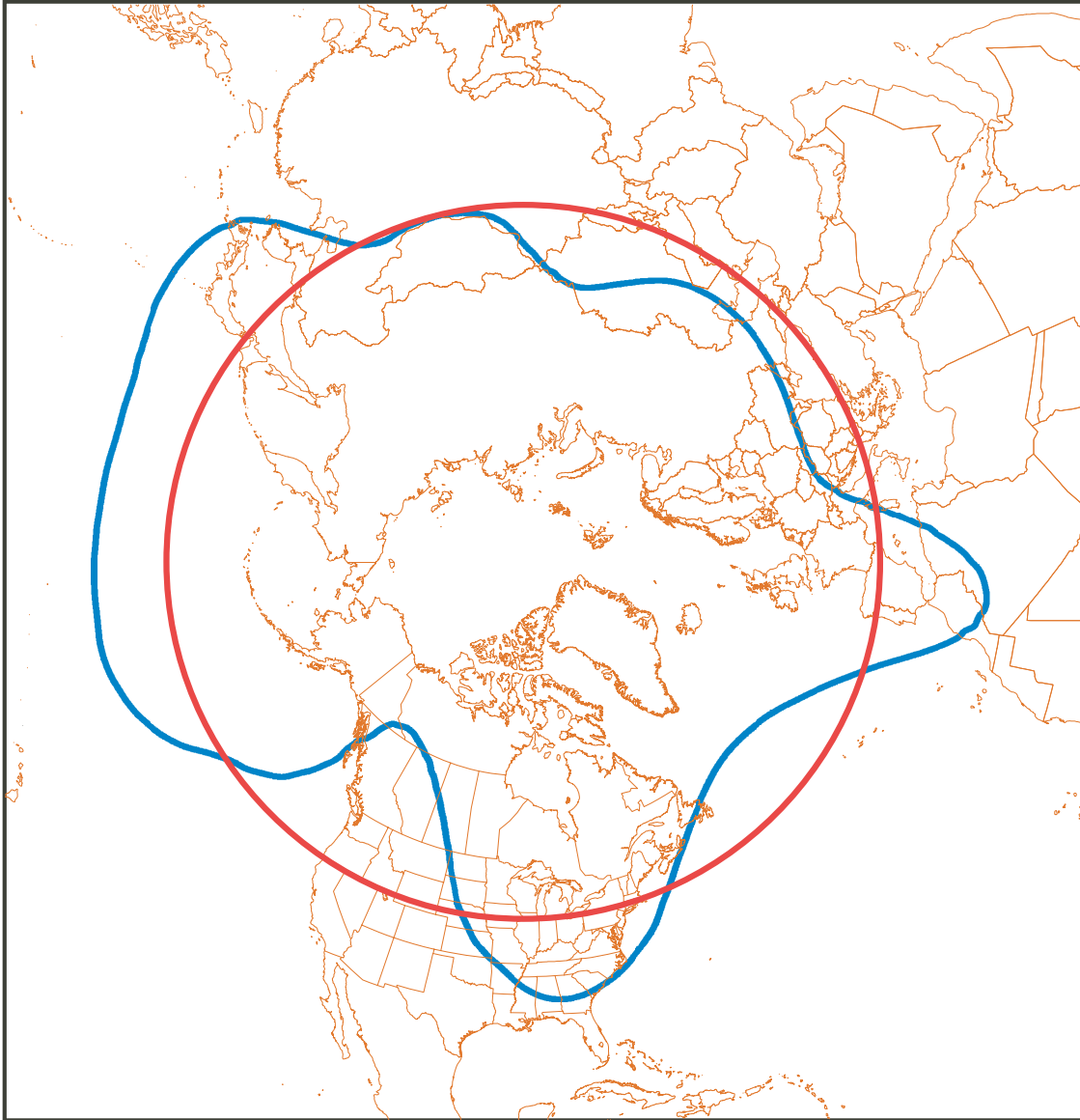


$$S_{AB} = \frac{(\text{Length of CONTOUR})}{(\text{Length of SEGMENT})}$$

583 Fig. 1 Schematic illustrating the concept of sinuosity.  $S_{AB}$  is the ratio  
of the length of the blue contour to the length of the red line segment AB.

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**500 hPa Z 18 January 2014**

Fig. 2 Blue line is the daily average 552 dm geopotential height contour at 500 hPa on 18 January 2014. The area enclosed by that line is equal to the area enclosed by the red circle (the equivalent latitude).  $S_{552}$  is equal to the ratio of the length of the blue line to the length of the red line (1.2719).

