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4	Subtropical - polar jet interactions in
5	Southern Plains dust storms
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# 38 Abstract

The origin of two separate southern high plains (SHP) dust storms, which occurred over a 39 two-day period in February 2007, is traced to an interaction between the subtropical jet (STJ) and 40 41 the polar jet (PJ). A large-scale thermal wind imbalance resulting from the confluence of these two jets led to a series of mesoscale circulations that ultimately produced the dust storms. 42 Understanding the connectivity between the dust storms with differing geometries is central to 43 the present investigation. The study rests on the interpretation of analyses from upper-air and 44 surface observations complemented by imagery from satellites, the 32-km gridded dataset from 45 the North American Regional Reanalysis (NARR), and a fine resolution (6-km grid) simulation 46 from the Weather Research and Forecasting (WRF) model. Principal assertions from the present 47 study are: 1) scale interaction is fundamental to the creation of an environment conducive to dust 48 49 storm development, (2) low- to mid-tropospheric mass adjustment is the primary response to a large-scale imbalance, (3) the mesoscale mass adjustment is associated with circulations about a 50 highly accelerative jet streak resulting from the merger of the PJ and STJ, (4) the structure of the 51 jet streak resulting from this merger governs the evolution of the geometry of the dust plumes, 52 with plumes that initially had a straight-line orientation developing a semi-circular geometry, and 53 54 (5) it is concluded that improvements in dust storm prediction will depend on an augmentation to the upper-air network in concert with a flow dependent data assimilation strategy. 55

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# 57 **1. Introduction**

Most studies that investigate the dynamical processes pertinent to dust storm generation 58 rely on Danielsen's [1968, 1974] paradigm including Pauley et al. [1996], Martin [2008], 59 Schultz and Meisner [2009]. Quasi-geostrophic (Q-G) dynamics govern this standard viewpoint 60 where cyclogenesis and tropopause folds are large-scale features that generally accompany the 61 62 dust storms. Through meticulous analysis on isentropic surfaces, Danielsen [1974] tracked the descent of high momentum air from the lower stratosphere to the top of the planetary boundary 63 layer (PBL). Although unmentioned in his studies [Danielsen 1968, 1974], this large-scale 64 65 descent is consistent with an indirect transverse circulation about the exit region of a jet streak imbedded in the large-scale flow — an indirect circulation theorized by *Eliassen* [1962] and 66 discussed at length by Carlson [2012]. The descending plume of momentum in juxtaposition 67 with a surface-based well-mixed/adiabatic PBL delivers the recipe for dust ablation. 68

In contrast to the Q-G viewpoint of Danielsen, other investigators of dust storms over 69 the Southern High Plains (SHP; Figure 1) have placed emphasis on smaller-scale/mesoscale 70 processes. Essentially, the studies are indicative of mass adjustments in high Rossby number 71 regimes [e.g., Zack and Kaplan 1987; Karyampudi et al. 1995a,b]. Theoretical work of Zhang et 72 73 al. [2000], as well as simulations documented in Kaplan and Karyampudi [1992a, b] and Kaplan et al. [1997, 1998] have given support to the action of these smaller scale processes. Recent 74 work by Lewis et al. [2011] and Kaplan et al. [2011] has been focused on the role of the mass 75 76 adjustment mechanism for dust storms that formed over the western United States (USA). Based on evidence from these studies, a re-examination of the Interstate 5 (I-5) dust storm in the San 77 Joaquin Valley of California in November 1991 indicated that mesoscale processes were 78 79 important to organize a favorable environment for this event [Kaplan et al. 2013]. Evidence of 80 scale interactions for this dust storm has been supported by the mesoscale Weather Research and Forecasting (WRF; Skamarock et al. [2008]) model simulations. In the spirit of the investigation 81 of the I-5 event, another dust storm previously studied by Martin [2008] and Schultz and 82 *Meisner* [2009] — the February 24, 2007 dust storm in the SHP — is investigated in the present 83 study. This dust storm was categorized as a high impact/severe weather event causing major 84 85 transportation issues including the closing of Dallas – Fort Worth (FWD) International Airport, Texas, USA. The previous investigators of this event argued that air parcels rich in kinetic 86 energy were transported into the PBL in association with a prolonged period of sinking. The 87 88 sinking took place in the polar jet streak's left entrance region and the descending air parcels were turbulently mixed to the surface and ablated dust. These arguments are in agreement with 89 the Q-G processes that govern Danielsen's paradigm [Danielsen 1968, 1974]. 90

The present study offers an alternative set of processes that give rise to the dust storms. 91 There is some overlap with the earlier studies [Lewis et al. 2011; Kaplan et al. 2011, 2013] 92 mentioned above. As stated in these earlier studies over the western USA, there is a mesoscale 93 complement to the Q-G dynamics and this is certainly the case for the present study. However, 94 in this 2007 case study, the source of the initial thermal wind imbalance is totally different. The 95 96 imbalance stems from the merger of the polar jet and the subtropical jet. The juxtaposition of these two strong streams results in a level of geostrophic/thermal wind imbalance far greater and 97 larger than the imbalances discussed in the earlier studies. The mesoscale adjustment to this 98 large-magnitude imbalance displays itself in a variety of ways that differ from the earlier case 99 studies. This should be expected not only from the origin of the imbalance, but also from the 100 differing features of the geography in the SHP compared to the Sierra and Coastal Mountains of 101 102 the West Coast of the USA. Among these differences is the heat source associated with the

Mexican Plateau. Beyond these geographical differences, the study takes on special meaning inthe presence of two sequential dust storms that exhibit connectivity.

105 The possibility of linkage between these two dust storm events is central to the 106 investigation. A battery of products including upper-air and surface observations, reanalysis 107 datasets, and numerical model simulations will be brought to bear on the investigation. We 108 begin our study with a synoptic overview and follow up with a discussion of the interplay 109 between the large- and smaller-scale processes that gave rise to this storm.

#### 110 **2. Dust storm observations**

111 In this section reference is made to satellite imagery and observations at a series of surface weather stations affected by the dust storm. The geographical locations of these stations 112 along with identifiers are shown in Figure 1. As mentioned earlier, the two dust storms occur less 113 114 than one day apart — the first during the afternoon and evening of February 23, 2007 (02/23) and the second in the morning through evening of February 24, 2007 (02/24). We simplify 115 reference to these sequential dust storms (DS) with acronyms DS1 and DS2 for storms on 02/23116 117 and 02/24, respectively. A comprehensive discussion of DS2 is found in Schultz and Meisner [2009]. 118

119 2.1 Observed features of DS1

At about 2100 UTC (02/23), visible imagery from Geostationary Operational Environmental Satellite (GOES) – 12 indicated the presence of two dust plumes in northeastern Mexico — one approximately 200 km southwest of El Paso, Texas (ELP), USA, and another further south near Chihuahua (MMCU), Mexico. Visible images of these plumes between 2115 UTC and 2302 UTC (02/23) are shown in Figures 2a – 2c. After nightfall, brightness temperature differences are used to depict the movement and extent of the dust plumes (Figures

126 2d - 2f). The brightness temperature differences  $[T_b(11.7 \ \mu m) - T_b(12.0 \ \mu m)]$  were derived from 127 the GOES – 11 imagery as employed in *Zhao et al.* [2010] and *Steenburgh et al.* [2012]. 128 Although these infrared images are unable to resolve details of plume geometry, it is apparent 129 that the plumes move into west-central Texas 6 h after the dust plumes were initiated.

While most stations in southeastern New Mexico and southwestern Texas (stations south 130 of ELP and Guadalupe Pass; GDP; see Figure 1 for geographical locations) showed surface 131 pressure falls and subsequent gusty winds during the period 2100 UTC (02/23) - 0000 UTC 132 (02/24), only Deming (DMN) in New Mexico observed low visibility due to dust or haze before 133 134 0000 UTC (02/24) (See top of Table 1). However, reduced visibilities accompanying haze were found in surface observations (not shown) between northeastern New Mexico and southwestern 135 Kansas during the period 0000 UTC - 1500 UTC (02/24). It thus becomes problematical to 136 137 verify the precise location of dust from DS1 after nightfall. More importantly, it is challenging to identify that point in time when DS1 ends. 138

In an answer to these questions, an aerosol/dust product is examined – the Navy Aerosol 139 140 Analysis and Prediction System [NAAPS; Westphal 1999; Johnson 2006], a modeling tool used for the global aerosol forecasting by the U.S. Navy. The dust concentrations from this product 141 over the time interval 0000 UTC (02/24) - 1200 UTC (02/24) are shown in Figure 3. Here we 142 note the extreme value of dust concentration just southwest of ELP at 0000 UTC (02/24) is 143 consistent in location with the visible imagery shown in Figure 2c. The NAAPS product gives a 144 145 better impression that the dust is more uniformly spread over the area than seen in the satellite imagery. It leads one to believe that the NAAPS product is more likely to measure the vertically 146 integrated dust concentration as opposed to a surface concentration. Yet, the implied movement 147 148 of dust into the west Texas area by 0600 UTC (02/24) is consistent with the infrared imagery

from satellite shown in Figures 2d – 2f. The NAAPS product at 1200 UTC (02/24) indicates a concentration center between Hobbs (HOB), New Mexico and GDP with an extension into western Kansas. Visible satellite imagery the next morning [1300 UTC (02/24)] gave no sign of dust in this area. Speculation on these unresolved issues will be revisited in the conclusions section of this paper.

