Re-examination of the Interstate 5 dust storm: Alternate interpretation of governing dynamics Michael L. Kaplan <sup>1</sup> , Ramesh K. Vellore <sup>1,2</sup> , John M. Lewis <sup>1,3</sup> , Patricia M. Pauley <sup>4</sup> , Jonathan E. Martin <sup>5</sup> , R. Krishnan <sup>2</sup> , and Matthew Young <sup>1,6</sup> Submitted to the <i>Journal of Geophysical Research (Atmospheres)</i> 22 September 2011 <sup>1</sup> Division of Atmospheric Sciences, Desert Research Institute, Reno, NV 89512, USA. <sup>2</sup> Center for Climate Change Research, Indian Institute of Tropical Meteorology, Pune 411 008 India. <sup>3</sup> National Severe Storms Laboratory, Norman, OK 73072, USA. <sup>4</sup> Naval Research Laboratory, Monterey, CA 93943, USA. <sup>5</sup> Department of Atmospheric and Oceanic Sciences, University of Wisconsin, Madison, WI, 53706, USA.		
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#### 45 Abstract

46 Over the Thanksgiving holiday of November 1991, a tragic set of automobile accidents occurred in a dust storm that struck Interstate Highway 5 in California's San Joaquin Valley. 47 48 Meteorologists from the U.S. Naval Research Laboratory analyzed this storm in the mid-1990s. 49 In light of a recently published paradigm for dust storm generation over the western USA that 50 differs from the well-established Danielsen paradigm from the 1970s, this earlier research has 51 been re-analyzed with the benefit of a high-resolution mesoscale prediction model, the Weather 52 Research and Forecast (WRF) model. The new paradigm differs from the older paradigm in 53 several respects — most notably in regard to both space and time scale. The new paradigm 54 places emphasis on fast adjustment processes, adjustment of mass as opposed to momentum, and 55 smaller-scale but more intense vertical circulations about the jet (meso- $\beta$  scale in contrast to 56 synoptic scale). Our simulation of this case points to fast geostrophic adjustment (the order of 57 6-12 h) as a central component of dust storm generation as opposed to the slower synoptic-scale adjustment (24-48 h) associated with the Danielsen paradigm. And although there is some 58 59 influence on upper-level frontogenesis from the synoptic-scale balanced indirect circulation about the jet (the Danielsen paradigm), it appears that the bifurcation of this front through the 60 61 action of an intense small-scale direct circulation pattern is crucial for development of the low-62 level winds that ablate the dust from the valley floor.

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#### 68 **1. Introduction**

Edwin Danielsen established the primary paradigm for dust storm generation over the 69 70 western and mid-western United States of America (USA) in the early 1970s [Danielsen 1974]. 71 This view stemmed from his earlier work that explored stratospheric-tropospheric exchange 72 through reliance on the potential vorticity conservation principle and the associated isentropic 73 trajectories (see *Danielsen* [1968] for a review). Indeed, the paradigm is strongly based on the 74 existence of a "tropopause fold" indicative of the extrusion of stratospheric air into the 75 troposphere. In the mid-1990s, the Danielsen paradigm was central to the study of the tragic dust 76 storm that led to a series of automobile accidents on Interstate Highway 5 (I-5) in California over 77 the Thanksgiving holiday of November 1991 [Pauley et al. 1996; abbreviated as 'P96' in the subsequent text]. 78

79 The meteorological fields used in the P96 study were based on products from a "research 80 mode" version of the U. S. Navy's statistical interpolation method [Barker 1992]. Although this 81 methodology strived to incorporate mesoscale detail into the analyses through the combination of 82 background fields from a 6-h forecast using NORAPS [Navy Operational Regional Atmospheric Prediction System; Hodur 1987; Liou et al. 1994] and operational upper-air and surface 83 84 observations augmented by automated aircraft wind observations, some of the important smaller-85 scale features of the analyses have now fallen into question. The questions arose when these smaller-scale features were compared and contrasted with: (1) analyses pertinent to this case 86 87 study that stemmed from the more recent mesoscale reanalysis dataset NARR [North American 88 Regional Reanalyis; Mesinger et al. 2006], and (2) mesoscale features in the vicinity of western 89 USA dust storms recently studied by *Lewis et al.* [2011] and *Kaplan et al.* [2011].

We re-examine the I-5 dust storm in this study with the benefit of a high resolution numerical simulation from the Weather Research and Forecasting (WRF) model [*Skamarock et al.* 2008]. The re-examination is justified on the basis of the recent studies of *Lewis et al.* [2011] and *Kaplan et al.* [2011] that have established another view or paradigm of dust storm generation over the western USA. In this newer paradigm, mesoscale processes are emphasized in addition to the synoptic scale processes germane to the Danielsen view.

96 The key features or signatures that differentiate the old and new paradigms are the 97 following: (1) the new paradigm places emphasis on fast adjustment processes where the mass 98 field dominates the adjustment process as opposed to slower momentum adjustment in the 99 Danielsen view, and (2) the new paradigm indicates that the crucial vertical circulations about 100 the upper-level jet streak are unbalanced large-magnitude direct circulations on the mesoscale as 101 opposed to the balanced (quasi-geostrophic; Q-G) indirect circulations on the synoptic scale in 102 the Danielsen paradigm.

After a description in section 2 of the WRF model and the design of experiments to explore dust storm generation, section 3 contains an overview of the dust storm originally discussed in P96. Sections 4 and 5 provide comprehensive mesoscale analyses of the event with comparison and contrast to the P96 contribution where appropriate. A summary and discussion of research results are found in the final section 6.

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## 8 **2. Model setup and simulation**

109 The high-resolution numerical simulation presented in this paper is conducted using the 110 Weather Research and Forecasting (WRF) model [*Skamarock et al.* 2008]. The modeling setup 111 considered in this study was primarily focused on the target region of California and Nevada 112 with the WRF modeling domains shown in Figure 1. The interactive strategy between the model 113 domains was one-way. The model configuration had 47 levels in the vertical extending up to 15 114 km AGL with 18 vertical levels below 1.5 km AGL and with the lowest model level set at 10 m 115 AGL. The model physics included: (i) momentum and heat fluxes at the surface computed using 116 an Eta surface layer scheme [Janjić 1996, 2001] following Monin-Obukhov similarity theory, (ii) 117 turbulence parameterization following the Mellor-Yamada-Janjić 1.5 order (level 2.5) turbulence 118 closure model [Mellor and Yamada 1974, 1982; Janjić 2001], (iii) convective processes 119 following the Betts-Miller-Janjić cumulus scheme [Betts 1986; Betts and Miller 1986; Janjić 120 1994], (iv) cloud microphysical processes following explicit bulk representation of microphysics 121 [Thompson et al., 2004, 2006], (v) radiative processes parameterized using the Rapid Radiative 122 Transfer Model for long wave radiation [Mlawer et al. 1997] and the Dudhia short wave scheme 123 [Dudhia 1989].

Land-surface processes were parameterized following the Noah land surface model (Noah LSM) which provided the surface sensible, latent heat fluxes, upward longwave and shortwave fluxes to the atmospheric model [*Chen and Dudhia* 2001; *Ek et al.* 2003]. Highresolution (30" arc resolution) datasets of topography, land mask, land use, and soil type archived by the United States Geological Survey (USGS) were used as static fields in the simulation. The USGS topography is shown in Figure 2.

The NARR dataset provided the initial and boundary conditions to WRF. This dataset is a 3-hourly high-resolution reanalysis that covers North America produced by the National Center for Environmental Prediction (NCEP) Eta model (32 km grid spacing and 45 layers) together with the Regional Data Assimilation System (RDAS) [*Mesinger et al.* 2006]. The WRF model was initialized at 1200 UTC 28 November 1991. Figure 2 shows cross sections used in this study to examine the vertical structure of the atmosphere. They are: southwest-northeast oriented cross-sections J- J' [passing through Oakland (OAK), South Lake Tahoe (TVL), California and
Fallon (NFL), Nevada], K- K' [passing though the San Joaquin Valley north of Fresno (FAT)
and Coalinga (COA) in California], and a nearly north-south oriented cross section L-L'
extending from Vandenberg (VBG), California to Smoky Creek and Black Rock Deserts (BRD)
in northwestern Nevada passing through the I-5 accident site

## 141 **3. Synoptic overview and observations**

142 The meteorological conditions associated with the dust storm event of 29 November 1991 143 were detailed in P96 and are summarized here as background for the current study. This storm is 144 notorious for the sequence of collisions on I-5 [the main north-south Interstate highway in 145 California, extending northward from San Diego (NKX) and Los Angeles (LAX) [surface and 146 upper-air stations and their identifiers referenced in this study are listed in Table 1] through the 147 San Joaquin and Sacramento Valleys [Figure 2] that involved 164 vehicles with 17 fatalities and 151 people injured. On this date, blowing dust reports were widespread in California, not only in 148 149 the San Joaquin Valley in the vicinity of I-5, but also in the Salinas Valley [extending southeast 150 from Salinas (SNS) nearly to Paso Robles (PRB)] to its west, in the Mojave (see Figure 2) to its 151 southeast, and along California's South Coast. Even so, the visibility restrictions were the worst 152 in the central San Joaquin Valley (cf. Table 1 of P96). Of the stations listed in Table 2, Lemoore 153 Naval Air Station (NLC) was the closest geographically to the accident site and had the worst 154 conditions on this date with visibility less than 1 km for more than 2 hours around the time of the 155 I-5 accident. However, AVHRR satellite imagery (cf. Figure 4 in P96) shows two distinct dust 156 plumes in the San Joaquin Valley at 2204 UTC 29 November 1991 — one along the western side 157 of the valley affecting I-5, and another in the center of the valley affecting NLC.