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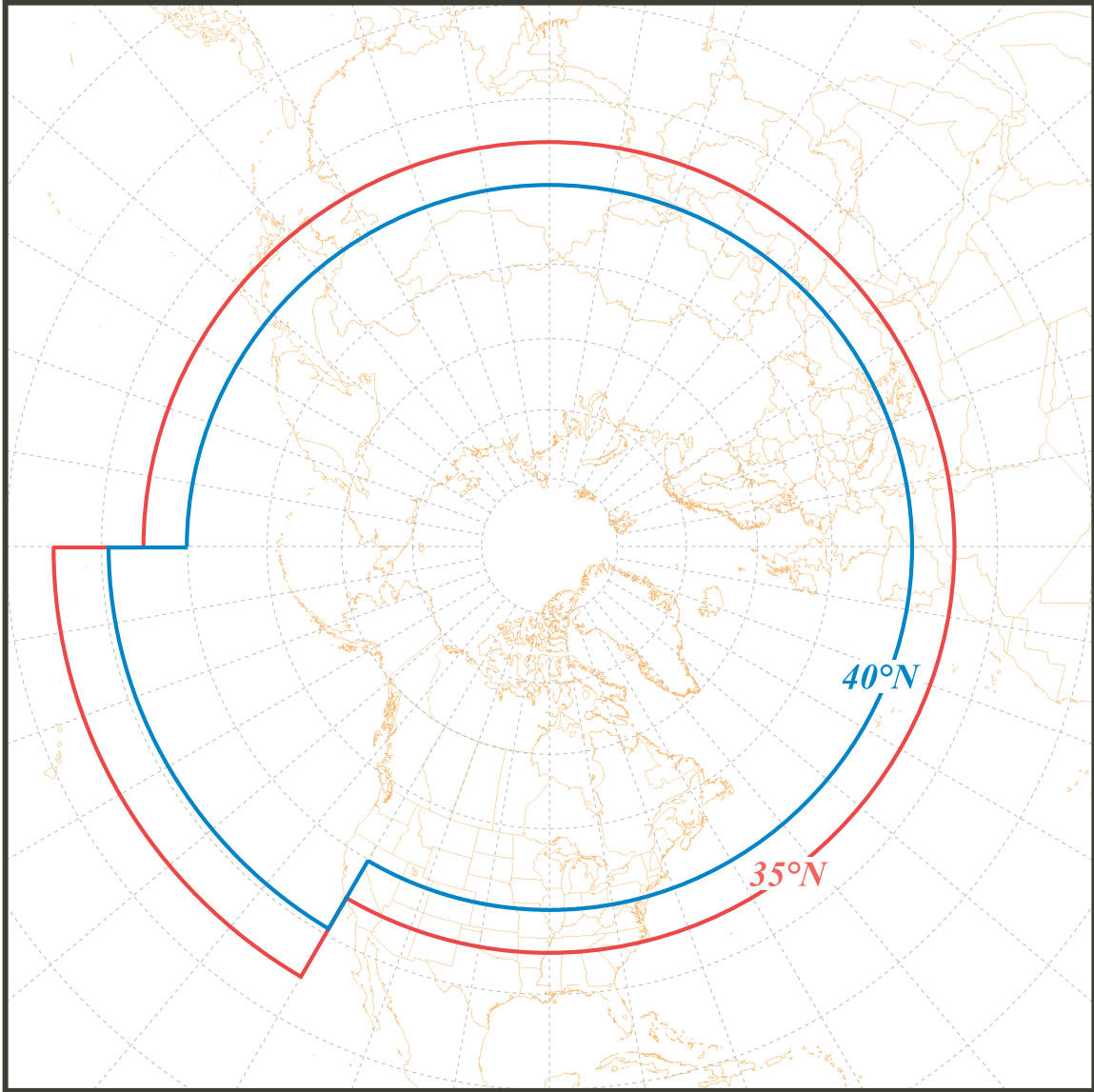