154 *2.2 Observed features of DS2* 

DS2 commenced during 1400-1500 UTC (02/24), approximately 18 h after DS1 was 155 initiated. It formed in an area between HOB and Lubbock (LBB), Texas. By 1745 UTC (02/24), 156 the dust plume assumed a crescent-shaped form that wrapped from the New Mexico – Texas (see 157 Figure 1 for state identifiers) border in the Texas panhandle to the midpoint of Oklahoma's 158 southern boundary (Figure 4). While expanding in breadth along its curved shape, the plume 159 160 took on a comma-shaped form by 1845 UTC (02/24) that eventually became more semi-circular. During the 2000 - 2200 UTC (02/24) period, many of the surface weather stations in north 161 central and northeast Texas reported visibilities less than 4 km and wind speeds exceeding 20 m 162  $s^{-1}$  (Table 1). 163

# **3. Synoptic – meso-α scale features**

165 Although we focus on the meso- $\beta$  scale dust storms over the SHP, the larger-scale 166 synoptic – meso- $\alpha$  scale structures in the troposphere are pivotal to the dynamic processes that 167 influence these storms. In this section, we rely on the North American Regional Reanalysis 168 (NARR) [*Mesinger et al.* 2006] products to discuss these synoptic/meso- $\alpha$  scale features.

169 *3.1 Confluence of the jet streams* 

Figure 5 and 6 show the 200 and 600 hPa large-scale winds, geopotential height and temperature fields at 1200 UTC (02/22) and 1800 UTC (02/23), respectively. Most notable

features are the two distinct mid-tropospheric temperature gradients, one associated with the 172 high-amplitude Rossby wave in the polar jet stream (PJ) and the other associated with the 173 subtropical jet stream (STJ) over northern Mexico. The PJ temperature gradient is somewhat 174 stronger and deeper than the STJ feature. Figure 7 shows the vertical cross section between 175 Medford (MFR), Oregon, and MMCU that bisects these two jets at these times. At 1200 UTC 176 177 (02/22) a jet core associated with the STJ is located near the southern borders of Arizona and New Mexico and northern Mexico, while the core associated with the PJ is located in the central 178 California northeastern Oregon region (see the dual jet cores in Figure 7a). The 600 hPa 179 180 temperature gradients at 1200 UTC (02/22) are distinctly separate with the  $-20^{\circ}$ C isotherm to the west of central California and the 2°C isotherm just southeast of MMCU (Figure 6a). The 181 pressure level 600 hPa was selected for analyses because in previous studies [e.g., Lewis et al. 182 183 2011; Kaplan et al. 2011, 2013] highly ageostrophic flow was evident just below this pressure level in the formative stages of dust storms. 184

A confluence of the two temperature gradient zones and jets takes place over the 185 186 southwestern USA and northern Mexico by 1800 UTC (02/23). The vertical extent of the merger is evident at 200 and 600 hPa (Figures 5b, 6b and 7b). By this time the strongest temperature 187 gradient and a unified jet maximum is seen between MMCU and Tucson, Arizona (TUS), i.e., 188 about 500 km northwest of MMCU as seen in Figure 7b. The merger process unites these 189 temperature gradients to produce a temperature difference greater than 20°C extending from 190 191 central Mexico to the southern California southwestern Arizona border region. Over the next 6 hours, these two jet streaks are united into one mid-upper tropospheric streak over northeastern 192 Mexico and this is consistent with the confluence of the two temperature gradients by 1800 UTC 193 194 (02/23) (Figures 5b and 6b). Following this time, the newly-formed streak intensifies

substantially and becomes progressively more curved as it first exhibits cross-jet andsubsequently along-jet ageostrophic flow.

### 197 *3.2 Thermal wind imbalance*

When the PJ and STJ merge, there is evidence of significant thermal wind imbalance. 198 This is especially noticeable in the 700-500 hPa layer at 1800 UTC (02/23) as shown in Figure 199 8a. Here we have plotted the vector field,  $\vec{V}_{T} - \Delta \vec{V}$  where:  $\vec{V}_{T} = \vec{V}_{geos}^{500 hPa} - \vec{V}_{geos}^{700 hPa}$  is the 200 geostrophic wind shear (the thermal wind) in the layer and the difference vector 201  $\Delta \vec{\mathbf{V}} = \vec{\mathbf{V}}_{obs}^{500 hPa} - \vec{\mathbf{V}}_{obs}^{700 hPa}$  is the observed wind shear in the layer. The geostrophic wind is denoted 202 by  $\vec{V}_{geos}$  and  $\Delta \vec{V}$  is the vector that must be added to the observed wind shear to achieve thermal 203 wind balance. As can be seen in Figure 8, this difference vector exhibits a cyclonic turning with 204 westerlies over Arizona and northwest Mexico, south-southeasterly flow over New Mexico, 205 southerly flow over north-central Mexico, and southeasterly flow over Texas and Oklahoma. 206

A recovery of thermal wind balance on the meso- $\alpha$  scale will require a relative cooling of 207 the layer to the west and northwest of the region that includes southern New Mexico-northern 208 Mexico-southwest Texas, i.e., cooling to reduce the geopotential heights to the west and 209 210 northwest, thus consistently reducing the veering (anticyclonic) thermal wind relative to the backing and subgeostrophic total wind shear bridging the meso- $\alpha$  and meso- $\beta$  scales of motion. 211 In performing this analysis, it is acknowledged that increasing curvature in time forces the 212 213 reference state of balance towards gradient wind balance as especially noted in the case studied by Lewis et al. [2011]. In short, the thermal balance is achieved by the generalized thermal wind 214 law [Forsythe 1945]. 215

Evidence of lower-mid-tropospheric cooling is shown in Figure 9, a display of the geopotential height, temperature, and Lagrangian derivative of air pressure ( $\omega$ ) at the 600 hPa 218 level. A single cold pool over Nevada at the earliest time divides into two cold pools at the latest 219 time — one that moves from central Nevada to the four corners area (a circular region indicated in Figure 1) and another that appears over northwest Texas and southwestern Oklahoma. This 220 221 cold pool over the Texas – Oklahoma area is interpreted as a change on this meso- $\beta/\alpha$  scale that occurs primarily during the 0000-0900 UTC (02/24) time period. Also note the band of ascent 222 223 (and inferred adiabatic cooling) that moves from the line connecting the stations ELP – MMCU at 1800 UTC (02/23) (Figure 9b) into the region from northeastern Mexico/south of the Texas 224 panhandle (Figure 1) at 0600 UTC (02/24) (Figure 9c) and finally into southwestern Oklahoma 225 226 by 1800 UTC (02/24) (Figure 9d). This occurs in the presence of the newly-merged jet streak that intensifies and becomes progressively more curved. Thus, the lifting and adiabatic cooling 227 moves from southwest to northeast over this period and is a major contributor to the cooling over 228 the region from northeastern Mexico to well south of the Texas panhandle and southwestern 229 Oklahoma. This cooling occurs on the right front flank and ahead of the newly-formed 600 hPa 230 wind maximum shown in Figure 6. This cooling is also confirmed from rawinsonde observations 231 232 at Santa Teresa (EPZ), New Mexico, Midland (MAF) and Amarillo (AMA), Texas during 0000 UTC (02/23) - 0000 UTC (02/24) and at FWD from 0000 - 1200 UTC (02/24) (see also Table 2 233 and Figure 13). Further explanation and discussion of these features is found in the next section 234 that makes use of WRF simulations on smaller scales than can be captured by NARR. 235

236 *3.3 Meso-α scale surface features* 

The lower-tropospheric cooling discussed above occurred in conjunction with noticeable pressure structures/perturbations at the surface (Figures 10 and 11). The development and movement of three pressure troughs (denoted by  $T_1$ ,  $T_2$ , and  $T_3$ ) are key features in these surface patterns. Prior to development of DS1, i.e., at 1500 UTC (02/23), a northeast-southwest-oriented

241 pressure perturbation (denoted by  $T_1$  and shown in Figure 10a) extends from southeastern New Mexico to northeastern Mexico. The anticyclonic inflection in the pressure field as well as the 242 leading cyclonic perturbation accompanying  $T_1$  are both encompassed by a substantial northeast-243 southwest-oriented mean sea level pressure ( $P_{MSL}$ ) fall corridor during the 1500-2100 UTC 244 (02/23) time period. The descriptor of T<sub>1</sub> as a "trough" (Figures 10a and 11a) is based on these 245 246 strong and persistent pressure falls. This trough is nearly coincident with the location of the jet streak merger as well as the development and subsequent expansion of DS1 during the period 247 2100 UTC (02/23) – 0300 UTC (02/24). T<sub>1</sub> deepens and builds polewards to merge with the 248 249 intensifying synoptic-scale cyclone over western Kansas by 0600 UTC (02/24) (Figure 10b).

A newly developed surface trough  $T_2$  is seen over west Texas at 0600 UTC (02/24) (Figure 10b). It separates from  $T_1$  accompanying a rapid pressure jump over west Texas which decouples  $T_1$  from  $T_2$ . This trough ( $T_2$ ) weakens in time as it moves across Texas triggering convection well east and south of DS2, but is followed by the intensification of another surface trough  $T_3$  by 1500 UTC (02/24) in and south of the Texas Panhandle area (Figure 10c).  $T_3$  rotates equatorward of the extra-tropical cyclone in Kansas to be co-located with the downstream propagation of DS2 just before 2100 UTC (02/24) (Figure 10d).