158 Surface winds at the time of the accidents (2130 - 2240 UTC 29 November 1991) were predominantly northwesterly and quite strong, with sustained winds at many stations of 10 m s<sup>-1</sup> 159 or more and some gusts exceeding 20 m s<sup>-1</sup> (Table 2). The winds were highly ageostrophic, with 160 161 an orientation nearly perpendicular to the sea-level isobars. Dew point temperatures at many 162 stations experienced an abrupt decrease that occurred earlier in the Sacramento Valley to the 163 north and later in the San Joaquin Valley to the south. Notably the surface observations at FAT 164 between 2200 UTC 29 November 1991 and 0000 UTC 30 November 1991 showed a rapid shift 165 in temperature from warming to cooling and a sudden drop in dew point temperature from  $-2^{\circ}$ to  $-17^{\circ}$  C, the surface pressure shifts from falls to rises, and the wind direction shifts from 166 167 northwest to north-northeast. To the west and south of FAT, however, there is less of a signal of 168 this cool air surge with diurnal temperature changes more dominant.

169 P96 did not examine surface data for Nevada, where reduced visibilities and strong gusty 170 surface winds were observed north and east of Reno (REV) as early as 1130 UTC 29 November 171 1991 — 10 hours before the strong gusts in the Central Valley of California. The time sequence 172 of the onset of gusty winds and reduced visibilities from Winnemucca (WMC), Nevada to TVL 173 occurred between 1130 and 2130 UTC 29 November 1991 (Table 2). This indicates the 174 likelihood of surface adjustment to the upper-level forcing that propagated over the Sierra 175 Nevada Mountain Range (see Figure 2) just before the onset of the high surface winds in the 176 Central Valley of California that were associated with the I-5 dust storm.

The upper level flow field associated with the I-5 dust storm is best described as a large amplitude synoptic-scale wave with an embedded jet streak. The NARR reanalyzed 500 hPa wind speeds, temperature and geopotential height fields at 1200 UTC 29 November 1991 (10 hours prior to dust storm generation in the Central Valley of California) are shown in Figure 3.

A strong jet streak with a wind speed maximum of 55 m s<sup>-1</sup> is located over southwestern Oregon (OR; see Figure 1 for the state identifier) where its exit region extends from the northern to central Sierra Mountain Range. A cold trough was just beginning to amplify over southeastern Oregon and northwestern Nevada (NV) at this time, accompanied by an extreme southwesterly directed temperature gradient of 0.02°K km<sup>-1</sup> extending from eastern Oregon to northern central California (CA). Also, at this time the downward extension of the dynamic tropopause is approximately crossing over the U.S. and Canada border region near eastern Washington (WA).

188 The tropopause pressure diagnosed from NARR at 0600 and 1800 UTC 29 November 189 1991 is shown in Figure 4. NARR diagnoses tropopause pressure through a surface-up search in 190 a model sounding where the first occurrence of three adjacent layers over which the lapse rate is less than 2 K km<sup>-1</sup>. The mid-point of the three layers is defined to be the tropopause. In addition 191 192 there is a lower bound of 500 hPa enforced on the tropopause pressure in the NARR diagnosis. 193 The lowest extension of the tropopause pressure for this case is 420 hPa which is located within 194 the jet streak's left entrance region and it continues to propagate southwards during 1200-1800195 UTC 29 November 1991. A secondary weak perturbation to the tropopause pressure develops 196 west of the Sierra Nevada Range and over the Central Valley of California during this time. This 197 secondary perturbation feature provides evidence of a possible formation of a new baroclinic 198 zone above the region of dust storm formation.

The core of high momentum shrinks in time as the curvature of the system intensifies between 1500 and 2100 UTC 29 November 1991 (Figures 5 and 6). A local increase in kinetic energy occurs over and downstream of the southern Sierra Nevada Range. The 30 m s<sup>-1</sup> isotach propagates from the northern part of the Central Valley of California to western New Mexico (NM) in less than 9 hours, indicating substantial advection of kinetic energy while the cold

204 trough strengthens over western Nevada and the central Sierra Range south of REV by 2100 205 UTC 29 November 1991. Clearly the distance between the amplifying cold trough and the 206 downstream gradient of kinetic energy within the jet's exit region is significantly increasing over 207 a time period less than 12 hours. One can also notice that the mid-tropospheric jet and height 208 fields are shifting their relative positions during 1500-2100 UTC 29 November 1991 (Figures 5 209 and 6). That is, the cross-jet height gradient (5700 - 5580 m isolines) increases between FAT 210 and the central California coast. The core of jet momentum shifts southwestwards closer to the 211 region of stronger northeast-southwest height gradient during this time. This reflects primarily in 212 700 hPa cooling (not shown) on the right side of the jet exit region, *i.e.*,  $6-8^{\circ}C$  cooling from 213 southeast of Edwards Air Force Base (EDW) to north of FAT at 700 hPa during this period. This 214 cooling reduces the 1000-500 hPa thickness resulting in height falls of larger magnitudes to the 215 northeast along a line from SAC to Bakersfield (BFL) during the time just before the accidents. 216 This increases the negative height gradient directed northeast to southwest across the accident location. In short, the height gradients and jet are not in phase (getting back to phase) at 1500 217 218 UTC (2100 UTC) 29 November 1991 in the curved exit region. Also, notice that the 800 hPa 219 wind flow accelerates from the north and north-northeast during this time period between the 220 central California coast, SAC and just west of BFL. The accidents occur after 2200 UTC 29 221 November 1991 within this adjustment zone just west-southwest of FAT (see Figure 2).

222

## 4. Lagrangian parcel motions

We first examine this case study using parcel backtrajectory analyses. Figure 7 shows the plan view of a backtrajectory of an air parcel reaching 900 hPa above FAT at 2300 UTC 29 November 1991. It should be noted that this trajectory is very similar to those starting farther southwest coincident with the multiple accident location described earlier. The corresponding

227 parcel diagnostics along the backtrajectory are shown in Figure 8. It is seen that the parcel was 228 located at 600 hPa over British Columbia, Canada, (north of WA) at 0000 UTC, over central 229 Washington and eastern Oregon west of upper-air stations Spokane (OTX), Washington, and 230 Boise (BOI), Idaho, during 0600-1200 UTC, over northwestern Nevada west of Elko (LKN), 231 Nevada, at 1200 UTC, and over Lovelock (LOL), Nevada, at 1500 UTC 29 November 1991. Parcel accelerations  $(0.003 - 0.006 \text{ m s}^{-2})$  are significant just south of REV and north of Bishop 232 233 (BIH), California during 1800-2100 UTC 29 November 1991 (Figure 8). That is, the parcel is 234 generally decelerated on the left side of the jet until 1800 UTC 29 November 1991. Then the 235 parcel explosively accelerated just south of REV over the Sierra Nevada with a pronounced 236 ageostrophic wind component and pressure jump directed to the south as it descends down the 237 Sierra Nevada and turns right into the Central Valley of California. Furthermore, it is during this 238 period of acceleration down the Sierra Nevada, *i.e.*, approaching 2100 UTC, the parcel 239 diagnostics in Figure 8 indicate that surface sensible heat flux, PBL depth and TKE increases 240 rapidly and the Richardson number rapidly decreases to zero.

241 As the air parcel approaches the region L-L' along the trajectory path (see Figure 2 for 242 the orientation of this cross section) the northerly wind component increases at 900 hPa just 243 north-northeast of COA, *i.e.*, over FAT which closely coincides with the I-5 accident location at 244 2200 UTC 29 November 1991. The parcel acceleration and turning of the wind in the 950-800 245 hPa layer provides evidence for the increasing northerly momentum available for mixing 246 surfaceward by 2200 UTC 29 November 1991. The source of this momentum is the strong south-247 southwestward-directed ageostrophic wind accompanying the cold air moving over and down the 248 central Sierra Nevada. The descent of the parcel is closely coupled to the strongest terrain 249 gradients as can be seen in Figure 8.

Parcel diagnostics indicate that there is very little net subsidence in air parcels that enter the region of dust storm formation. To better understand what type of circulation produces this transport regime, we performed a thorough diagnosis isolating the relative balance or imbalanced state of the parcel motions and their effects on the dust storm generation environment.

#### **5. Diagnoses of multi-scale adjustment processes**

The synthesis of multi-scale interactions for the likelihood of dust storms follows the 255 256 work of Lewis et al. [2011] and Kaplan et al. [2011]. Before describing the imbalance in this 257 case study, we specifically define this concept. By imbalance, we mean a sequence of processes 258 that interrupt Q-G circulations in conjunction with thermal wind balance. This sequence begins 259 with a difference between the advection of total wind and geostrophic wind in the exit region of 260 a jet streak. This difference or separation disrupts the weakly accelerative or "balanced" Q-G 261 circulations that maintain thermal wind balance. The more curved the flow, the stronger the 262 inertial advection and the stronger the cross-stream pressure gradient within the exit region, 263 dominated by thermally direct circulations. That is, the requirement for accelerative flow to 264 redistribute the mass rather than decelerative flow to redistribute the momentum in the exit 265 region.

The changing mass rather than momentum field is the focal point of this adjustment process characterized by short adjustment periods [*Kaplan et al.* 2011] as opposed to longer space- and time-scale accompanying momentum adjustments [*Danielsen* 1974].

269 5.1 Imbalance diagnostics

## 270 5.1.1 Thermal wind imbalance (meso-α scale)

271 Rawinsonde soundings from BOI, WMC, and Salem (SLE) (see Table 1, Figures 2 and 7
272 for their locations; soundings not shown) gave evidence of a pronounced stable layer between

700 and 600 hPa with a mean static stability of 5.8 K km<sup>-1</sup> at 0000 UTC 29 November 1991.
This stable layer was associated with strong warm air advection from the west-northwest.
Although there was evidence of veering near the base of this layer, there was no veering within
this layer – inconsistent with geostrophic theory. In essence, this case exhibited substantial
thermal wind imbalance.