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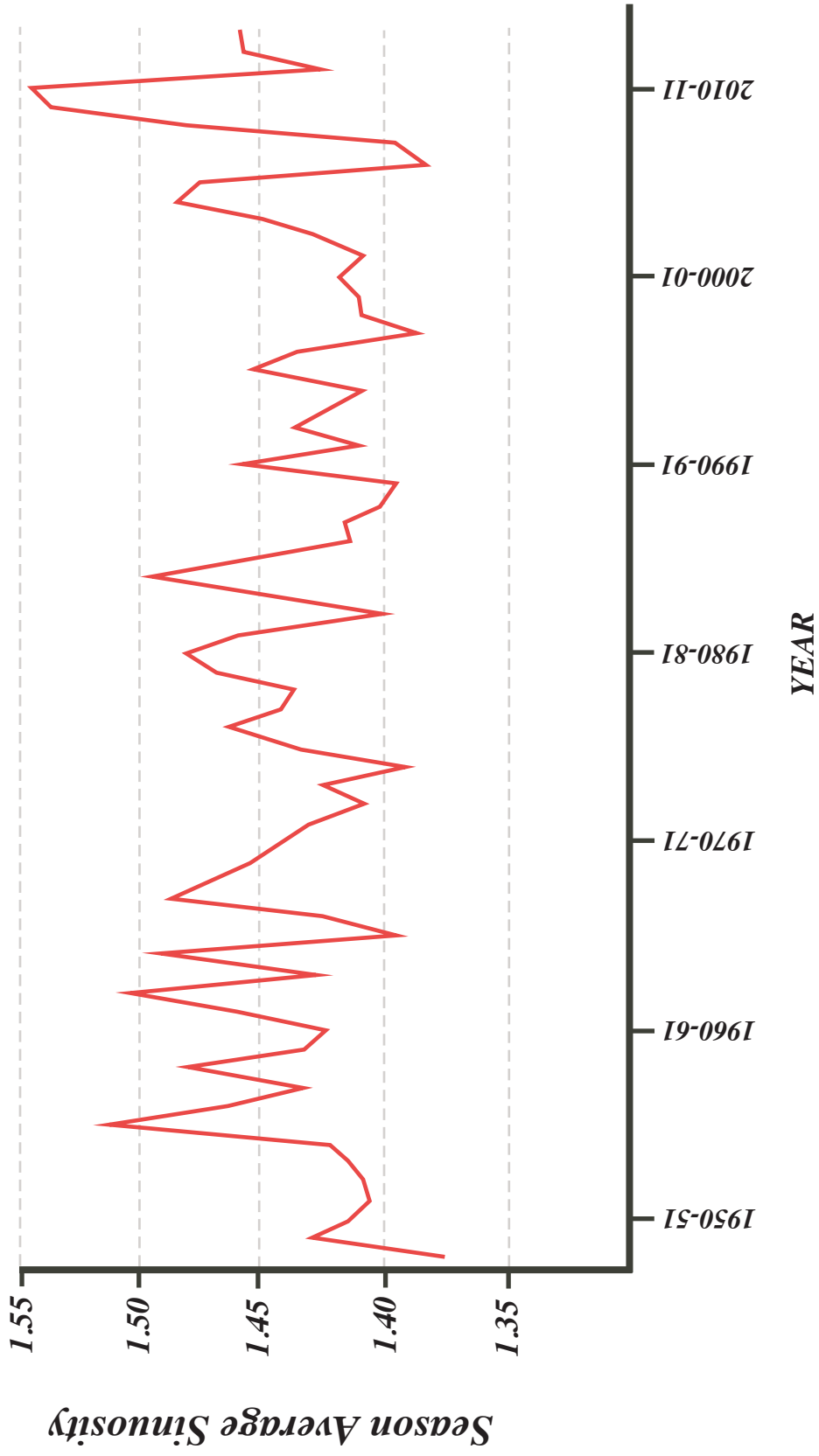


Fig. 4 Time series of DJF season averaged, aggregate sinuosity from 1948-49 to 2013-14.