Observed  $P_{MSL}$  tendencies (Figures 11a – 11d) indicate regions of pressure falls followed by rises that move from northeastern Mexico to west and central Texas over the period of DS1 and DS2 development, i.e., from late on (02/23) to late on (02/24). Strong pressure falls occur with T<sub>1</sub> during 1500 UTC (02/23) – 0000 UTC (02/24) over the New Mexico–Texas border. These  $P_{MSL}$  falls redevelop and move into central Texas during 0300 UTC (02/24) – 0900 UTC (02/24) with the formation of T<sub>2</sub>. This is followed by the  $P_{MSL}$  falls over the Texas Panhandle

after 1200 UTC (02/24) that spread into north central Texas by 1500 UTC (02/24) with the formation of  $T_3$ .

The regions of  $P_{MSL}$  falls that accompany the development of T<sub>1</sub>, T<sub>2</sub>, and T<sub>3</sub> and followed 265 by  $P_{MSL}$  rises in Figure 11 closely track the mid-upper-tropospheric divergence, ascent, and 266 267 cooling in response to thermal wind imbalance in association with the newly-merged jet streak 268 described earlier. Furthermore, the  $P_{MSL}$  falls during the period spanning 1500 UTC (02/23) – 0900 UTC (02/24) over west Texas are as strong as the pressure falls associated with the large-269 scale cyclone over northwestern Kansas, and this is consistent with the swath of 600 hPa cooling 270 271 in response to the ascent accompanying the falls, shown in Figure 9, well south of the cyclone. The  $P_{MSL}$  falls follow the motion of the 600 hPa wind maximum analogous to the 600 hPa 272 cooling (Figures 6 and 9). The details of these adjustments demand datasets much finer than 273 274 NARR and radiosondes which will be discussed in the next section.

### **4. Mesoscale signatures from the WRF simulation**

The analyses based on NARR and surface data examination indicated linkages between 276 the dust events in the region between northeastern Mexico and north central Texas during the 277 1500 UTC (02/23) - 1500 UTC (02/24) time period. In this section, an effort is made to view and 278 279 discuss DS1 and DS2 from an encompassing mesoscale perspective. That is, as opposed to viewing these dust events separately, we follow a continuous stream of mesoscale processes that 280 govern the life cycle of these dust storms. These processes are fundamentally linked to the 281 282 evolving jet streak that formed after the STJ and PJ merger. The dust serves as a tracer of disturbances that generate low-level turbulence kinetic energy (TKE) in the flow regime, but 283 paramount to the study is a description of mesoscale processes that form in response to dynamic 284 285 imbalance with this jet streak.

#### 286 *4.1 WRF model setup and verification*

The mass-core version of the WRF model (version 3.4) used in this study employs three 287 domains. The domains are shown in Figure 1a. The horizontal grid spacing for these domains is 288 54, 18, and 6 km. The model configuration has 71 levels in the vertical and the interactive 289 strategy between the domains is one-way. The model physics configuration includes: (i) an Eta 290 surface layer scheme [Janjić 2001], (ii) the Mellor-Yamada-Janjić 1.5 order (level 2.5) 291 turbulence closure model [Mellor and Yamada 1974, 1982; Janjić 2001], (iii) the Betts-Miller-292 Janjić cumulus scheme [Betts 1986; Betts and Miller 1986, Janjić 1994] – applied only on the 293 54 and 18 km grids, (iv) Morrison's double-moment cloud microphysical scheme [Morrison et 294 al. 2009], (v) the Rapid Radiative Transfer Model (RRTM) for long wave radiation [Mlawer et 295 al. 1997] as well as Dudhia's short-wave radiation scheme [Dudhia 1989], and (vi) the Noah 296 297 land surface model (Noah LSM) [Chen and Dudhia 2001; Ek et al. 2003]. This configuration of parameterization schemes resulted in physically realistic simulations in the two previously-cited 298 studies [Kaplan et al. 2011, 2013] on dust storms over arid elevated terrain in which there was 299 300 virtually no moist convection.

Initialization and boundary value specification is accomplished by recourse to products 301 from the National Center for Environmental Prediction's (NCEP's) global forecast model (the 302 Global Forecast System — GFS; http://rda.ucar.edu/datasets/ds083.2 [Kalnay et al. 1990]]. The 303 WRF was initialized at 0000 UTC (02/23) - 21 h prior to the onset of DS1. The GFS analysis 304  $(1^{\circ} \times 1^{\circ} \text{ resolution})$  was found to be superior to NARR (32 km grid) for this case study at this 305 time. The NARR initialized simulation led to excessive deepening of the Rossby wave as the 306 system moved over the southwestern USA and northern Mexico. There were obvious errors in 307 308 the NARR height and wind fields at key locations in southern Arizona and north central Mexico at this time — errors detailed through comparison with rawinsonde observations in that area. At
other times NARR and GFS were in much closer agreement.

WRF simulations are compared with surface and upper-air observations as shown in 311 Figures 12 and 13, respectively. One notes a close correspondence between the simulated and 312 observed surface features in the  $P_{MSL}$ , wind, and temperature fields at GDP and LBB — stations 313 314 close to the location of the strongest signals associated with DS1 and DS2. The WRF simulated pressure trace at GDP captures the precipitous fall and subsequent rise in pressure over the 60-h 315 period shown, but the amplitude of this trace is only half of the observed amplitude and the 316 317 timing of the most significant pressure fall is early. It is speculated that this amplitude error reflects a mismatch between the location of the model's grid points on the 6 km grid and the 318 319 location of the observation site at GDP. Essentially, the hydrostatic builddown to sea level used different elevations and this led to incompatible values of the  $P_{MSL}$ . In view of the excellent fit 320 between the patterns of WRF simulated temperature and observed temperature, the amplitude 321 322 difference in the  $P_{MSL}$  traces is likely less related to differences in air temperature at grid points and observation location and more related to builddown errors. The WRF simulated 323 thermodynamic structure shown in Figure 13 (and Table 2) is remarkably accurate — especially 324 325 in respect to the depth of the adiabatic layers at both EPZ and FWD. The observed and simulated hodographs are also in good agreement with each other. As previously noted and as will be 326 shown later, these deep adiabatic layers are commonplace in strong dust storm events. 327

328 *4.2 Lagrangian synthesis of thermal wind-mass adjustments* 

The back trajectories associated with the large-scale synoptic system are displayed in Figure 14. Back trajectory 1 covers a period of 24 h while back trajectories 2 and 3 cover a 33 h period. The air parcel on trajectory 1 ("parcel #1") essentially followed a planview straight line

332 with minor vertical oscillations between 700 and 900 hPa. This trajectory was governed by the 333 winds in the STJ. Parcel #2's path was nearly a straight line plan-view along the USA – Mexico border before it executed an abrupt cyclonic turn and descended another 50 hPa prior to its 334 arrival above Tulsa, Oklahoma (TUL). Parcel #2 was under the influence of the STJ during the 335 first 20 – 21 h of its movement, but it was clearly under the influence of the combined STJ-PJ 336 during the last 12 h. Parcel #3 had a long cyclonically curved/descending path from Salt Lake 337 City, Utah (SLC) to TUL. From a planview perspective, this path had similarity to those 338 associated with the Danielsen paradigm [Danielsen 1974; Pauley et al. 1996]. But the vertical 339 340 descent over this long trajectory was only about 100 hPa as opposed to typical descents of 600 – 800 hPa for cases that were consistent with the Danielsen paradigm associated with the 341 tropopause fold phenomenon [Danielsen 1974]. 342

Figure 15 displays the temporal traces of physical process (parcel diagnostics) associated 343 with parcel #2. During the 1800 UTC (02/23) - 0600 UTC (02/24) period, parcel #2 traverses 344 the region of the USA – Mexico border while DS1 is occurring. The air parcel is located 345 between 700 and 800 hPa near EPZ at 0000 UTC (02/24). Since mid-level imbalance 346 (approximately 200-250 hPa above the surface) is our focus based on previous dust storm case 347 study analyses, we will describe the adjustments between 500 and 800 hPa. Prior to this period 348 (1200 UTC (02/23) - 0000 UTC 02/24) the parcel ascended from 800 hPa to 700 hPa over 349 southeastern Arizona – southwestern New Mexico and subsequently was followed by a descent 350 to about 900 hPa by 1200 UTC (02/24) over north central Texas. The region primarily from 351 southeastern Arizona to southwestern New Mexico represents the location of active thermal wind 352 adjustment – approximately 200–250 hPa above the ground – particularly within the merged 353 354 mid-tropospheric jet streak's exit region.

355 In the following subsections we will employ Lagrangian diagnostics to relate the trajectory motions to: 1) growing imbalance in the flow accompanying strong accelerations, 2) 356 substantial rate of change of divergence in the velocity field, and 3) adiabatic cooling on the right 357 flank of the jet's exit region (unbalanced for a straight jet) encompassing the region from 358 southeastern Arizona to the New Mexico/Texas border. These adjustments and cooling signals 359 360 are forcing height falls to reduce the thermal wind imbalance albeit also generating a curved flow state as mentioned earlier. These adjustments are coincident in space and time with the 361 development of  $T_1$  and  $T_2$  over this region on (Figures 10a and 10b) followed by rapid  $P_{MSL}$  rise. 362 363 Examination of the aforementioned is discussed in subsequent subsections.