278 From a sub-synoptic scale perspective, the precursor signals in the jet exit region of this 279 case share common features with those discussed in Lewis et al. [2011] and Kaplan et al. [2011]. 280 We first bring attention to the jet exit regions - one associated with the total wind and the other with the geostrophic wind. Isotachs of 30 m s<sup>-1</sup> are used to designate these regions. The distance 281 282 separating these exit regions increases from 100 to 400 km over a period of 6 hours. The 283 separation occurs over southeastern California, southeastern Nevada, Arizona and western New 284 Mexico (Figures 5 and 6; see Figure 1 for state identifiers). This separation marks the 285 strengthening of the kinetic energy in the total wind jet exit region downstream from a 286 weakening jet core. This is not consistent with the balanced Q-G dynamics following parcel 287 decelerations under the influence of Coriolis force [Danielsen 1974; Uccellini and Johnson 288 1979]. That is, the wind and height fields in the jet exit region are out of balance on the meso- $\beta$ 289 scale in the vicinity of observed dust storm activity. This is in response to a substantial difference 290 between the horizontal advection of the geostrophic momentum and the horizontal advection of 291 the total wind momentum over California. Further, this is reflected in the wind/height geometry 292 in the jet exit region that shows a separate total wind maximum in a region where the cross-293 stream height gradient has strengthened. This is especially apparent over western Arizona by 294 2100 UTC 29 November 1991 and reflects the juxtaposition of the adiabatic cooling both ahead 295 of and on the left side of the jet with the advection of momentum by the total wind over

southeastern California, southeastern Nevada as well as western Arizona between 1500 and 1800
UTC 29 November 1991 (see also Figure 8 and explanation in *Lewis et al.* [2011]).

Furthermore, while the imbalance intensifies between wind and mass in the jet exit region, the core of the jet weakens reflecting this downstream adjustment to sub-geostrophic curved flow. The maximum cross jet height gradient has shifted downstream in response to the curvature-induced thermally direct circulation as described in *Lewis et al.* [2011]. In effect a new entrance region of the geostrophic wind jet forms where the curvature in the total wind jet's exit region has maximized.

304 The aforementioned spatial separation between geostrophic and total wind jets is a 305 measure of thermal wind imbalance due to curvature effects. The region of interest for the initial 306 signs of thermal wind imbalance is bounded by the stations Sacramento (SAC), REV, and FAT. 307 The total wind and thermal wind vectors during the period 1200 – 1800 UTC 29 November 1991 308 are shown in Figure 9. There is unambiguous evidence of thermal wind imbalance in the 700-500 309 hPa layer over this region of interest. As mentioned above, the total wind shear propagates 310 downstream faster than the thermal wind shear. The total wind shear over the Sierra Nevada is 311 weaker than the thermal wind and this sub-geostrophy strengthens in time over this region, 312 particularly over the central Sierra Nevada. The thermal shear vector indicates a northnorthwesterly shear strengthening to greater than 50 m s<sup>-1</sup> by 1800 UTC 29 November 1991 over 313 314 the Sierra Nevada between REV and FAT while the total wind vector is becoming much weaker. 315 The thermal wind vector begins to increase its magnitude in this region but its direction is not 316 consistent with the total wind shear vector. The thermal wind exhibits strong backing by 1800 317 UTC after a dominance of veering before 1200 UTC 29 November 1991.

318 To summarize, a major departure from geostrophic balance exists due to a lag in the mass 319 adjustment to the wind field. This lag is the result of an early veering thermal wind and 320 subsequent thermal wind adjustment towards balance through backing. Early on, the total wind 321 veers too little as geostrophic veering due to warm air advection is large compared to the total 322 wind shear. Later, backing occurs in the adjusting thermal wind as the mass field adjusts to the 323 total wind. As will be seen later, the thermal wind backs in response to ascent-induced cooling in 324 the cyclonic geostrophic exit region thereby allowing the thermal wind backing to become larger 325 than the total wind backing. Clearly this adjustment location is a region of rapidly evolving 326 ageostrophic flow where the total wind shear is less than the geostrophic wind shear consistent 327 with subgeostrophy in the curved jet exit region.

#### 328 5.1.2 Ageostrophy – Lagrangian Rossby number (meso-β scale)

329 The ageostrophic wind vectors at 500 hPa for this case are shown in Figure 10. There is a 330 progression/rotation of the ageostrophic vector that is initially oriented upstream against the jet 331 and subsequently cross-stream of the trough axes. This change in orientation of the vector, 332 initially opposed to the advection of kinetic energy, is consistent with the sub-geostrophy in the 333 trough followed by a left turn of the geostrophic wind. The progression is also consistent with 334 the flow approaching gradient wind balance in time followed by more unbalanced meso- $\beta$  scale 335 flow where the leftward-directed ageostrophic flow controls the backing vertical shear through inertial-advective processes [Lewis et al. 2011]. This evolution can be seen in Figure 10 where 336 337 the strongest ageostrophic vectors are north and northwest of REV, northeastern California and 338 southeastern Oregon at 1200 UTC 29 November 1991, and then they propagate southward over the Sierra Nevada towards the region extending from just northeast to southeast of FAT by 2100 339 UTC 29 November 1991. The primary vector direction is northwestwards with a gradual turning 340

northwards between FAT and the region east of BFL as time progression passes. This turning
reflects a dominance of subgeostrophy in the geostrophic exit region followed by a rotation
towards the cyclonic/cold side of the jet.

344 These mesoscale regions of extreme ageostrophy are also evident in Figure 11 through 345 diagnosed Lagrangian Rossby numbers ( $Ro^L$ ), defined as follows:

346 
$$Ro^{L} = \left| \frac{\partial \vec{\mathbf{V}}_{\mathbf{H}}}{\partial t} + \vec{\mathbf{V}}_{\mathbf{H}} \cdot \nabla \vec{\mathbf{V}}_{\mathbf{H}} \right| / f \left| \vec{\mathbf{V}}_{\mathbf{H}} \right|$$
(1)

347 (see Appendix A for the definition of variables).  $Ro^L \ge 0.5$  is referred to as the high Rossby 348 number regime [*Van Tuyl and Young* 1982; *Zack and Kaplan* 1987; *Koch and Dorian* 1988; 349 *O'Sullivan and Dunkerton* 1995; *Kaplan et al.* 1997, 1998; *Hamilton et al.* 1998; *Zhang et al.* 350 2002; *Kaplan et al.* 2011].

The Lagrangian Rossby numbers for this case at 1500 UTC and 1800 UTC 29 November 351 1991 are shown in Figure 11. The high  $Ro^L$  signal ( $\geq 0.5$  and in certain locations exceeding 1.0) 352 353 moves from north of REV to the southeast of FAT by 1800 UTC 29 November 1991. This 354 indicates the existence of a state of mass/momentum imbalance and associated acceleration of 355 the flow. Where ageostrophy is large, accelerations are large and oppose the balanced flow in the 356 jet exit region. Additionally, the vertical wind shear deviates from thermal wind balance. This 357 imbalanced state is a precedent for dust storm development. The high Rossby number signal 358 propagates from the Sierra Nevada to south of FAT.

359 5.1.3 Divergence diagnostics

360 The meso- $\beta$  scale regions of mass imbalance are further diagnosed through the velocity 361 divergence budget aloft. The material derivative of horizontal velocity divergence ( $\delta$ ) on a 362 sphere (using pressure as the vertical coordinate) is written as follows: 363

$$\frac{d\delta}{dt} + \delta^2 - R_{\omega} = f\zeta - u\beta + 2\left[J(u,v) - \left(\frac{1}{r_e \cos\varphi}\right)\frac{\partial}{\partial\varphi}\left(\frac{u^2 + v^2}{2}\sin\varphi\right)\right] - \nabla^2\Phi$$
(2)

364 The terms in equation (2) are defined in Appendix A.

365 In this case study we focus on the region near the I-5 accident location between 1800 and 366 2300 UTC 29 November 1991. The 500 hPa diagnosed divergence budget of the terms in 367 equation (2) at 37°N, 120.4°W along with the column-integrated mass flux divergence during 1200 UTC 29 November 1991 - 0000 UTC 30 November 1991 are shown in Table 3. As the 368 period and location of high  $Ro^{L}$  approaches this location (~1800 UTC), positive divergence 369 370 tendencies develop and create upward vertical motions across the jet exit region with an 371 unbalanced thermally direct circulation on the right side. The increasing upward motion 372 enhances the adiabatic cooling, and by 2100 UTC 29 November 1991 the adiabatic cooling rapidly forces the hydrostatic heights to fall (*i.e.*,  $-\nabla^2 \Phi < 0$ ). This effectively controls the shift 373 374 from positive to negative divergence tendencies in combination with the tilting term. This also 375 indicates that increasing mass flux convergence aloft in this region leads to surface pressure 376 perturbations, *i.e.*, increasing local surface pressure tendencies. The column-integrated mass flux divergence indicated a surface pressure rise of about 1 mb hr<sup>-1</sup> at and later than 2100 UTC 29 377 378 November 1991.

The shift of divergence to convergence tendencies is most apparent after 1800 UTC 29 November 1991 northeast and near FAT (Table 3). The positive divergence tendencies peak at 1900 UTC 29 November 1991 and then become sharply negative by 2300 UTC 29 November 1991. This is consistent with the period of shift from ascent to descent west of FAT. By creating the convergence tendencies and adiabatic cooling, the geostrophic jet becomes more cyclonic thus changes the advection of geostrophic wind through the trough region. The 385 confluence/diffluence structure enables the mass field advection to catch up with the wind field 386 advection accompanying the early-stage thermal wind imbalance. In the next section we will 387 provide evidence for the unbalanced signal in the vertical motion field consistent with the rapid 388 changes in Lagrangian divergence tendencies.

389 5.2 Vertical motion

As seen earlier, the unbalanced ascent is the result of the development of velocity divergence which shifts in time to the right side of the jet's exit region in the high Rossby number zones. As will be seen later, when these adjustments become collocated with surface sensible heating, the strongest buoyant and shear generation of turbulence kinetic energy (TKE) occurs.