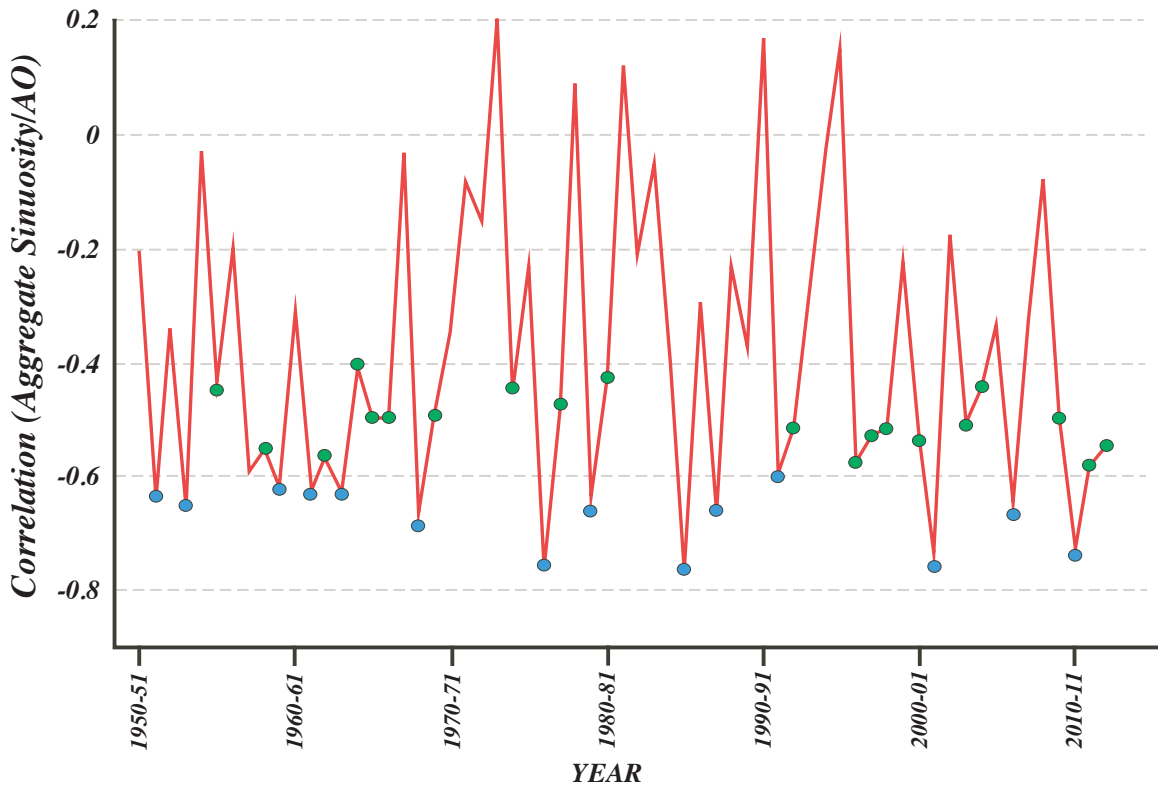


Fig. 5 Time series of correlation coefficient,  $r$ , between the daily AO index and the daily value of 500 hPa sinuosity from 1950-51 to 2013-14. Green (blue) dots represent seasons with  $r < -0.4$  ( $-0.6$ ).

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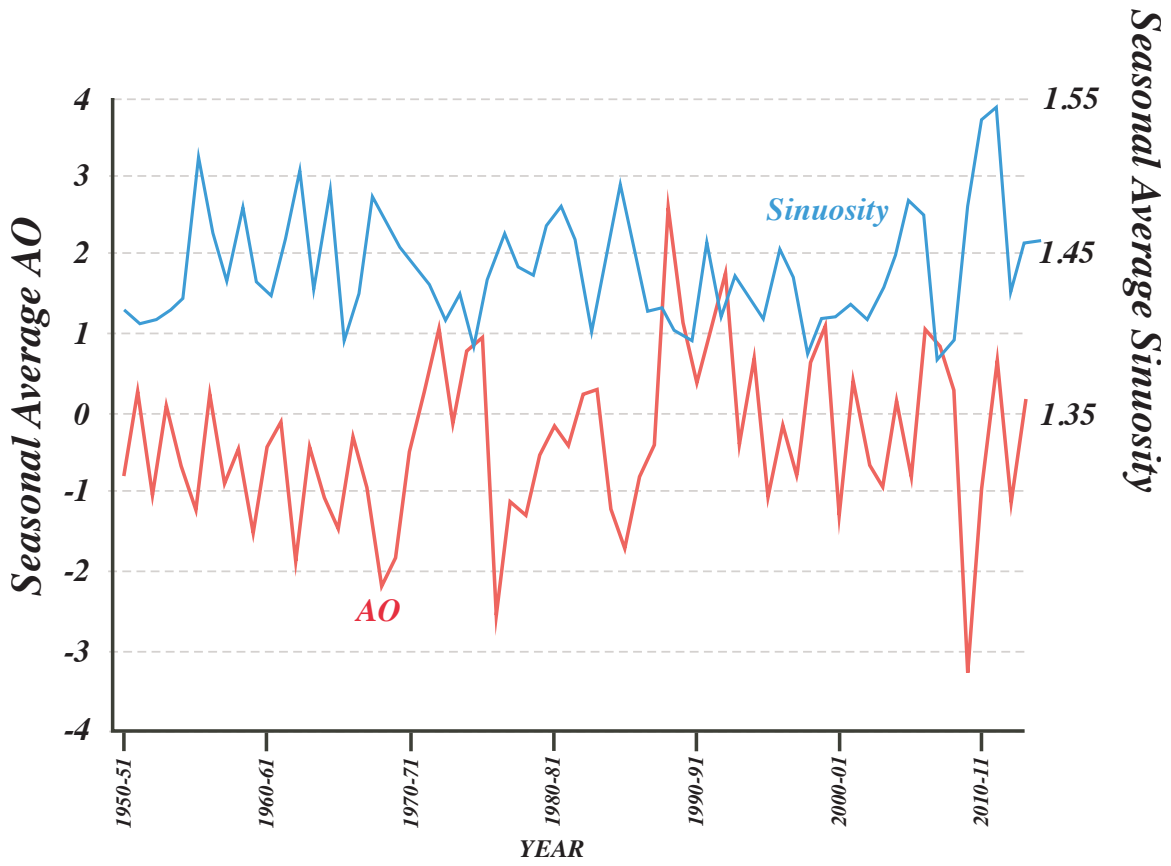


Fig. 6 Time series of DJF seasonal averaged AO index (red) compared to the DJF seasonal averaged sinuosity (blue). The two time series are correlated with  $r = -0.520$ , significant above the 99% level.