#### 364 *4.2.1 Rossby number and upper-level ageostrophy*

Rossby number (*Ro*) is a measure of atmospheric imbalance via the ratio of advective to the Coriolis accelerations. Smaller ratios of Rossby number on the order of 0.1 are representative of Q–G dynamics and mesoscale circulations are typically associated with  $Ro^L \ge 1$  whose superscript "*L*" refers to the Lagrangian calculation of this ratio (equation 1 below) [*Zack and Kaplan* 1987; *Van Tuyl and Young* 1982; *Zhang et al.* 2000; *Kaplan et al.* 2011, 2013].

370 The quantitative form of  $Ro^L$  is expressed as follows:

371 
$$Ro^{L} = \frac{\left|\frac{\partial \mathbf{\tilde{V}}_{H}}{\partial t} + (\mathbf{\tilde{V}}_{H} \cdot \nabla) \mathbf{\tilde{V}}_{H}\right|}{f \left|\mathbf{\tilde{V}}_{H}\right|} = \frac{\left|\mathbf{\tilde{V}}_{ag}\right|}{\left|\mathbf{\tilde{V}}_{H}\right|}$$
(1)

where  $\vec{V}_{H}$  is the horizontal wind vector,  $\vec{V}_{ag}$  is the ageostrophic wind vector, and f is the Coriolis parameter. In this form, it is clear that the Rossby number compares the magnitude in the ageostrophic wind relative to the total wind, which is an intuitively valuable way to view the ratio.

Figure 16 shows the evolution of  $Ro^{L}$  at the 600 and 700 hPa levels over the period of 376 1800 UTC (02/23) — 0600 UTC (02/24). This display gives evidence of large-magnitude 377 accelerations and ageostrophy over the areas where DS1 was generated [2100 UTC (02/23)] and 378 379 maintained as well as in the precursor period of DS2 [prior to 1500 UTC (02/24)]. This display also indicates that the region of unbalanced mesoscale dynamics coincides with the region of 380 mid-tropospheric jet streak formation/intensification — in the region 500 km equatorward of 381 the extra-tropical cyclone (see Figures 10 and 17). Parcels #2 and #3 shown earlier overlap near 382 LBB (at different times) the area of increasing Rossby numbers at about 0900 UTC (02/24) 383 which is directly above the strengthening  $T_1$  and developing  $T_2$  (see also Figures 10 and 11). 384

In view of the large-scale thermal wind imbalance in the 700-500 hPa layer as shown in 385 Figure 8, ageostrophic wind and substantial velocity divergence development in this layer is 386 387 anticipated and indeed apparent at this key period of parcel imbalance and high Rossby number flow regime (Figures 16 and 17). As lower tropospheric air parcels move out from the region 388 over New Mexico-west Texas after initiation of DS1, i.e., during 2100 (02/23) - 0900 UTC 389 (02/24), the total wind at mid-tropospheric levels accelerates more than 10 m s<sup>-1</sup> and the 390 ageostrophic wind component is directed leftward and upstream of the mid-tropospheric jet 391 streak's exit region between ELP and MAF. This location/time is in proximity to the accelerating 392 high Rossby number regime. It is also a region of ascent followed by descent as the unbalanced 393 motions force the parcel into rising and cooling in the region surrounding the stations 394 ELP-MAF-LBB-HOB followed by sinking and warming east of MAF (Figures 14-17). 395

396 *4.2.2 Velocity divergence and vertical motions* 

The rising motions dominate the jet exit region from near ELP to MAF in the highly ageostrophic part of the jet exit region during 2100 UTC (02/23) – 0900 UTC (02/24) (Figures

399 16–18). These rising motions require significant changes in mid-tropospheric velocity 400 divergence. The equation governing the rate of change of divergence  $(D = \nabla \cdot \vec{\mathbf{V}}_{\mathbf{H}})$  on the sphere 401 takes the following form:

402 
$$\frac{dD}{dt} = -D^2 + \left[f\zeta - u\beta + 2J(u,v)\right] - \nabla^2 \Phi + R_\omega + R_c$$
(2)

Terms in equation (2) are defined in Appendix A. The terms are evaluated at the 600 hPa level and shown in Table 3. The most dynamic locations and times of the calculations follow: (1) west of ELP during 1800-2100 UTC (02/23) in the early stages of DS1, (2) near MAF during 2100 UTC (02/23) – 0300 UTC (02/24) in the dissipating period of DS1, and (3) at the location downstream from DS2 initiation during 0600 UTC – 1200 UTC (02/24) just northwest of FWD. These locations are also sequentially above T<sub>1</sub> and T<sub>2</sub> as well as downstream from T<sub>3</sub>, respectively (see Figure 10 for the trough locations).

410 Table 3 and Figure 18a indicate that divergence tendencies following the air motion [equation 2] create the divergence for ascent and  $P_{MSL}$  falls over north central Mexico and 411 412 southern New Mexico shortly after 1800 UTC (02/23). Consistent with the NARR (Figure 9), 413 cooling begins west of ELP at this time as can be seen in the adiabatic cooling at 2100 UTC 414 (02/23) in Table 3. By 0000-0300 UTC (02/24) the divergence tendencies, ascent and adiabatic 415 cooling spread to the region surrounding the stations ELP-MAF-HOB accompanying nearly steady surface pressure and very strong forcing indicated by increasing curvature terms and 416  $\nabla^2 \Phi$ . This is evidenced by the cooling of 4–6°C at 600 hPa (Figure 18 and Table 3) during the 417 period 1800 UTC (02/23) - 0600 UTC (02/24) which results from ascent crossing over the right 418 side of the jet exit region and ageostrophic cold air advection near the Texas-New Mexico-Rio 419 Grande River region (see Figure 1 for the location). By 0600 UTC (02/24) the cold pool has 420 strengthened to -16°C at 600 hPa northwest of HOB, a local cooling greater than 12 K in 12 421

hours (Figure 18 and Table 3 at 0300 UTC), above a transition from weakly falling to rapidly rising surface pressures – where large divergence tendencies are forced by  $\nabla^2 \Phi$  to support midtropospheric ascent and cooling along the path of trajectory 2.

425 Thus, the WRF simulation supports the sequence of increasing imbalance within the jet's 426 exit region indicated by high Rossby numbers, ageostrophy, Lagrangian divergence tendencies, ascent, adiabatic cooling and cold air advection and this sequence facilitates  $P_{MSL}$  falls early 427 428 during the dust storm genesis process followed by rises as the dust storm matures and intensifies. 429 The troughing  $(T_1 \text{ and } T_2)$  and mid-tropospheric cooling is caused by the mass adjustments/mid-430 level jet accelerations after jet streak merger during the development of DS1 (during the 2100 UTC (02/23) – 0600 UTC (02/24) period). The evolution of velocity divergence in the region of 431 large  $Ro^{L}$  and associated ageostrophy followed by rapid cooling (ahead of and on the warm side 432 433 of the jet exit region) leads to low-level mass redistribution and generation of low-level 434 isallobaric/ageostrophic winds [Lewis et al. 2011; Kaplan et al. 2011, 2013; isallobaric/ageostrophic is simply referenced as isollabaric in the subsequent text]. This linkage 435 is further investigated by examining the  $P_{MSL}$  tendency fields in response to low-level troughing 436 437 and upstream cooling aloft in the next section.

#### 438 *4.3 Mass redistribution and isollabaric winds*

439 The isallobaric part ( $\vec{V}_{is}$ ) of the ageostrophic wind is given by:

440 
$$\vec{\mathbf{V}}_{is} = -\frac{1}{\rho f^2} \nabla_z \left( \frac{\partial P_{MSL}}{\partial t} \right)$$
(3)

where  $\rho$  is the air density [*Bluestein* 1992; *Martin* 2006; *Rochette and Market* 2006]. Consistent with trough development T<sub>1</sub> through T<sub>3</sub> as shown in Figures 10a – 10c, substantial Lagrangian divergence tendencies first develop west of ELP down through MMCU at 2100 UTC (02/23) and

then northeast of ELP near LBB at 0000-0300 UTC (02/24) in the high Rossby number regime. 444 The simulated  $P_{MSL}$  falls at this location and downstream of the location are consistent with 445 divergence aloft and mass removal from the atmospheric column. This is followed by an abrupt 446 transition to mass accumulation before 0000 UTC (02/24) as can be inferred from the adiabatic 447 cooling rates in excess of 10° C h<sup>-1</sup> accompanying the upward vertical motions (Table 3). Notice 448 that the  $P_{MSL}$  fall/rise transition results from the changing sign of velocity divergence along 449 trajectory 2 (Table 3). The  $P_{MSL}$  falls arrive in the divergent mid-tropospheric motion along and 450 on the right forward flank of the jet. The  $P_{MSL}$  falls associated with the surface troughs T<sub>1</sub> and T<sub>2</sub> 451 452 are followed by  $P_{MSL}$  rises over west Texas before 0900 UTC (02/24).