395 The simulated vertical *p*-velocity ( $\omega$  on an isobaric surface; referred as the total  $\omega$ -field in 396 the following text) and diagnosed  $\omega$  using the simulated velocity and thermodynamic fields 397 following the Q-G approach [equation 5.6.11 from Bluestein 1992; Martin 2006, p.162], 398 horizontal winds and isentropes along the cross sections J-J' and K-K' (see Figure 2 for the 399 location of these cross sections) are shown in Figures 12-15. Notice the differences between the 400 total  $\omega$  and Q-G  $\omega$  along the northern side (between TVL and NFL) of the cross section at 1500 401 UTC 29 November 1991. As the wind and height field become unbalanced in the propagating 402 exit region, one can see the development of a strong ascending plume, at approximately 475 km 403 along the J-J' cross section, three times the magnitude of the diagnosed Q-G plume (Figure 12). 404 The total  $\omega$ -plume is directly under the right side of the southwestward-shifted geostrophic jet  $(J_{\alpha})$  at 1500 UTC. 405

However, the total ω is markedly different from its Q-G counterpart at 1800 UTC 29
November 1991 (Figures 14 and 15). The ascending plume is nearly co-located with the large

408 Rossby number regime near under the southwestward-shifted total wind jet (J; not to be 409 confused with the indexing of the cross-section) and above the western side of the Sierra Nevada 410 (Figure 14). Also, the Q-G  $\omega$ 's indicate a balanced thermally indirect circulation and leeside 411 orographic descent at this time (Figure 15). The likelihood of this ascending plume at 1800 UTC 412 29 November 1991 in the total  $\omega$  field being forced primarily by Q-G processes is strongly 413 diminished by virtue of the simulated high Rossby numbers and derived Q-G descent. In spite of 414 slight orographic descent as a component of the wind flow which moves from north to south 415 down the Sierra Nevada, it is also remarkable to notice the prevalence of this unbalanced ascent 416 on the western slope of the mountains northeast of FAT that compensates for the anticipated 417 orographic descent. This location is critical to storm development.

Additionally, as can be seen in Figures 13 and 15, J and  $J_g$  bifurcate over the Sierra Nevada between 1500 and 1800 UTC 29 November 1991 and shift their relative locations – indicative of complex differences in mass and momentum advection. Again, this bifurcation is reflective of the advection of mass and momentum differences and is the reason for the complex mutual adjustment processes. The bifurcation is so critical and cannot be resolved by the coarse analyses of the Navy Operational Regional Atmospheric Prediction System [NORAPS; *Liou et al.* 1994] employed in P96.

425 5.3 Cold frontal structure

Consistent with the upward-stretched isentropes seen in Figure 14 at 1800 UTC 29 November 1991 (just west of the 400 km location), one can see the cooling signal building towards the southwest over the Sierra Nevada in the diagnosed 700–500 hPa hydrostatic layer mean temperatures between 1200 and 1800 UTC 29 November 1991 (Figure 16). This cooling is consistent with mid-tropospheric ascent along the northeast-southwest cold tongue that builds through this period from south of REV to east of BFL as diagnosed from the simulated thermal
boundary/front between -14° C and -20° C in this region. This boundary strengthens and is
crossing under the jet by 1800 UTC 29 November 1991 relative to its location at 1200 UTC over
northwestern Nevada. At 700 hPa the cold pool actually propagates southeastwards from east of
SAC to near BFL.

436 Also, the simulated WRF soundings between COA and EDW during 1800 UTC 29 437 November 1991 - 0000 UTC 30 November 1991 indicated that the depth and intensity of the 438 adiabatic layer increases between the surface and mid-troposphere as one moves east and 439 southeastward from the I-5 accident site (see Figure 2 for the location). The NLC and BFL 440 simulated soundings in Figure 17 between COA and EDW show strong cooling signatures. The 441 strengthening mid-lower tropospheric temperature boundary is reflected in the magnitude of 442 temperature decrease in the 1000-700 hPa layer between COA and EDW. Consistent with the 443 region of observed blowing dust, the 700 hPa temperature drop of 4°C (10°C) at COA (EDW) juxtaposed with rising surface temperatures create a near-neutral PBL in the southern part of the 444 445 San Joaquin Valley during this period.

446 Further analysis of additional model soundings and vertical cross sections farther south 447 between the San Joaquin Valley and the Sierra Nevada (not shown here) indicated that 700 hPa 448 cooling is more intense in the region surrounded by the stations EDW-BFL-FAT before and 449 during dust storm genesis. This is because there are two short period and substantial waves of 450 adiabatic cooling, one in the balanced curved flow ahead of the trough between 1800 and 2100 451 UTC in the region BFL-BIH-EDW and a second within the unbalanced right exit region between 1500 and 2100 UTC that rapidly propagates southward from REV to east of BFL. These two 452 453 waves of cold air merge at 2100 UTC 29 November 1991 between BFL and EDW. As the

warmer surface air north-northeast of COA moves southward it undercuts the two merging cold
pools building from 1) east-northeast to southwest and 2) north to south primarily in the region
FAT-BFL-EDW by 2200 UTC 29 November 1991.

457 It should be mentioned that the accidents on I-5 referenced in P96 correspond to the 458 strong winds and dust stream from the regions where the cold air is undercut by an initial warm 459 plume at the surface, i.e., predominantly northeast of COA and not just from the locations where 460 the coldest air aloft and the deepest adiabatic layer is seen. One can see that the surface heats up 461 more at NLC while the 700 hPa cooling is greater at BFL between 1800 UTC 29 November 462 1991 and 0000 UTC 30 November 1991 (Figure 17). This region between NLC and BFL is 463 where the initial Q-G cold front aloft is bifurcated into two fronts with the southern front having 464 a mesoscale structure. The San Joaquin Valley is the location of the juxtaposed cold air 465 propagating southward and westward in the lower-mid-troposphere and warm near-surface air 466 propagating south-southeastwards. WRF indicates that it is just as cold in between BFL and 467 EDW as it is at FAT which explains the broad eruption of dust from east to west across the San 468 Joaquin Valley as opposed to north to south as observed by P96.

#### 469 5.4 Surface pressure perturbations and PBL turbulence

The unbalanced ascent not only stretches the isentropes under the jet but also increases the kinetic energy from the low-level mass adjustments. That is, the ageostrophic flow will generate divergence early on thus supporting a downstream propagation of a surface low pressure center. However, the unbalanced cooling and shift of cold air across the jet will compensate by forcing the surface pressure to rise immediately behind the low-level pressure falls [see also Table 3; and *Cram et al.* 1991; *Karyampudi et al.* 1995a].

Such features are seen in the diagnoses of sea-level pressure tendency and isallobaric 476 477 wind (Figure 18), specifically: (i) the narrow plume of very strong mean sea level pressure rises  $(> 3 \text{ mb hr}^{-1})$  coming over the Sierra Nevada from east of REV to FAT during 1500 - 1800 UTC478 479 29 November 1991 and then south and west of FAT between 1800 and 2100 UTC 29 November 1991 (> 3 mb  $h^{-1}$ ) and (ii) the strong gradient from west to east of these pressure rises across the 480 481 Central Valley of California in concert with the cold pool aloft within the 700-500 hPa layer in 482 the same region (Figure 16). This is indicative of the low-level mass adjustments that are coupled 483 to the cold pool building southwestwards near the unbalanced ascent over the central Sierra 484 Nevada.

485 The isallobaric part  $(\vec{V}_{is})$  of the ageostrophic wind [*Bluestein* 1992; *Martin* 2006; 486 *Rochette and Market* 2006] is given by:

487 
$$\vec{\mathbf{V}}_{is} = -\frac{1}{\rho f^2} \nabla_z \left( \frac{\partial P_{MSL}}{\partial t} \right)$$
(3)

where  $\rho$  is the air density and  $P_{MSL}$  is the mean sea level pressure (see also Appendix A for the 488 details of variables). The simulated low-level mass flux convergence is likely enhanced over the 489 Central Valley of California by the development of the south-southwesterly-directed 490 491 ageostrophic wind late in the aforementioned sequence of events. Notice that the 492 southwestward-directed isallobaric wind vectors are located over the Central Valley of California 493 by 1800 UTC 29 November 1991 (Figure 18) and below the unbalanced cold pool at mid-levels. 494 That is, as the cold air builds southwestward it creates the unstable layer and pressure rises 495 necessary for the isallobaric ageostrophic wind that creates low-level TKE between FAT and 496 COA. The simulated TKE, winds and isentropes along cross section L-L' at 1800 and 2200 UTC 29 November 1991 are shown in Figures 19 and 20. The maxima of TKE develop at two 497

498 locations. One above the highest upstream elevation and the second over and downstream from 499 the locations of very strong dust storm formation, *i.e.*, near COA. The downstream surge of TKE 500 develops in a region with accelerating surface flow from the north-northwest. The low-level 501 accelerating flow is associated with sensible heating at the surface, near-neutral isentropes as 502 well as developing mean sea level pressure rises and low-level isallobaric ageostrophic winds.

503 Near COA the developing north-northwesterly surface flow is consistent with the cooling 504 aloft and the mean sea level pressure rises. This occurs as the PBL winds slowly back from 505 northwest to north. The intensifying low-level kinetic energy is a response to the increase in 506 backing thermal winds as the mass field rapidly adjusts towards the momentum field under the 507 unbalanced conditions (cooling in the jet). The combination of unbalanced cooling, low-level 508 isallobaric winds and surface heating control the regions of strong turbulence to organize the dust 509 storm between COA and FAT. Notice the shift of isentropes in the 700-950 hPa layer northeast 510 of COA between 1800 and 2200 UTC 29 November 1991 (Figures 19 and 20). The shift occurs 511 as colder air is advected in from the north by the accelerating flow between 900 and 700 hPa.

The diagnosed mean sea level pressure signals also confirm the development of rises just upstream of the dust storm locations (not shown here), *i.e.*, at REV, LOL, RDD, MCE, FAT, COA and BIH (see station locations in Figure 2) during 1200–1900 UTC 29 November 1991. Maximum mean sea level pressure rise of 10 hPa is seen at REV and BIH in 6 hours while the rises at MCE, FAT and COA is about 2 hPa.

517 5.5 Schematic of adjustments

A schematic diagram with adjustments following the newer paradigm of dust storm generation recently documented in *Lewis et al.* [2011] and *Kaplan et al.* [2011] is shown in Figure 21. The adjustment signals S1-S8 propagate from north-northeast to south-southwest 521 across the Sierra Nevada Mountain Range towards the dust storm locations in the Central Valley 522 of California. The processes for dust storm formation depicted in this schematic differ in many 523 ways from the analyses of P96. The analyses distilled in this study are consistent with a fast 524 adjustment process in a high Rossby number regime that occurs over 6-9 h as opposed to the 525 slower adjustment process (24-36 h) in a low Rossby number regime as described in P96. 526 Particularly, the fast process is driven by the mass field as opposed to the momentum field in 527 P96. In concert with the cold air in the lower troposphere and low-level pressure rises, mixing 528 develops that ablates the dust through TKE generation as opposed to the descent of high 529 momentum associated with a tropopause fold for dust ablation in the P96 paradigm. The most 530 unambiguous difference is the irrefutable reliance on mid-to-lower tropospheric cooling in the 531 paradigm shown in Figure 21 as opposed to the occurrence of mid-to-lower tropospheric 532 warming consistent with isentropic sinking motions in P96. The observations and model 533 simulations strongly supported cooling as opposed to warming.