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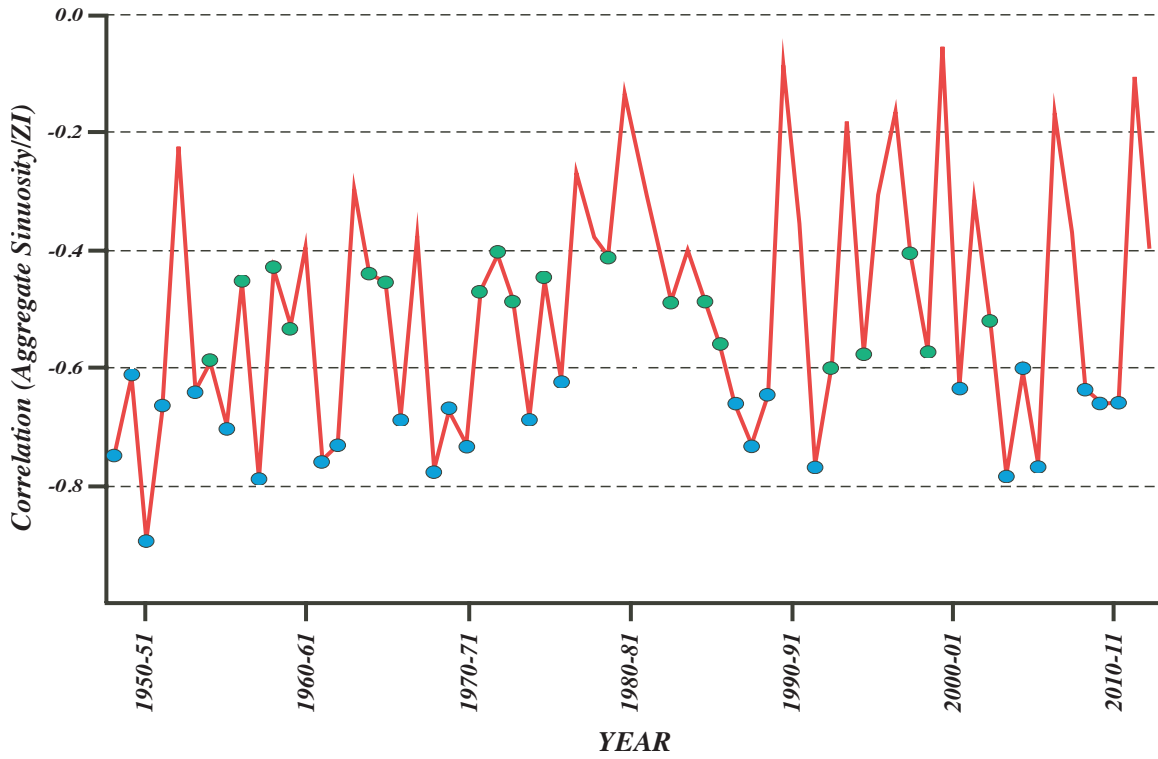


Fig. 7 Time series of correlation coefficient,  $r$ , between daily zonal index (ZI) and the daily value of 500 hPa aggregate sinuosity (S5) from 1948-49 to 2013-14. Green (blue) dots represent seasons with  $r < -0.4$  ( $-0.6$ ).