In summary, the  $P_{MSL}$  rises that create the isallobaric winds trail the Lagrangian parcel 453 motion within the jet exit region and its mid-tropospheric cooling  $- P_{MSL}$  falls (rises) occur due 454 to mid-lower-tropospheric cooling/transition from mass flux divergence to mass flux 455 convergence (Figure 19). The pattern of  $P_{MSL}$  falls and rises results in a low-level isallobaric 456 wind predominantly from the west upstream from T<sub>1</sub> and T<sub>2</sub> and later from the northwest 457 upstream from  $T_3$  (Figures 11 and 19). The parcel diagnostics shown in Figure 15 dramatically 458 show a peak in wind velocity as the parcel transitions from ascent to descent behind the pressure 459 460 fall zone at the surface after 0300 UTC (02/24). The parcel is initially dominated by the divergence under the jet exit region and then sinks as the accelerating flow forces the convergent 461 motions below 700 hPa accompanying cold air advection under the mid-level jet core and jet 462 463 entrance region. Simulated soundings shown in Figure 20 confirm these strengthening low-level winds from the west – in proximity to adiabatic layer formation from west of ELP to central 464 Texas - as ascent cools the column that is followed by convergence aloft during the 0600-1800465 466 UTC (02/24) period.

# 467 *4.4 Isentropic surface perturbations and turbulence generation*

Figure 21 shows the sequence of isentropic potential vorticity (IPV) from 0000-1800468 UTC (02/24) on the 310 K isentropic surface. The 310 K isentrope is near the top of the well-469 470 mixed PBL for DS1 as well as near the 600 hPa jet adjustments. There are two IPV maxima of significance. Of particular interest is the newly-developing (secondary sub-synoptic scale) IPV 471 maximum just before 1200 UTC (02/24) between Roswell (ROW), New Mexico and LBB. This 472 feature gradually elongates and eventually separates from the main IPV core over northeastern 473 Arizona evident 6 hours earlier, i.e., separated away from the upstream maximum within the 474 large-scale trough's cyclonic shear zone. We refer to this upstream maximum as the "Q-G 475 maximum" at 0000 UTC (02/24). The secondary maximum forms in concert with the newly-476 formed 600 hPa cold pool. 477

478 The cold pool is detached from the upstream Q-G cold pool coincident with the midlower tropospheric thermal wind adjustment process just below 600 hPa over eastern New 479 Mexico, northwest Texas and southwestern Oklahoma during 0000-1200 UTC (02/24) (Figures 480 481 9 and 18). This sub-synoptic scale secondary IPV maximum is initiated in the region surrounding the stations ROW-ELP-MAF-LBB where mid-to-lower tropospheric accelerations become 482 pronounced after 0000 UTC (02/24) under the mid-level jet exit region. This is consistent with 483 the largest 600 hPa Ro<sup>L</sup> maximum located near LBB at 0900 UTC (02/24) (Figure 16). This 484 rapidly increasing secondary IPV maximum is indicative of static stability reduction due to 485 changes in temperature, i.e., cooling aloft (600 hPa) associated with meso-ß scale unbalanced 486 upward vertical motions and stretching under the jet's exit region - indicative of vertical 487 vorticity increase. That is, the vertical motions cause substantial static stability reduction near the 488 large  $Ro^{L}$  maximum in the area bounded by stations ROW-ELP-MAF-LBB by 0900 UTC 489

490 (02/24). The stabilization is above the well-mixed layer and well below the tropopause. It is on 491 top of this stabilized layer that IPV increases (on the 310 K isentrope). The juxtaposition of three coupled simultaneous processes at this time act to increase the IPV: 1) the vertical isentropic 492 493 stretching in the lower and middle troposphere that produces cooling below the 310 K isentropic surface which in turn increases the static stability above 310 K, 2) vertical stretching that 494 increases the vertical vorticity, and 3) the generation of TKE through destabilization of the 495 atmosphere at low levels in proximity to the jet exit region - thus increasing the curl of the 496 frictional force/mass within the deepening adiabatic and accelerating PBL below the 310 K 497 498 isentropic surface (note soundings in Figure 20).

The TKE generation is a proxy for enhanced low-level frictional stress due to 499 accelerating boundary layer flow caused by: 1) the isallobaric winds, and 2) column cooling due 500 501 to ascent and cold air advection. Isallobaric motions accompanying the accelerating jet contribute to organizing this secondary IPV maximum which temporally and spatially links the 502 dissipation of DS1 and the development of DS2 during 0600-1500 UTC (02/24). Note the 503 504 dramatic shift to strong low-level westerlies at LBB (meteogram) and Jayton (JAT profiler located near LBB) during the period 0600-1500 UTC (02/24) (Figure 22). Cold air and 505 accelerating low-level flow create a favorable environment for low-level TKE generation 506 particularly after sunrise in eastern New Mexico and west Texas after 1400 UTC (02/24) [0800 507 LST (02/24)] thus facilitating the regeneration of blowing dust at LBB, i.e., the genesis of DS2. 508

Figure 23 shows the development of merged jet streak exit region wind maxima [or mesoscale jetlets; e.g., *Kaplan et al.* 1998] on the 301, 305, and 310 K isentropic surfaces. Before 1800 UTC (02/23), the 310 K surface (Figures 23a and 23b) slopes from the original Q-G jet front system and IPV maximum over the Utah–Nevada border southwards to

513 northwestern Mexico as the PJ and STJ merge. During 1800 UTC (02/23) – 0000 UTC (02/24), the generation of momentum greater than 30 m s<sup>-1</sup> on the 310 K surface builds downwards to the 514 top of the PBL ahead of the  $P_{MSL}$  rises as parcel 2 approaches the region west of ELP (see also 515 Figures 19-24). These pressure rises are seen to develop from MMCU northwestward to 516 southwestern New Mexico during 1800 UTC (02/23) - 2100 UTC (02/23) and then subsequently 517 downstream between ROW and the Rio Grande River Valley during 2100 UTC (02/23) - 0000 518 UTC (02/24). By 2000 UTC (02/23) this process accelerates the flow within the atmospheric 519 volume down along the 310 K surface which is also nearly coincident with the top of the 520 521 deepening PBL – whose top is approximately at 650 hPa – over northeastern Mexico just southwest of EPZ and northwest of MMCU (Figures 23a and 23b). 522

This adjustment process is also collocated with the path of parcel trajectory 2 shown in 523 Figure 14 and the southern periphery of the newly developing 310 K IPV maximum shown in 524 Figure 21a. Adiabatic cooling increases the PBL depth as it simultaneously expands the 525 separation between isentropes forcing the secondary IPV feature in Figure 21 to tilt forward 526 527 during confluent flow which is typical of cold frontogenesis. Note that this process is also coincident with the isallobaric flow maximum shown in Figures 19a and 19b. By 0500 UTC 528 (02/24) the 305 K isentrope to the northeast in the region surrounding the stations 529 HOB-LBB-MAF indicates a similar increase in predominantly ageostrophic wind flow near 530 the top of the PBL (Figures 23c and 23d) as parcel 2 approaches west of MAF. Finally, by 1900 531 532 UTC (02/24) the region between LBB and Wichita Falls (SPS), Texas undergoes a similar set of adjustments on the 301 K surface (Figures 23e to 23f) as parcel 2 enters eastern Oklahoma. 533 These regions of accelerating mid-level jet exit region flow on sloping isentropic surfaces are 534 just downstream from the soundings that indicate the expansion of dry adiabatic layers shown in 535

Figure 20. During the period in which DS1 transitions into DS2 control of these adjustments shifts from the straight jet exit region to a more curved jet entrance region accounting for the transition from eastward to northward accelerations in the 310-301 K layer.

Furthermore, the simulated momentum adjustments shown on isentropic surfaces 539 (Figures 21 and 23) agree with the 0300-1500 UTC (02/24) wind profiler observations at JAT 540 541 in the 3-7 km MSL layer and in the LBB surface meteogram at the same time (Figure 22). Thus the transition period between DS1 and DS2 reflects the growing accelerations and cyclonic 542 curvature within the 310-301 K layer. The momentum adjustments link the mid-troposphere to 543 544 the top of the PBL. This time period marks the transition from the dominance of DS1 to DS2 as the initially straight accelerating jet exit during DS1 gives way to the curved jet entrance region 545 during DS2. Early cooling and the increase in TKE under the merged jet streak exit region 546 547 during DS1 are critical to the later period processes during DS2. This increase in TKE occurs first at 2100 UTC (02/23) (Figure 24a) within the region of the developing DS1 over 548 northeastern Mexico, second at 0600 UTC (02/24) as DS1 extends into eastern New Mexico and 549 550 third at 1800 UTC (02/24) (Figure 24b) once DS2 is organized over northwest Texas. The deep adiabatic layers accompanying the expanding isentropic surfaces and the commensurately 551 increasing isallobaric flow both contribute to the TKE generation and separation of the IPV 552 maximum on 310 K (Figure 21) into two maxima, one Q-G upstream and highly ageostrophic 553 downstream. 554

555 *4.5 Schematic summary* 

Figure 25 displays a broad-brush schematic of key processes that frame the mesoscale jet streak adjustments. This view involves: 1) the merger of two large-scale jet streams formed in distant and different thermal regimes. 2) The development of thermal wind imbalance as cold air 559 from the Gulf of Alaska impinges on the hot air from the elevated western Plateau. 3) Mid-560 tropospheric cooling due to sub-synoptic ascending motions downstream from as well as on the right front flank of a developing mid-level jet streak at the merger location of the PJ and STJ – 561 as the mass field adjusts to the wind field to ameliorate thermal wind imbalance. 4) The 562 formation of a mid-tropospheric cold front and IPV maximum in response to this cooling, and 563 finally 5) low-level dust ablation as TKE forms in response to low-level mass adjustments, 564 accelerating flow and cold air advection under the accelerating and progressively more curved jet 565 streak. 566

#### 567 **5. Discussion and Concluding Remarks**

The differing geometries of the two successive dust storms over the southern high plains 568 in late February 2007 have been investigated with a battery of tools that include surface and 569 570 upper-air observations, the North American Regional Reanalysis (NARR) dataset, and simulations from Weather Research and Forecasting (WRF) model. The first dust storm DS1 571 exhibited a straight-line geometry and the second dust storm DS2 exhibited a curved geometry. 572 Processes on the meso- $\alpha$  and meso- $\beta$  scales of motion are central to the areas of coverage and 573 associated geometries of the storms. These small-scale processes occur in response to larger-574 575 scale thermal wind imbalance – an imbalance that stems from the merger of the subtropical and polar jet streams over the southwestern USA. In this region of widespread low bulk desert soil, 576 the intense small-scale vertical motions create low-level instability and ageostrophic winds that 577 578 ablate the dust. The study has ramifications beyond dust storm formation since it is the intense mesoscale circulation that can also lead to severe convective storm development in the presence 579 of convective available potential energy (CAPE) (not widespread or substantial in this case 580 581 study).