534

#### 6. Summary and Conclusion

535 This study is best viewed as a mesoscale complement to the larger scale analyses of P96 536 providing an alternative interpretation of the dynamical processes that organized the widespread 537 turbulence in the Interstate-5 (I-5) dust storm event in the Central Valley of California using a 538 high-resolution Weather Research and Forecasting (WRF) model simulation. An important 539 finding emerges from this study, following the notion of the new paradigm of dust storm 540 generation by Lewis et al. [2011] and Kaplan et al. [2011], is that the polar jet exit region must 541 be viewed as a rapidly evolving entity oscillating from a dominance of mass versus momentum 542 advection and not as a slowly evolving monolithic/Q-G feature as viewed by Danielsen [1974]. 543 The re-examination of this case study through WRF simulations clearly contrasted with the

findings of P96 in terms of space and time scales of motion and the source regions of surface dust. The dynamical processes on meso- $\alpha$  and meso- $\beta$  scales of motion associated with the I-5 dust storm event similarly followed the sequence of events associated with the dust storms in northwestern Nevada described in *Lewis et al.* [2011] and *Kaplan et al.* [2011].

548 The WRF results indicated that the air parcels reaching the source regions of dust are not 549 associated with long-period Q-G descent linked to tropopause folds. Air parcels within the 550 planetary boundary layer (PBL) are subjected to rapid accelerations over considerably shorter 551 periods in proximity to meso- $\beta$  scale regions aloft that are characterized by extreme ageostrophy 552 and higher magnitudes of divergence tendencies following the air motion. This causes the 553 creation of a strong unbalanced direct circulation on the right side of the jet's exit region, and the 554 adjustments associated with this mesoscale circulation feature create an environment for dust 555 storm generation. This is achieved through the development of a deep adiabatic layer in the 556 lower troposphere coupled with low-level mass flux convergence that enhances the low-level isallobaric winds close to the source region of surface dust. The dust is in turn ablated by the 557 558 low-level turbulence.

The study clearly indicates that the time scale of adjustments to geostophic imbalance about the upper-level jet streak is the order of 6 - 12 h. This is in stark contrast to the longer period (24 - 36 h) of adjustment in the Danielsen paradigm. Further, it is adjustment of the mass field that dominates. The following statement from Danielsen makes it clear that momentum adjustment was primary to his view:

Isentropic trajectories computed in the jet show that the air decelerates as it descends. On isentropic charts, this deceleration is unambiguous because the wind speeds downstream on the next 12 h map are all slower than they were on the initial map, and the ageostrophic flow itself is usually obvious.

569 [*Danielsen* 1974, p218]

In short, Danielsen's adjustment focuses on the change in momentum as the descending air 570 571 parcels encounter weaker pressure gradients. The study of P96 followed the tenets of the 572 Danielsen paradigm [Danielsen 1974] associated with the quasi-geostrophic (Q-G) balanced 573 descent of air accompanying slowly evolving jet streaks linked with tropopause fold. However, 574 the most unambiguous breakdown of this view of the process is highlighted by the fact that the 575 data support substantial and rapid cooling in the upper levels of the PBL. This is in contrast with 576 the Danielsen paradigm that requires longer period warming as isentropes descend rather than 577 being lifted as the evidence supports in this case study.

578 Indeed, the balanced indirect circulation about the jet that is central to the downward 579 angling trajectory on the warm side of the jet in the Danielsen paradigm plays an important role 580 in the upper-level (quasi-geostrophic) frontogenesis. The unbalanced dynamics that drive the 581 dust storm occur in conjunction with upper-level frontogenesis. And although the frontogenetic 582 process appears secondary to the basic dynamics that govern wind generation at lower-levels, its conspicuous presence provides a valuable background signature of the operative mesoscale 583 584 processes. An essential element to dust storm generation is bifurcation of the frontal pattern in 585 response to the small-scale but intense direct circulation that moves from the cold to the warm 586 side of the jet. It is this rapidly advancing mesoscale frontal zone that gives rise to the lower-587 tropospheric isallobaric wind (mass adjustment). Further, by following the low-level back 588 trajectories, the movement of the air from east of the Sierra Summit up and over the crest and 589 down into the San Joaquin Valley of California is a crucial component of the process that leads 590 to instability of the PBL in the region of storm development.

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# APPENDIX A

597 The terms in equation (2) are defined as follows:

598 
$$\left(\frac{d}{dt}\right)\delta \equiv \left(\frac{\partial}{\partial t} + \frac{u}{r_e \cos\varphi}\frac{\partial}{\partial\lambda} + \frac{v}{r_e}\frac{\partial}{\partial\varphi} + \omega\frac{\partial}{\partial p}\right)\delta$$
(A1)

599 
$$\delta = \nabla \cdot \vec{\mathbf{V}}_{\mathbf{H}} = \frac{1}{r_e \cos \varphi} \left[ \frac{\partial u}{\partial \lambda} + \frac{\partial}{\partial \varphi} (v \cos \varphi) \right]$$
(A2)

600 
$$\zeta = \hat{\mathbf{k}} \cdot \left( \nabla \times \vec{\mathbf{V}}_{\mathbf{H}} \right) = \frac{1}{r_e \cos \varphi} \left[ \frac{\partial v}{\partial \lambda} - \frac{\partial}{\partial \varphi} \left( u \cos \varphi \right) \right]$$
(A3)

601 
$$J(u,v) = \frac{1}{r_e^2 \cos \varphi} \left( \frac{\partial u}{\partial \lambda} \frac{\partial v}{\partial \varphi} - \frac{\partial u}{\partial \varphi} \frac{\partial v}{\partial \lambda} \right)$$
(A4)

602 
$$\nabla^2 \Phi = \frac{1}{r_e^2} \left[ \frac{1}{\cos^2 \varphi} \frac{\partial^2 \Phi}{\partial \lambda^2} + \frac{\partial^2 \Phi}{\partial \varphi^2} \right] - \left( \frac{\tan \varphi}{r_e^2} \right) \frac{\partial \Phi}{\partial \varphi}$$
(A5)

603 
$$R_{\omega} = -\frac{1}{r_e \cos \varphi} \left[ \frac{\partial \omega}{\partial \lambda} \frac{\partial u}{\partial p} + \frac{\partial \omega}{\partial \varphi} \frac{\partial}{\partial p} \left( v \cos \varphi \right) \right]$$
(A6)

$$\beta = \frac{1}{r_e} \frac{\partial f}{\partial \varphi} = \frac{2\Omega \cos \varphi}{r_e}$$
(A7)

605

595 596

where  $\lambda$  and  $\varphi$  are the longitude and latitude, respectively,  $\vec{V}_{H}(=u\hat{i}+v\hat{j})$  is the horizontal 606 607 velocity vector, u and v are zonal and meridional components of wind, J(u, v) is the Jacobian of 608 the horizontal wind field, and  $\zeta$  is the vertical component of relative vorticity,  $\omega$  is the 609 Lagrangian form of vertical velocity, i.e., the rate of change of pressure (p) in a parcel over 610 time,  $\Omega$  is the angular rotation of the earth, f is the Coriolis parameter (=  $2\Omega \sin \varphi$ ),  $\beta$  is the latitudinal variation of the Coriolis parameter,  $\Phi$  is the geopotential,  $r_e$  (=6371 km) is the 611 radius of the earth, and  $R_{\omega}$  is the tilting term. Under the assumption of a non-divergent flow, 612 613 equation (2) degenerates to the non-linear balance equation when the left hand side of equation 614 (2) equals zero [Zhang et al. 2002].

615

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- 789 TABLE CAPTIONS

Table 1. Locations of the surface and upper-air<sup>\*</sup> stations, their locations and elevations from
 mean sea level referenced in the study (see also Figures 1 and 2 for the geographical locations).

Table 2. Observed wind speed maximum (m s<sup>-1</sup>), wind gust maximum (m s<sup>-1</sup>), and the lowest
visibility (km) recorded during 1100-2300 UTC 29 November 1991 at stations in Nevada (NV)
and California (CA) (Source: http://www.ncdc.noaa.gov) (see Table 1 and Figure 2 for the
geographical locations of the stations; cf Table 1 of *Pauley et al.* [1996] for more details).

Table 3. 18 km WRF diagnosed time series of the terms (× 10<sup>-8</sup> s<sup>-2</sup>) in Equation (2) at 500 hPa valid from 1200 UTC 29 November 1991 (11/29) to 0000 UTC 30 November 1991 (11/30).
Location is 37° N, 120.4° W. Also shown is the column-integrated mass flux divergence (× 10<sup>-3</sup>

802 kg m<sup>-2</sup> s<sup>-1</sup>) at this location [ $z_T$  = height at the model top;  $\rho$  = air density;  $\vec{\mathbf{V}}$  = velocity vector].