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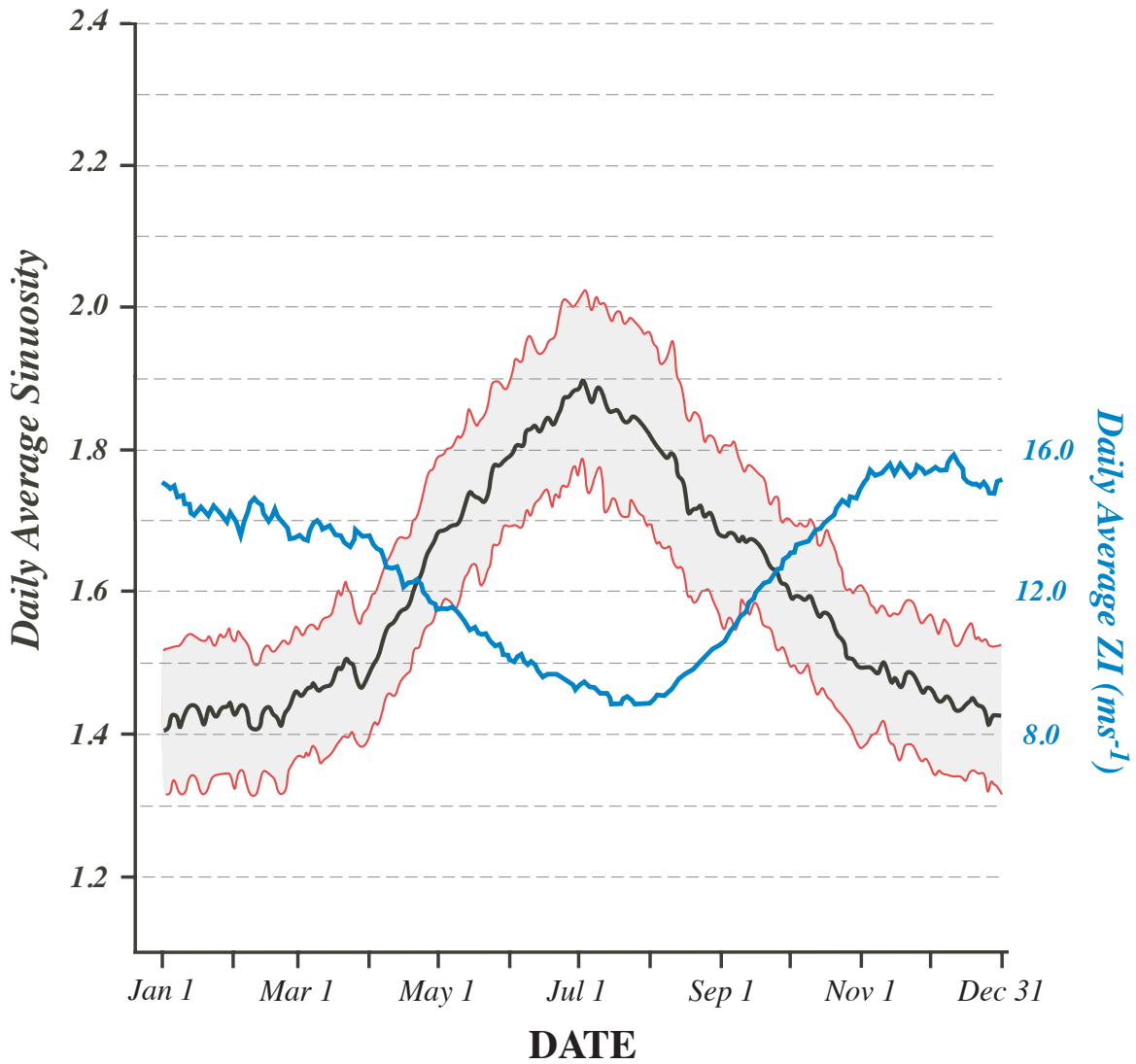


Fig. 8 Daily average aggregate sinuosity (solid black line) derived from 66-year NCEP Reanalysis time series. Gray shaded region represents  $\pm 1\sigma$  around the daily mean. Daily average 500 hPa zonal index (ZI in  $\text{ms}^{-1}$ , blue solid line) derived from the same data set.