582 The graphic that best captures the changes in the jet streaks is shown in Figure 23. Over the time period 1900 UTC (02/23) through 2000 UTC (02/24) — a time period that includes pre-583 storm DS1 and late-storm DS2 — the analyses of jet steaks on isentropic surfaces clearly show 584 how a westerly surge of momentum associated with the straight-line dust plumes of DS1 gives 585 way to a curved path of dust associated with DS2. Restoration of balance on the large-scale 586 587 requires relative cooling on the northwest-downstream side of the eastward advancing jet stream merger and coincident cross-mountain flow. This cooling occurs in part from processes 588 identified by Danielsen — isentropic potential vorticity (IPV) transport. Yet, the scenario is more 589 590 complex than highly conservative IPV evolution with a Rossby wave. It involves baroclinic subtropical— mid-latitude interaction over complex terrain that modifies the IPV. The response 591 to imbalance over this latitudinal span displays itself most convincingly on the mesoscale where 592 593 complex patterns of ageostrophy lead to convergence/divergence patterns and associated vertical motions in a dry environment that produces adiabatic warming or cooling. 594

The vertical motion and mass adjustment create instability in the lower troposphere and 595 596 compensating stability at higher levels. Near-surface pressure changes in response to the mass redistribution give rise to the isallobaric winds, and turbulence kinetic energy is created in the 597 598 relatively deep adiabatic/mixed layer that is in proximity to the surface. These adjustments occur under the exit region of the newly merged jet streak during DS1 and then subsequently as curved 599 adjustments under the entrance region during DS2. By following the evolution of the mesoscale 600 601 circulations the dynamical processes associated with DS1 support the development of DS2. Results from this study illustrate the value of fine-scale numerical simulation as a means of 602 complementing analyzed quasi-geostrophic (Q-G) circulation features previously studied by 603 604 Martin [2008] and Schultz and Meisner [2009] for this case. A strict Q-G analysis fails to

identify processes that pinpoint the time and placement of the dust storms. The results also haveimplications for studies on aerosol transport in general.

Given the scale of the adjustment mechanisms prior to dust storm formation, it is entirely 607 possible that the existing operational suite of National Centers for Environmental Prediction 608 (NCEP) numerical models could capture these key mechanisms in this particular case study. This 609 610 assumes, however, that the initial conditions in an operational environment capture the deep mass and momentum imbalance before the thermal wind adjustment occurs. As we think about 611 the difficulty of operationally and routinely predicting dust storms — namely the necessity of 612 613 capturing this aforementioned large-scale imbalance and associated response on the mesoscale — it is also plausible that the current observation network is woefully inadequate to predict dust 614 storm genesis on a consistent basis, i.e., in a broad cross section of case studies. The inadequacy 615 616 is especially apparent on the standard National Weather Service (NWS) upper-air network. The satellite observations, although invaluable in depicting the areas of dust storms (during the 617 daylight hours with visible imagery), cannot give the required vertical structure details of mass 618 619 or momentum in the troposphere. Ground-based spectral instruments such as AERI Interferometer) have proved valuable in depicting (Atmospheric Emitted Radiance 620 temperature/mass structure in the lowest several kilometers of the atmosphere in clear-sky 621 conditions [Wagner et al. 2008]. In the presence of such valuable observations, a data 622 assimilation strategy is required that appropriately weights the background forecasts and 623 observations to yield an improved estimate of the atmospheric state. From this improved state, 624 predictions that are faithful to the mesoscale signatures identified in this study hold promise for 625 locating regions of dust storm generation on a consistent basis. 626

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# **APPENDIX A**

629 The terms in equation (2) are given below:

630 
$$D = \frac{1}{a\cos\varphi} \left[ \frac{\partial u}{\partial\lambda} + \frac{\partial}{\partial\varphi} \left( v\cos\varphi \right) \right]$$
(A1)

631 
$$\zeta = \frac{1}{a\cos\varphi} \left[ \frac{\partial v}{\partial \lambda} - \frac{\partial}{\partial\varphi} \left( u\cos\varphi \right) \right]$$
(A2)

632 
$$\nabla^2 \Phi = \frac{1}{a^2 \cos^2 \varphi} \left[ \frac{\partial^2 \Phi}{\partial \lambda^2} + \cos^2 \varphi \frac{\partial^2 \Phi}{\partial \varphi^2} \right] + \left( \frac{\tan \varphi}{a^2} \right) \frac{\partial \Phi}{\partial \varphi}$$
(A3)

633 
$$J(u,v) = \frac{1}{a^2 \cos \varphi} \left[ \frac{\partial u}{\partial \lambda} \frac{\partial v}{\partial \varphi} - \frac{\partial u}{\partial \varphi} \frac{\partial v}{\partial \lambda} \right]$$
(A4)

634 
$$R_{\omega} = -\frac{1}{a\cos\varphi} \left[ \frac{\partial\omega}{\partial\lambda} \frac{\partial u}{\partial p} + \frac{\partial\omega}{\partial\varphi} \frac{\partial}{\partial p} (v\cos\varphi) \right]$$
(A5)

635 
$$R_{c} = -\left(\frac{2}{a^{2}\cos\varphi}\right)\frac{\partial}{\partial\varphi}\left(\frac{u^{2}+v^{2}}{2}\sin\varphi\right)$$
(A6)

636 
$$\omega = \frac{dp}{dt}; \quad f = 2\Omega \sin \varphi; \quad \beta = \frac{2\Omega \cos \varphi}{a}$$
(A7)

637 where *u* and *v* are zonal and meridional components of wind, respectively, J(u,v) is the Jacobian 638 of the velocity field,  $\varsigma$  is the relative vorticity, *p* is the air pressure,  $\omega$  is the rate of change of *p* 639 following the air motion,  $\beta$  is the latitudinal variation of the Coriolis parameter *f*,  $\Omega$  is the 640 angular rotation of the Earth, and  $\varphi$  is the latitude,  $\lambda$  is the longitude, *a* is the radius of the Earth, 641  $R_{\omega}$  is the tilting term, and  $R_c$  is the curvature term.  $\Phi$  is the geopotential.

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- **TABLE CAPTIONS**

Table 1. Maximum gust speed (m s<sup>-1</sup>), and the lowest visibility (km) due to dust observed over southern High Plains during 23 - 24 February 2007 (Source: http://vortex.plymouth.edu).

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- 897 **FIGURE CAPTIONS**
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Figure 1. (a) WRF modeling domains used in the study, and (b) topography (meters) representation in the innermost modeling domain. Overlaid are the cross sections A - A' and C - C' (dashed lines), USA state identifiers, station locations referenced in the study. The Rio Grande River forms the border between the state of Texas in USA and Mexico. The four corner region is indicated by a circle. The region of Southern High Plains (SHP) is indicated by an arrow.

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Figure 2. Satellite imagery for the DS1 event showing location of dust plumes in the Chihuahua state in Mexico and southwest Texas during 2115 UTC (02/23) – 0330 UTC (02/24). The locations of the stations MMCU, ELP and GDP are indicated. Top panel figures (a)-(c) are enhanced visible imagery from GOES-12 (bold arrows indicate the dust storm), and bottom panel figures (d)-(f) are the largest values of brightness temperature differences  $[T_b(11.7 \ \mu\text{m}) - T_b$ (12.0  $\mu\text{m})]$  from the GOES-11 imager. Elongated and striated bright regions are indicative of dust.

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simulations (µg m<sup>-3</sup>) at (a) 0000, (b) 0600 UTC and (c) 1200 UTC (02/24) [Source: http://www.nrlmry.navy.mil/aerosol].

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Figure 4. GOES 12-visible satellite imagery for the DS2 event valid at (a) 1745 UTC, (b) 1845
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Figure 7. Vertical cross section of isentropes (solid contours; contour interval = 2 K), horizontal winds (wind barb = 5 m s<sup>-1</sup>; isotach intervals at 5 m s<sup>-1</sup> from 35 m s<sup>-1</sup> are indicated by darker contour lines) from MFR to MMCU (see Figure 6) valid at (a) 1200 UTC (02/22) and (b) 1800 UTC (02/23) from NARR.