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841 Table 1. Locations of the surface and upper-air<sup>\*</sup> stations, their locations and elevations from mean sea level referenced in the study (see also Figures 1 and 2 for the geographical locations).
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Station name (in alphabetical order), U.S. State	Station Identifier	Latitude (°N)	Longitude (°W)	Elevation MSL (m)
Bakersfield, CA	BFL	35.43	119.06	155
Bishop, CA	BIH	37.37	118.36	1256
*Boise, ID	BOI	43.56	116.22	875
Coalinga, CA	COA	36.14	120.36	205
Edwards AFB, CA	EDW	34.92	117.87	704
<sup>*</sup> Elko, NV	LKN	40.82	115.79	1567
Fallon, NV	NFL	39.42	118.70	1199
Fresno, CA	FAT	36.78	119.72	102
Lemoore, CA	NLC	36.33	119.95	71
Los Angeles, CA	LAX	33.94	118.41	38
Lovelock, NV	LOL	40.07	118.57	1190
Merced, CA	MCE	37.28	120.51	48
Modesto, CA	MOD	37.63	120.95	30
<sup>*</sup> Oakland, CA	OAK	37.72	122.22	3
Paso Robles, CA	PRB	35.67	120.63	255
Redding, CA	RDD	40.51	122.29	154
<sup>*</sup> Reno, NV	REV	39.50	119.77	1346
Sacramento, CA	SAC	38.51	121.49	7
<sup>*</sup> Salem, OR	SLE	44.91	123.00	65
Salinas, CA	SNS	36.66	121.61	26
<sup>*</sup> San Diego, CA	NKX	32.85	117.11	128
South Lake Tahoe, CA	TVL	38.90	120.00	1909
Spokane, WA	OTX	47.68	117.62	729
Truckee, CA	TRK	39.32	120.14	1798
<sup>*</sup> Vandenberg, CA	VBG	34.74	120.58	112
Winnemucca, NV	WMC	40.90	117.81	1313

Table 2. Observed wind speed maximum (m s<sup>-1</sup>), wind gust maximum (m s<sup>-1</sup>), and the lowest visibility (km) recorded during 1100-2300 UTC 29 November 1991 at stations in Nevada (NV) and California (CA) (Source: http://www.ncdc.noaa.gov) (see Table 1 and Figure 2 for the geographical locations of the stations; cf Table 1 of *Pauley et al.* [1996] for more details).

Stations	Maximum wind speed (m s <sup>-1</sup> )/wind direction during 1100–2300 UTC 29 November 1991	Maximum gust speed (m s <sup>-1</sup> )	Lowest visibility (km)
WMC	13.4/320°	18.4	4.8 (1130 UTC)
LOL	11.2/340°	16.1	0.8 (1300 UTC)
NFL	10.3/360°	17.0	0.8 (1500 UTC)
REV	10.4/20°	13.0	16.2 (2000 UTC
TVL	6.2/350°	13.0	9.6 (2130 UTC
TRK	13.4/30°	18.0	6.4 (1800 UTC
MCE	10.3/340°	14.3	6.4 (2100 UTC
MOD	11.2/330°	16.6	16.1 (2100 UTC
PRB	17.0/320°	21.5	0.8 (2100 UTC
BFL	13.4/330°	16.6	3.2 (2230 UTC
NLC	15.7/330°	21.5	0.6 (2300 UTC

Table 3. 18 km WRF diagnosed time series of the terms (× 10<sup>-8</sup> s<sup>-2</sup>) in Equation (2) at 500 hPa valid from 1200 UTC 29 November 1991 (11/29) to 0000 UTC 30 November 1991 (11/30). Location is 37° N, 120.4° W. Also shown is the column-integrated mass flux divergence (× 10<sup>-3</sup> kg m<sup>-2</sup> s<sup>-1</sup>) at this location [ $z_T$  = height at the model top;  $\rho$  = air density;  $\vec{\mathbf{V}}$  = velocity vector].

Time (MM/DD)	$\frac{d\delta}{dt} \times 10^{-8}$	<i>R</i> <sub><i>ω</i></sub> (× 10 <sup>-8</sup> )	$f\zeta - u\beta$ (× 10 <sup>-8</sup> )	2J(u,v) (× 10 <sup>-8</sup> )	$-\nabla^2 \Phi$ $(\times 10^{-8})$	$\int_{z=0}^{z=z_T} \left[ \nabla \cdot \left( \rho \vec{\mathbf{V}} \right) \right] dz$ (× 10 <sup>-3</sup> kg m <sup>-2</sup> s <sup>-1</sup> )
1200 UTC (11/29)	0.27	0.58	-0.07	-0.03	-0.13	-1.7
1300 UTC (11/29)	-0.73	1.35	0.03	0.19	-2.21	-1.9
1400 UTC (11/29)	-3.93	0.78	0.10	1.03	-5.83	-1.4
1500 UTC (11/29)	1.12	0.93	-0.00	0.92	-0.67	+2.3
1600 UTC (11/29)	0.74	1.52	-0.26	-0.36	0.14	-1.1
1700 UTC (11/29)	-0.66	0.13	-0.57	-0.96	0.81	-3.1
1800 UTC (11/29)	2.45	-0.12	-0.68	0.06	3.28	+1.4
1900 UTC (11/29)	5.10	0.09	-0.64	1.89	4.20	+0.8
2000 UTC (11/29)	4.11	1.08	-0.44	3.54	0.54	+1.1
2100 UTC (11/29)	-1.60	-0.11	-0.36	4.50	-5.48	+1.9
2200 UTC (11/29)	-2.85	-0.36	-0.29	5.08	-7.20	+0.8
2300 UTC (11/29)	-7.75	0.89	-0.12	3.83	-12.31	-2.8
0000 UTC (11/30)	0.65	0.60	-0.00	1.98	-1.83	-3.4

# FIGURE CAPTIONS

Figure 1. WRF modeling domains and horizontal grid dimensions in x- and y- directions, respectively:  $157 \times 127$  grid points (54 km grid),  $247 \times 247$  grid points (18 km grid),  $451 \times 451$  grid points (6 km grid), and  $721 \times 721$  grid points (2 km grid). [WA=Washington, OR=Oregon, ID=Idaho, NV=Nevada, CA=California, UT=Utah, AZ=Arizona, CO=Colorado, and NM=New Mexico].

Figure 2. Representation of topography (shaded) in California and Nevada in the innermost modeling domain (Source: U.S. Geological Survey). Overlaid are the cross sections J-J', K-K', and L-L' and station identifiers referenced in the study. An asterisk is shown at the location where multiple accidents are reported on I-5 in the San Joaquin Valley of California.

Figure 3. NARR analyses of 500 hPa geopotential height (solid; contour interval = 60 m), wind speed (shaded; m s<sup>-1</sup>), and air temperature (dashed; contour interval = 2 °C) at 1200 UTC 29 November 1991.

Figure 4. Tropopause pressure (hPa) diagnosed from NARR at (a) 0600 UTC and (b) 1800 UTC 29 November 1991.

Figure 5. WRF (2 km grid) simulated 500 hPa temperature (dashed; contour interval = 2° C) and geopotential height (solid; contour interval = 60 m) and horizontal wind speeds (shaded; m s<sup>-1</sup>) valid at 1500 UTC 29 November 1991. Overlain are the simulated horizontal winds (full barb = 5 m s<sup>-1</sup>; plotted at every 60 km interval) at 800 hPa.

Figure 6. Same as described in Figure 5, but valid for 2100 UTC 29 November 1991.

Figure 7. Planview of Lagrangian backtrajectory diagnosed from 18 km WRF grid starting at 2300 UTC 29 November 1991 above Fresno (FAT), California (COA) from the pressure level 900 hPa. The width of the arrows indicates the rising and sinking of the parcel motion. Locations of the stations BOI, OTX, LKN, SLE, REV, MCE, and BIH (see also Table 1) are indicated in the figure.

Figure 8. Diagnostics for wind speed (m s<sup>-1</sup>), ageostrophic wind speed (m s<sup>-1</sup>), parcel acceleration (×  $10^3$  m s<sup>-2</sup>), air temperature (°C), sea level pressure (hPa), mixing layer depth (m), sensible heat flux at the surface (W m<sup>-2</sup>), TKE (J kg<sup>-1</sup>), and Richardson number (dimensionless) along the backtrajectory. Terrain height (km) along the parcel trajectory, pressure (hPa) and the corresponding height (km) ASL at which the parcel is located are also shown in the figure. The starting time (pressure level) of the trajectory is 2300 UTC 29 November 1991 (900 hPa) above FAT. Time (UTC) on 29 November 1991 is shown on the x-axis.

Figure 9. 18-km WRF diagnosed total wind shear (light; full barb = 5 m s<sup>-1</sup>) and geostrophic wind shear (dark) in the 500 – 700 hPa layer valid at (a) 1200, (b) 1400, (c) 1600 and (d) 1800 UTC 29 November 1991.

Figure 10. Ageostrophic wind vectors at 500 hPa diagnosed from 6-km WRF forecasts at 1200, 1500, 1800 and 2100 UTC 29 November 1991.

Figure 11. 2-km WRF diagnosed Lagrangian Rossby number ( $Ro^L$ ) at 500 hPa valid for (a) 1500 and (b) 1800 UTC 29 November 1991. Locations of the stations REV, SAC, MOD, MCE, FAT, COA, BFL (see Table 1) are shown on the figure.

Figure 12. 18 km WRF simulated potential temperature (dashed; contour interval = 2 K), horizontal winds (full barb = 5 m s<sup>-1</sup>), wind speeds (solid; contour interval = 5 m s<sup>-1</sup>), vertical p-velocity ( $\omega$ ) (shaded; red = upward; blue = downward;  $\mu b s^{-1}$ ) at 1500 UTC 29 November 1991 along the cross section J-J' shown in Figure 2. Magnitudes of vertical motion are indicated inside the arrows. Overlain in the figures are the total wind jet maximum (J) and locations of OAK and NFL along the cross section.

Figure 13. 18 km WRF simulated wind speeds (solid; contour interval = 5 m s<sup>-1</sup>), diagnosed quasi-geostrophic vertical p-velocity (QG- $\omega$ ) (shaded; red = upward; blue = downward;  $\mu b s^{-1}$ ) at 1500 UTC 29 November 1991 along the cross section J-J' shown in Figure 2. Magnitudes of vertical motion are indicated inside the arrows. Overlain in the figures are the total wind jet maximum (J), geostrophic wind jet maximum (J<sub>g</sub>) and locations of OAK and NFL along the cross section.

Figure 14. Same as described in Figure 12, but along the cross section K-K' (see Figure 2 for the orientation of the cross section) valid at 1800 UTC 29 November 1991.

Figure 15. Same as described in Figure 13, but along the cross section K-K' (see Figure 2 for the orientation of the cross section) valid at 1800 UTC 29 November 1991.

Figure 16. 2-km WRF diagnosed mean temperature (contour interval =  $2^{\circ}$ C) in the 500 – 700 hPa layer valid at 1200, 1400, 1600 and 1800 UTC 29 November 1991.