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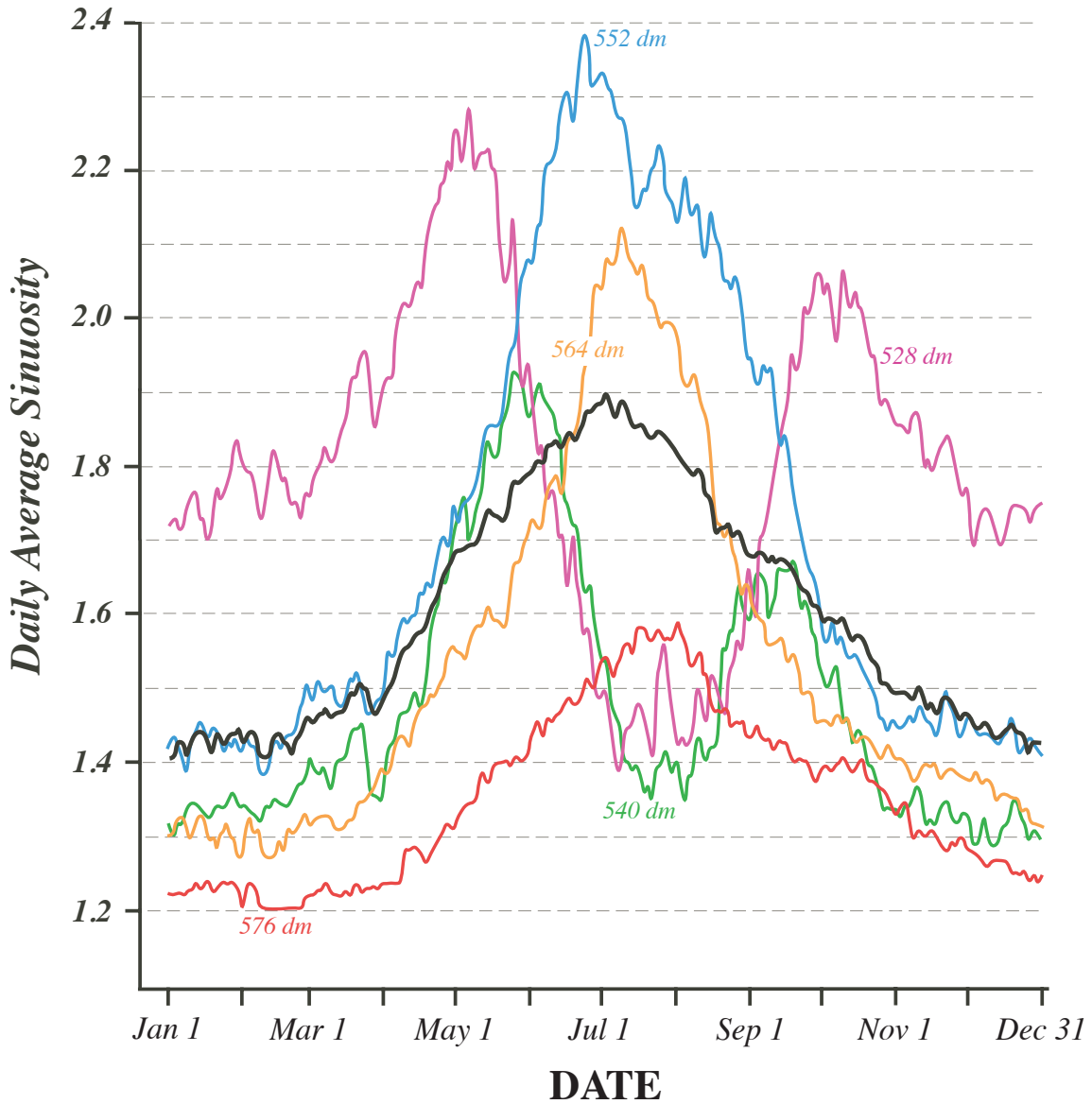


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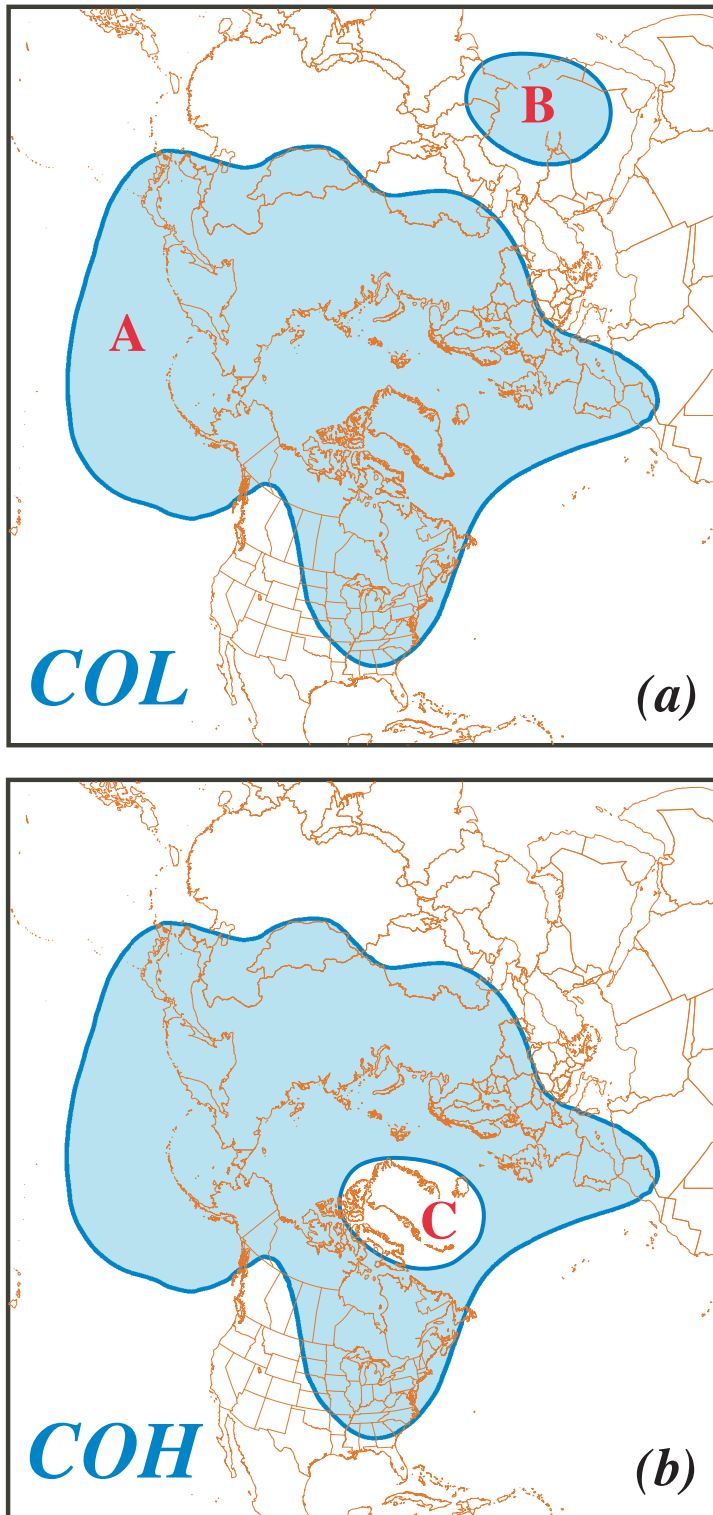