Figure 8. Geostrophic wind shear minus true wind shear in the 500 - 700 hPa layer (full barb = 5

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  UTC (02/24), and (d) 2100 UTC (02/24) (Source: http://vortex.plymouth.edu).
- Figure 12. Observed (black circles) and WRF (6 km grid) simulated (solid line) hourly time series of (a,b) surface (10 m) wind speed (m s<sup>-1</sup>) and (c,d) wind direction (deg), (e,f) surface (2m) air temperature (°C), and (g,h) sea level pressure (hPa) during 0000 UTC (02/23) – 1200 UTC (02/25) at GDP (left panel) and LBB (right panel) [*x*-axis represents time; 0 = 0000 UTC (02/23); 60 = 1200 UTC (02/25)].
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- Figure 13. Observed (triangles and circles) and WRF (6 km grid) simulated (solid and dashed lines) sounding at (a) EPZ at 0000 UTC (02/24), and at (b) FWD, Texas at 0000 UTC (02/25) (see Figure 1 for the station locations).
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- Figure 16. 6-km WRF diagnosed Lagrangian Rossby number ( $Ro^L$ ) at 2100 UTC (02/23) on (a) 600 hPa and (b) 700 hPa, and  $Ro^L$  at 0900 UTC (02/24) on (c) 600 hPa and (d) 700 hPa.  $\otimes$ indicates the location of the dust plumes from DS1 (a and b) and from DS2 (c and d). The solid line indicates the state boundaries.
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Figure 17. 600 hPa ageostrophic wind (full barb = 5 m s<sup>-1</sup>) and total wind speed (shaded; m s<sup>-1</sup>) diagnosed from the 18 km simulation valid at (a) 1600 UTC (02/23), (b) 1800 UTC (02/23), (c) 0300 UTC (02/24) and (d) 0600 UTC (02/24).

Figure 18. 6-km WRF 600 hPa vertical motion (shaded;  $\mu b \text{ s}^{-1}$ ) and air temperature (contour interval = 1 °C) at (a) 1800 UTC (02/23) and (b) 0600 UTC (02/24). Thick lines indicate the U.S. state boundaries and the regions surrounding Texas, New Mexico, USA and Mexico are only shown in the figure.

Figure 19. 6-km WRF diagnosed isallobaric winds and the 3-h  $P_{MSL}$  tendency [solid (positive)/dashed (negative); contour interval = 1 hPa] during (a) 1800-2100 UTC (02/23) and 1200-1500 UTC (02/24). Regions surrounding Texas, New Mexico, USA and Mexico are only shown in the figure. Thick solid line shows U.S. state boundaries.

- Figure 20. 6-km WRF simulated soundings shown in skew T- ln *p* diagram at (i)  $32.5^{\circ}$  N,  $107.5^{\circ}$ W (solid line), (ii)  $32.5^{\circ}$  N,  $102^{\circ}$  W (short-dashed), and (iii)  $33.5^{\circ}$  N,  $98^{\circ}$  W (long-dashed) valid at 1200 UTC (02/24) (full barb = 5 m s<sup>-1</sup>) (see also Table 3 for the diagnosis at these locations).
- 992 Figure 21. Isentropic potential vorticity (IPV) from 6-km WRF grid (contour interval = 0.5 PVU)
  - 993 on 310 K isentropic surface, and 800 hPa horizontal wind speeds (shaded;  $m s^{-1}$ ) valid at (a) 0000
  - 994 UTC, (b) 0600 UTC, (c) 1200 UTC, and (d) 1800 UTC (02/24). Also overlain are the locations
  - of trajectories 2 (marked at A as  $\oplus$ ) and 3 (marked at B as  $\otimes$ ) at these times (see also Figure 14).
- 997 Figure 22. (a) Observed meteogram for Lubbock, Texas (LBB) valid from 0000 2300 UTC 998 (02/24) (Source: http://vortex.plymouth.edu), and (b) temporal evolution of horizontal winds at 999 Jayton, Texas (JAT; see Figure 1 for the location) from the NOAA wind profiler observations 1000 (full barb = 5 m s<sup>-1</sup>) (Source: http://madis-data.noaa.gov) valid from 2200 UTC (02/23) - 2100 1001 UTC (02/24).
- Figure 23. 6-km WRF diagnosed horizontal winds (isotachs; m s<sup>-1</sup>) valid at (a,b) 1900 and 2000 UTC (02/23) on 310 K isentropic surface, at (c,d) 0400 and 0500 UTC (02/24) on 305 K surface, and at (e,f) 1900 and 2000 UTC (02/24) on 301 K surface. Also indicated is the height of isentropic surface (solid line; contour interval = 500 m).
- Figure 24. 6-km WRF simulated TKE (shaded; J kg<sup>-1</sup>) and horizontal winds (full barb = 5 m s<sup>-1</sup>) and isentropes (contour interval = 1 K) along the cross-sections (a) A - A' at 2100 UTC (02/23), and (b) C - C' at 1800 UTC (02/24) (see Figure 1 for the locations of A - A' and C - C'). Solid black line indicates the topography. Also shown are the closest locations to ELP, SPS, and TUL along the cross sections.
- Figure 25. Schematic diagram of key organizing processes for the multiple dust storm events.The deep mixing in the adiabatic PBL is indicated by the dashed circles.
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Maximum Lowest Stations gust speed visibility Time  $(m s^{-1})$ (km) (UTC) 23 February 2007 DMN 2.5 MMCS 1.6 ELP GDP 24 February 2007 TCC 0.3 CVS 1.6 HOB 4.8 LBB 0.3 ABI 1.6 SPS 1.6 ADM 4.1 FWD 1.6 MLC 3.2 TUL 3.3 FSM 3.2 

Table 1. Maximum gust speed (m s<sup>-1</sup>), and the lowest visibility (km) due to dust observed over southern High Plains during 23-24 February 2007 (Source: http://vortex.plymouth.edu). 

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Table 2. Observed 700 - 500 hPa layer mean temperature (°C) during 23-25 February 2007
from the rawinsonde soundings at Santa Teresa (EPZ), Midland (MAF), Amarillo (AMA), and
Dallas Fort-Worth (FWD) (Source: http://weather.uwyo.edu).

Stations	02/23 1200 UTC	02/24 0000 UTC	02/24 1200 UTC	02/25 0000 UTC
EPZ	-6.9	-10.1	-15.1	-8.0
MAF	-2.5	-5.0	-11.2	-9.1
AMA	-5.0	-8.5	-16.8	-14.5
FWD	-3.8	-3.0	-5.2	-11.2

Table 3. Terms in equation (2) diagnosed at 600 hPa [last four columns  $\times 10^{-8} \text{ s}^{-2}$ ], mean sea level pressure ( $P_{MSL}$ ) and Lagrangian derivative of air pressure ( $\omega$ ) at different locations (A =32.5°N, 107.5° W, B = 32.5°N, 102° W, and C = 33.5°N, 98°W) along the trajectory 2 (see Figure 14). Also shown is the horizontal and vertical advection of potential temperature at 600 hPa (units in K h<sup>-1</sup>).

Location	Time (UTC)	P <sub>MSL</sub> (hPa)	<i>ω</i> (μb s <sup>-1</sup> )	$\frac{dD}{dt}$	$\begin{aligned} f\zeta - u\beta + \\ 2 J(u,v) \end{aligned}$	$-\nabla^2 \Phi$	$R_{\omega}$	Vertical advection (K h <sup>-1</sup> )	Horizontal advection (K h <sup>-1</sup> )
А	12 (02/23)	1014.5	10.07	4.48	-0.06	-22.81	28.02	2.04	-2.64
	15 (02/23)	1014.2	10.37	-43.52	1.14	-78.23	33.57	0.16	-0.84
	18 (02/23)	1006.5	0.43	-1.17	-5.26	-8.00	12.33	0.12	-2.08
	21 (02/23)	1004.4	-10.78	23.08	1.75	-2.51	24.00	-2.62	8.60
	00 (02/24)	1010.7	-37.52	-14.95	12.50	14.54	-35.74	-10.22	9.02
	03 (02/24)	1019.4	52.72	-34.30	-0.89	-8.65	-24.69	18.29	-14.81
В	21 (02/23)	999.9	-6.05	-5.11	-8.17	10.47	-6.89	-0.78	0.09
	00 (02/24)	1000.3	-14.35	31.06	14.11	-18.19	37.88	-0.58	0.65
	03 (02/24)	1002.8	-34.69	55.35	24.71	22.87	7.92	-1.05	0.45
	06 (02/24)	1007.4	19.24	10.78	9.98	-22.86	25.05	4.51	-8.35
	09 (02/24)	1008.0	-13.65	38.92	5.25	-15.73	49.79	-4.79	2.38
	12 (02/24)	1005.7	30.24	-43.41	-2.47	-40.77	-0.12	7.98	-6.51
С	06 (02/24)	1003.6	22.22	-54.96	-53.67	14.68	1.42	-0.77	-1.59
	09 (02/24)	999.6	32.82	56.76	-3.01	89.62	48.97	11.15	-0.81
	12 (02/24)	1000.2	2.85	11.69	-2.45	-4.56	18.89	0.57	-3.25
	15 (02/24)	998.4	5.87	-49.39	-11.21	-31.93	-6.30	2.05	-4.98
	18 (02/24)	995.0	3.62	7.17	2.04	-9.82	15.56	0.54	1.29
	21 (02/24)	998.1	4.29	-5.21	-1.13	-0.16	-3.38	0.59	0.48



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Figure 3. Navy Aerosol Analysis and Prediction System (NAAPS) dust concentration simulations (µg m<sup>-3</sup>) at (a) 0000, (b) 0600 UTC and (c) 1200 UTC (02/24) [Source: http://www.nrlmry.navy.mil/aerosol].