Figure 17. 2-km WRF simulated profiles of air temperature (°C) and horizontal winds (full barb = 5 m s<sup>-1</sup>) at Lemoore (NLC) and Bakersfield (BFL), California valid at (a,c) 1800 UTC 29 November 1991, and (b,d) 0000 UTC 30 November 1991.

Figure 18. 6 km WRF diagnosed isallobaric winds (normalized vector lengths) from the 3-h mean sea level pressure tendency [contour interval = 1 mb] during (a) 1500-1800 UTC and (b) 1800-2100 UTC 29 November 1991. Locations of SAC, MCE, FAT, BIH, COA, BFL, and REV are shown in the figure.

Figure 19. 6 km WRF simulated TKE (shaded; J kg<sup>-1</sup>), horizontal winds (full barb = 5 m s<sup>-1</sup>), potential temperature (solid; contour interval = 2.5 K) along the cross section L-L' (shown in Figure 2) at 1800 UTC 29 November 1991. Nearest locations to Reno (REV) and Coalinga (COA) are shown on the figure.

Figure 20. Same as described in Figure 18, but valid at 2200 UTC 29 November 1991.

Figure 21. Schematic of the unbalanced circulations and fast adjustment signals during 1500 UTC 29 November 1991–0000 UTC 30 November 1991.

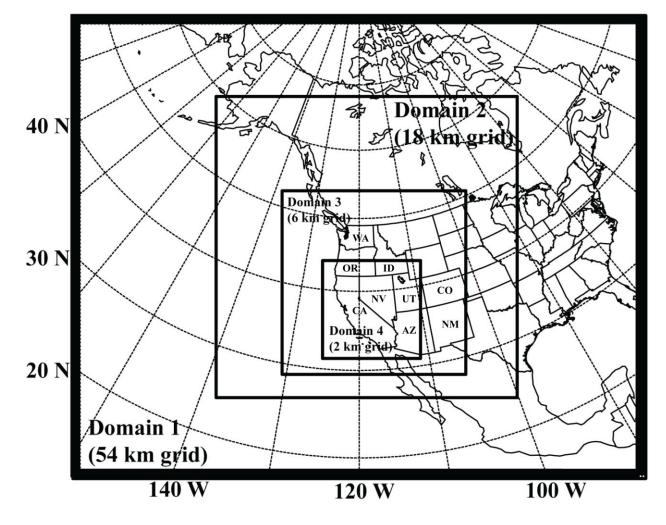


Figure 1. WRF modeling domains and horizontal grid dimensions in *x*- and *y*- directions, respectively:  $157 \times 127$  grid points (54 km grid),  $247 \times 247$  grid points (18 km grid),  $451 \times 451$  grid points (6 km grid), and  $721 \times 721$  grid points (2 km grid). [WA=Washington, OR=Oregon, ID=Idaho, NV=Nevada, CA=California, UT=Utah, AZ=Arizona, CO=Colorado, and NM=New Mexico].

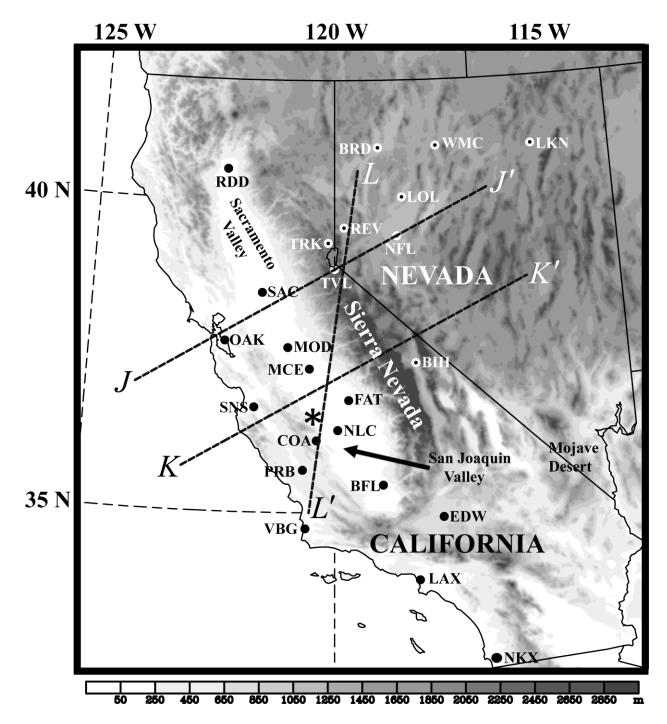


Figure 2. Representation of topography (shaded) in California and Nevada in the innermost modeling domain (Source: U.S. Geological Survey). Overlaid are the cross sections J-J', K-K', and L-L', and station identifiers referenced in the study. An asterisk is shown at the location where multiple accidents are reported on I-5 in the San Joaquin Valley of California.

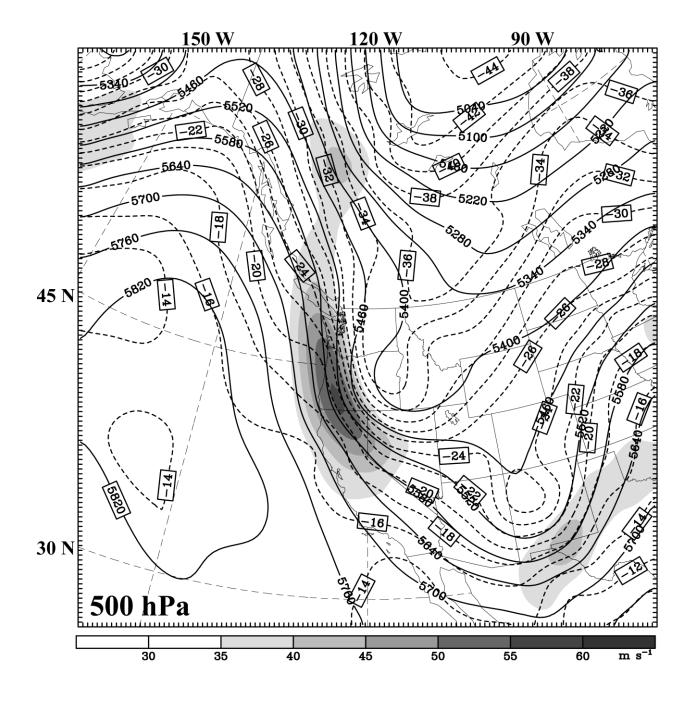


Figure 3. NARR analyses of 500 hPa geopotential height (solid; contour interval = 60 m), wind speed (shaded; m s<sup>-1</sup>), and air temperature (dashed; contour interval = 2 °C) valid at 1200 UTC 29 November 1991.

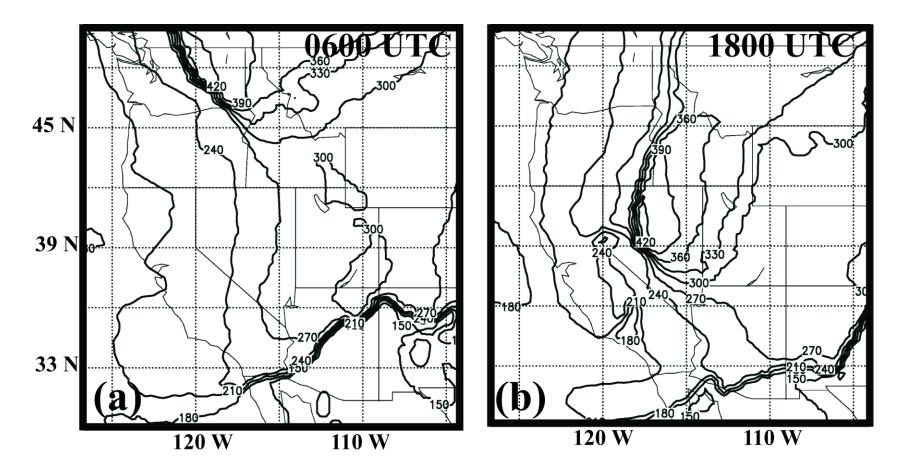


Figure 4. Tropopause pressure (hPa) diagnosed from NARR at (a) 0600 UTC and (b) 1800 UTC 29 November 1991.

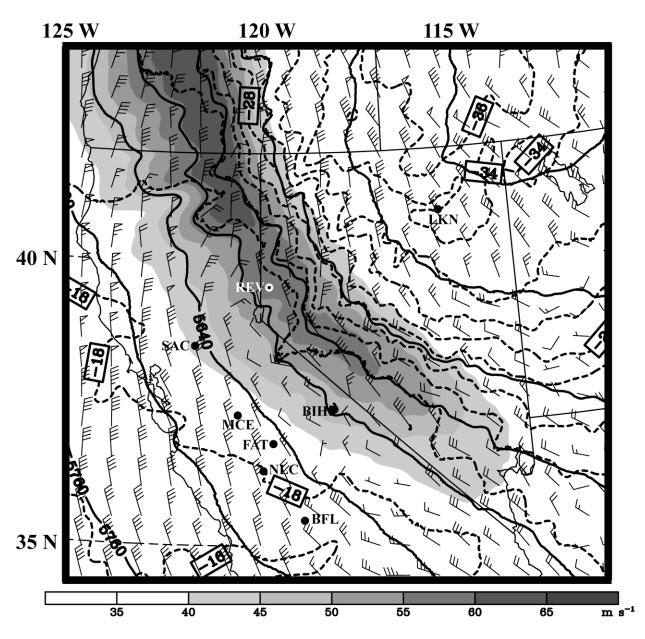


Figure 5. WRF (2 km grid) simulated 500 hPa temperature (dashed; contour interval =  $2^{\circ}$  C) and geopotential height (solid; contour interval = 60 m) and horizontal wind speeds (shaded; m s<sup>-1</sup>) valid at 1500 UTC 29 November 1991. Overlain are the simulated horizontal winds (full barb = 5 m s<sup>-1</sup>; plotted at every 60 km interval) at 800 hPa.