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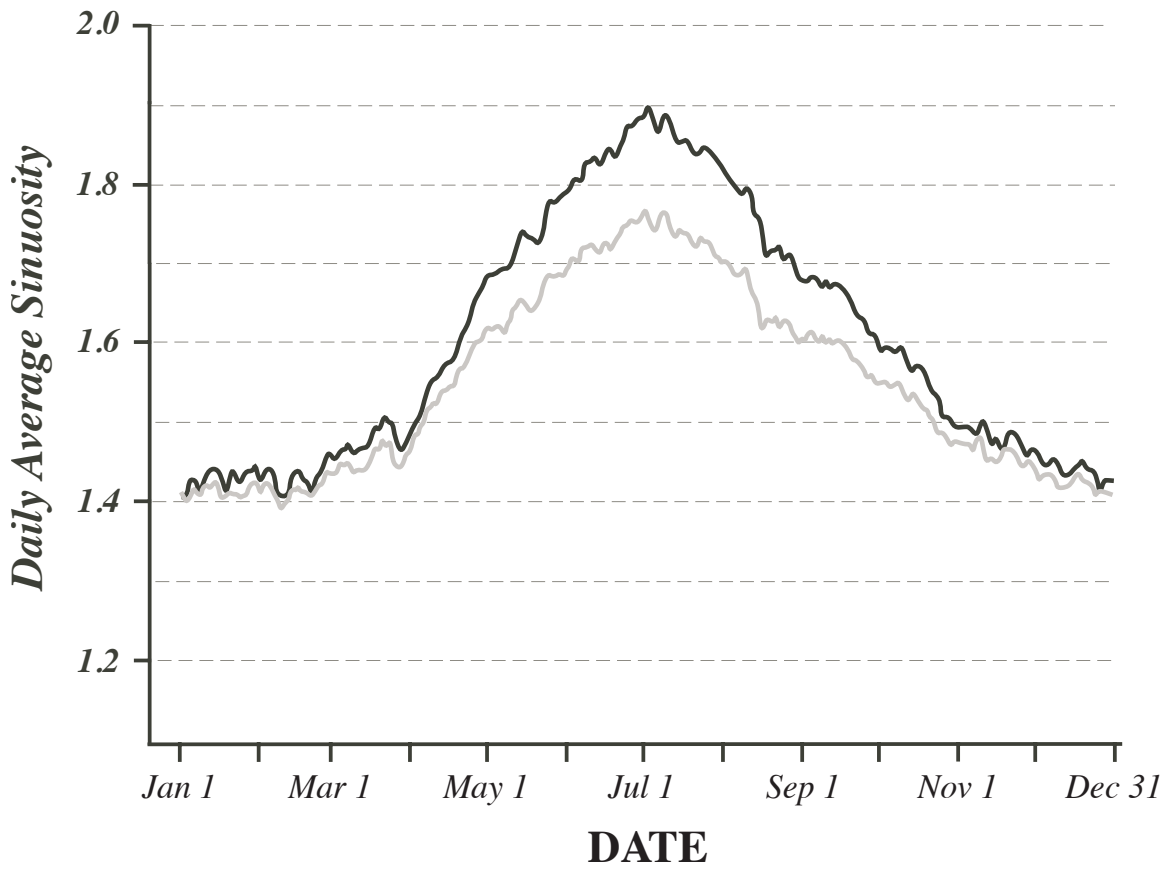


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