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Figure 5. 200 hPa horizontal winds (shaded; isotachs; m s<sup>-1</sup>), geopotential height (black-solid; contour interval = 120 m), and temperature (blue-dashed; contour interval = 2° C) from NARR at (a) 1200 UTC (02/22) and (b) 1800 UTC (02/23). PJ = Polar jet stream, STJ = subtropical jet stream.



Figure 6. 600 hPa horizontal winds (shaded; isotachs; m s<sup>-1</sup>), geopotential height (solid; contour interval = 60 m) and temperature (dashed; contour interval =  $2^{\circ}$ C) from NARR at (a) 1200 UTC (02/22) and (b) 1800 UTC (02/23). Locations of Medford, Oregon (MFR), MMCU, ELP, and MAF are shown in the figure. A cross section along the line between MFR and MMCU shown here is used in Figure 7.



Figure 7. Vertical cross section of isentropes (solid contours; contour interval = 2 K), horizontal winds (wind barb = 5 m s<sup>-1</sup>; isotach intervals at 5 m s<sup>-1</sup> from 35 m s<sup>-1</sup> are indicated by darker contour lines) from MFR to MMCU (see Figure 6) valid at (a) 1200 UTC (02/22) and (b) 1800 UTC (02/23) from NARR.



Figure 8. Geostrophic wind shear minus true wind shear in the 500 - 700 hPa layer (full barb = 5 m s<sup>-1</sup>) diagnosed from NARR valid at (a) 1800 UTC (02/23), and (b) 0000 UTC (02/24). Also shown is the 500 - 700 hPa layer mean temperature (contour interval = 2° C).



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Figure 10. Altimeter setting analysis in the Southern Plains (units of inches in Hg – converted to hPa shown inside boxes) at (a) 2100 UTC (02/23), (b) 0600 UTC (02/24), (c) 1500 UTC (02/24), and (d) 2100 UTC (02/24) (Source: http://vortex.plymouth.edu). Also indicated are the surface troughs  $T_1$ ,  $T_2$ , and  $T_3$  referenced in the study.



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Figure 12. Observed (black circles) and WRF (6 km grid) simulated (solid line) hourly time series of (a,b) surface (10 m) wind speed (m s<sup>-1</sup>) and (c,d) wind direction (deg), (e,f) surface (2-m) air temperature (°C), and (g,h) sea level pressure (hPa) during 0000 UTC (02/23) – 1200 UTC (02/25) at GDP (left panel) and LBB (right panel) [*x*-axis represents time; 0 = 0000 UTC (02/23); 60 = 1200 UTC (02/25)].



Figure 13. Observed (triangles and circles) and WRF (6 km grid) simulated (solid and dashed lines) sounding at (a) EPZ at 0000 UTC (02/24), and at (b) FWD, Texas at 0000 UTC (02/25) (see Figure 1 for the station locations).



Figure 14. Planview of trajectory analysis from 6-km WRF grid for 24 – h backtrajectory ending at 800 hPa above 29.25° N, 106.2° W in Mexico at 0000 UTC (02/24) – trajectory 1, and 33 – h backtrajectory ending at 960 hPa [800 hPa] above Tulsa (TUL), Oklahoma, USA at 2200 UTC (02/24) – trajectory 2 [trajectory 3]. The 3-hourly position of the parcel ( $\otimes$  for trajectory 1, solid triangles for trajectory 2, and solid circles for trajectory 3) valid from 0000 UTC 24 February 2007 and the pressure level where it is located are also indicated in the figure. The width of the arrows indicates the rising (wide) and sinking (narrow) of the parcel motion [SLC = Salt Lake City, Utah, ABQ = Albuquerque, New Mexico, MMHO = Hermosillo, Mexico]. The backtrajectories were calculated using the RIP visualization program [*Stoelinga* 2009].

![](_page_54_Figure_0.jpeg)

Figure 15. Hourly diagnostics (WRF 6 km grid) for parcel trajectory 2 shown in Figure 14. *x*-axis indicates time in hours, starting from 1200 UTC (02/23) and ending at 0000 UTC (02/25). Shown in the figure are: (a) terrain elevation (m) and (b) the pressure (hPa) at the parcel location, (c) horizontal wind speed (m s<sup>-1</sup>), (d) parcel acceleration (× 10<sup>3</sup> m s<sup>-2</sup>), (e)  $\omega$  (µb s<sup>-1</sup>), (f) air temperature (°C), (g)  $P_{MSL}$  (hPa), (h) sensible heat flux at the surface (W m<sup>-2</sup>), (i) TKE (J kg<sup>-1</sup>), and (j) mixed layer depth (m) along the back trajectory.

![](_page_55_Figure_0.jpeg)

Figure 16. 6-km WRF diagnosed Lagrangian Rossby number ( $Ro^L$ ) at 2100 UTC (02/23) on (a) 600 hPa and (b) 700 hPa, and  $Ro^L$  at 0900 UTC (02/24) on (c) 600 hPa and (d) 700 hPa.  $\otimes$  indicates the location of the dust plumes from DS1 (a and b) and from DS2 (c and d). The solid line indicates the state boundaries.

![](_page_56_Figure_0.jpeg)

Figure 17. 600 hPa ageostrophic wind (full barb = 5 m s<sup>-1</sup>) and total wind speed (shaded; m s<sup>-1</sup>) diagnosed from the 18 km simulation valid at (a) 1600 UTC (02/23), (b) 1800 UTC (02/23), (c) 0300 UTC (02/24) and (d) 0600 UTC (02/24).

![](_page_57_Figure_0.jpeg)

Figure 18. 6-km WRF 600 hPa vertical motion (shaded;  $\mu b s^{-1}$ ) and air temperature (contour interval = 1 °C) at (a) 1800 UTC (02/23) and (b) 0600 UTC (02/24). Thick lines indicate the U.S. state boundaries and the regions surrounding Texas, New Mexico, USA and Mexico are only shown in the figure.

![](_page_58_Figure_0.jpeg)

Figure 19. 6-km WRF diagnosed isallobaric winds and the 3-h  $P_{MSL}$  tendency [solid (positive)/dashed (negative); contour interval = 1 hPa] during (a) 1800-2100 UTC (02/23) and 1200-1500 UTC (02/24). Regions surrounding Texas, New Mexico, USA and Mexico are only shown in the figure. Thick solid line shows U.S. state boundaries.

![](_page_59_Figure_0.jpeg)

Figure 20. 6-km WRF simulated soundings shown in skew T- ln *p* diagram at (i)  $32.5^{\circ}$  N,  $107.5^{\circ}$  W (solid line), (ii)  $32.5^{\circ}$  N,  $102^{\circ}$  W (short-dashed), and (iii)  $33.5^{\circ}$  N,  $98^{\circ}$  W (long-dashed) valid at 1200 UTC (02/24) (full barb = 5 m s<sup>-1</sup>) (see also Table 3 for the diagnosis at these locations).

![](_page_60_Figure_0.jpeg)

Figure 21. Isentropic potential vorticity (IPV) from 6-km WRF grid (contour interval = 0.5 PVU) on 310 K isentropic surface, and 800 hPa horizontal wind speeds (shaded; m s<sup>-1</sup>) valid at (a) 0000 UTC, (b) 0600 UTC, (c) 1200 UTC, and (d) 1800 UTC (02/24). Also overlain are the locations of trajectories 2 (marked at A as  $\oplus$ ) and 3 (marked at B as  $\otimes$ ) at these times (see also Figure 14).

![](_page_61_Figure_0.jpeg)

Figure 22. (a) Observed meteogram for Lubbock, Texas (LBB) valid from 0000 - 2300 UTC (02/24) (Source: http://vortex.plymouth.edu), and (b) temporal evolution of horizontal winds at Jayton, Texas (JAT; see Figure 1 for the location) from the NOAA wind profiler observations (full barb = 5 m s<sup>-1</sup>) (Source: http://madis-data.noaa.gov) valid from 2200 UTC (02/23) - 2100 UTC (02/24).

![](_page_62_Figure_0.jpeg)

Figure 23. 6-km WRF diagnosed horizontal winds (isotachs; m s<sup>-1</sup>) valid at (a,b) 1900 and 2000 UTC (02/23) on 310 K isentropic surface, at (c,d) 0400 and 0500 UTC (02/24) on 305 K surface, and at (e,f) 1900 and 2000 UTC (02/24) on 301 K surface. Also indicated is the height of isentropic surface (solid line; contour interval = 500 m).

![](_page_63_Figure_0.jpeg)

Figure 24. 6-km WRF simulated TKE (shaded; J kg<sup>-1</sup>) and horizontal winds (full barb = 5 m s<sup>-1</sup>) and isentropes (contour interval = 1 K) along the cross-sections (a) A - A' at 2100 UTC (02/23), and (b) C - C' at 1800 UTC (02/24) (see Figure 1 for the locations of A - A' and C - C'). Solid black line indicates the topography. Also shown are the closest locations to ELP, SPS, and TUL along the cross sections.

![](_page_64_Figure_0.jpeg)

Figure 25. Schematic diagram of key organizing processes for the multiple dust storm events. The deep mixing in the adiabatic PBL is indicated by the dashed circles.