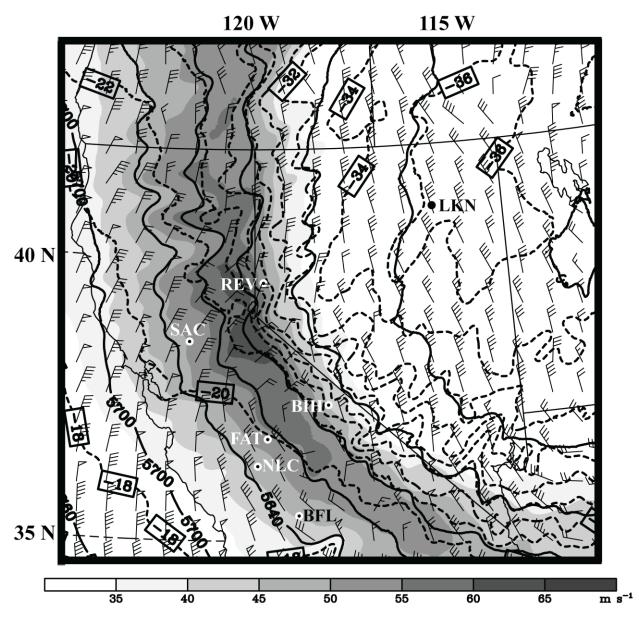


Figure 6. Same as described in Figure 5, but valid for 2100 UTC 29 November 1991.

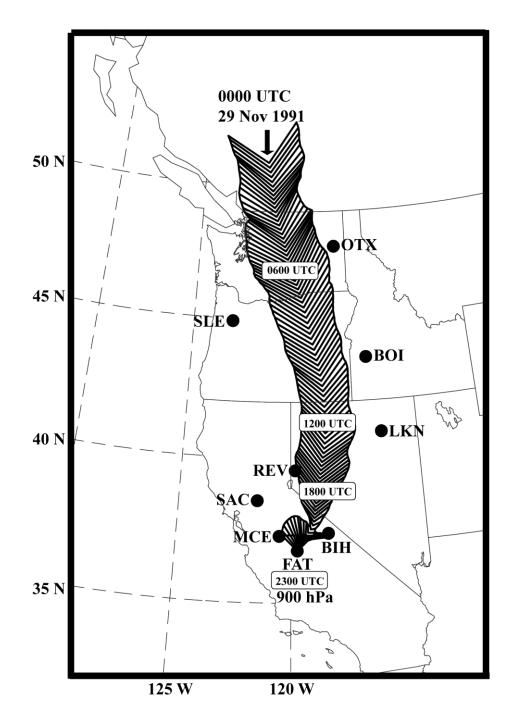


Figure 7. Planview of Lagrangian backtrajectory diagnosed from 18 km WRF grid starting at 2300 UTC 29 November 1991 above Fresno (FAT), California from the pressure level 900 hPa. The width of the arrows indicates the rising and sinking of the parcel motion. Locations of the stations BOI, OTX, LKN, SLE, REV, MCE, and BIH (see also Table 1) are indicated in the figure.

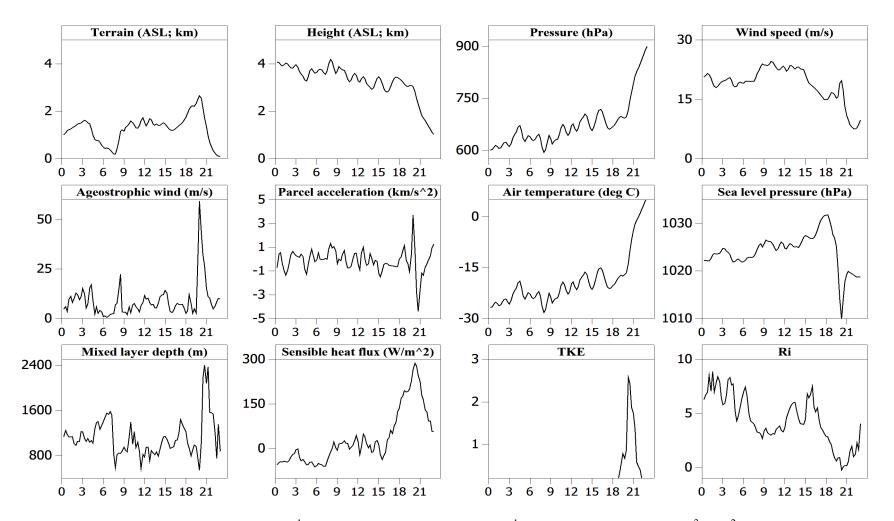


Figure 8. Diagnostics for wind speed (m s<sup>-1</sup>), ageostrophic wind speed (m s<sup>-1</sup>), parcel acceleration (×  $10^3$  m s<sup>-2</sup>), air temperature (°C), sea level pressure (hPa), mixing layer depth (m), sensible heat flux at the surface (W m<sup>-2</sup>), TKE (J kg<sup>-1</sup>), and Richardson number (dimensionless) along the backtrajectory. Terrain height (km), pressure (hPa) and the corresponding height (km) ASL along the parcel trajectory are also shown in the figure. The starting time (pressure level) of the trajectory is 2300 UTC 29 November 1991 (900 hPa) above Fresno, CA (FAT). Time (UTC) on 29 November 1991 is shown on the x-axis.

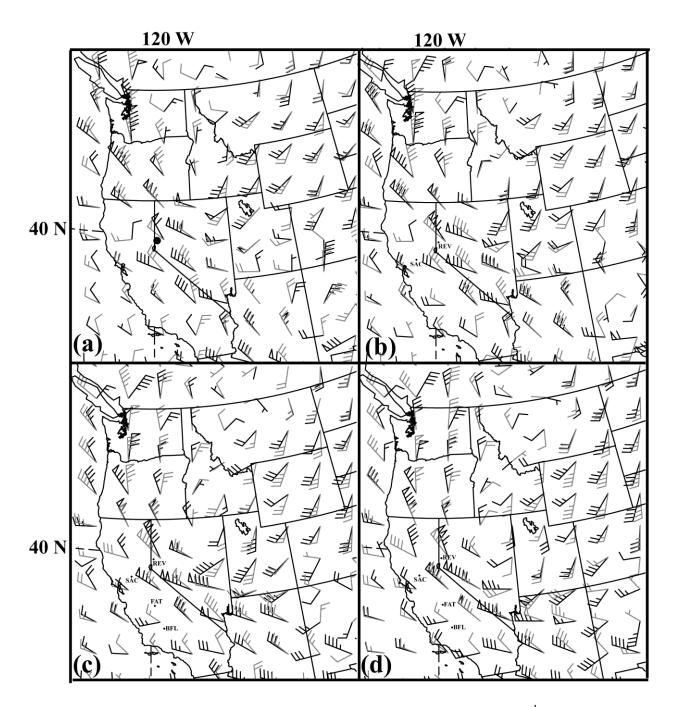


Figure 9. 18-km WRF diagnosed total wind shear (light; full barb = 5 m s<sup>-1</sup>) and geostrophic wind shear (dark) in the 500 – 700 hPa layer valid at (a) 1200, (b) 1400, (c) 1600 and (d) 1800 UTC 29 November 1991.

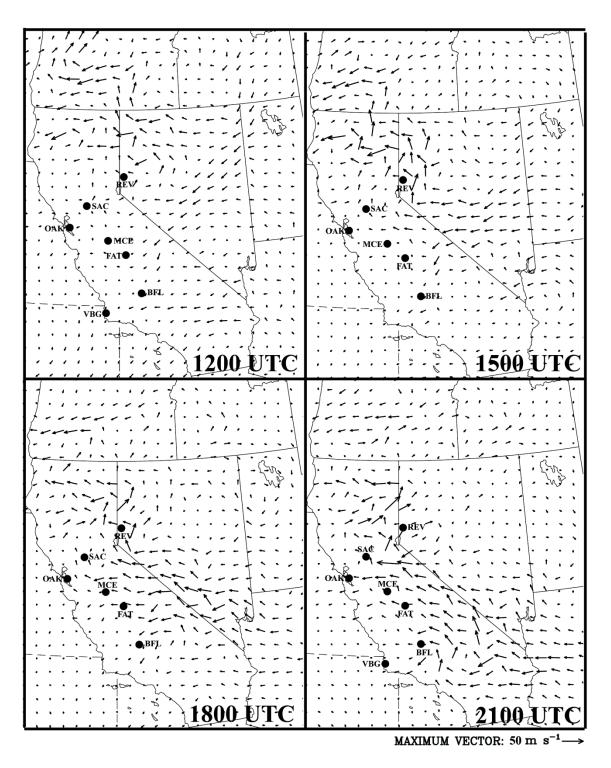


Figure 10. Ageostrophic wind vectors at 500 hPa diagnosed from 6-km WRF forecasts at 1200, 1500, 1800 and 2100 UTC 29 November 1991.

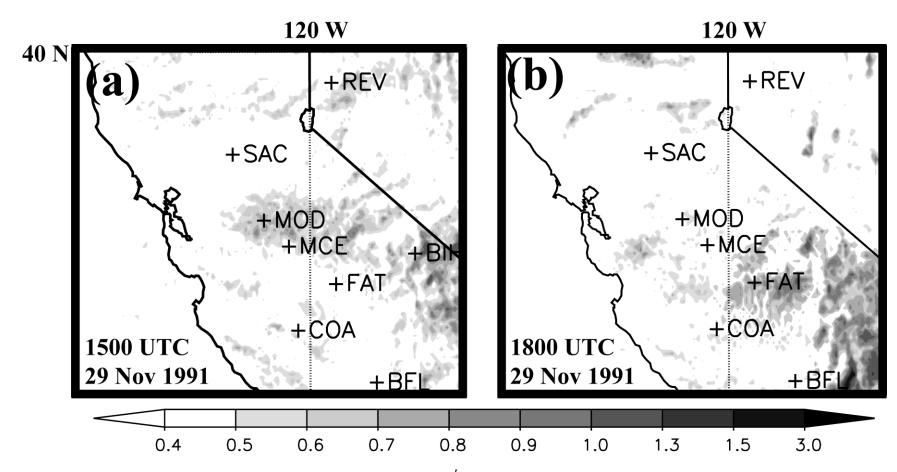


Figure 11. 2-km WRF diagnosed Lagrangian Rossby number ( $Ro^L$ ) at 500 hPa valid for (a) 1500 and (b) 1800 UTC 29 November 1991. Locations of the stations REV, SAC, MOD, MCE, FAT, COA, BFL (see Table 1) are shown on the figure.

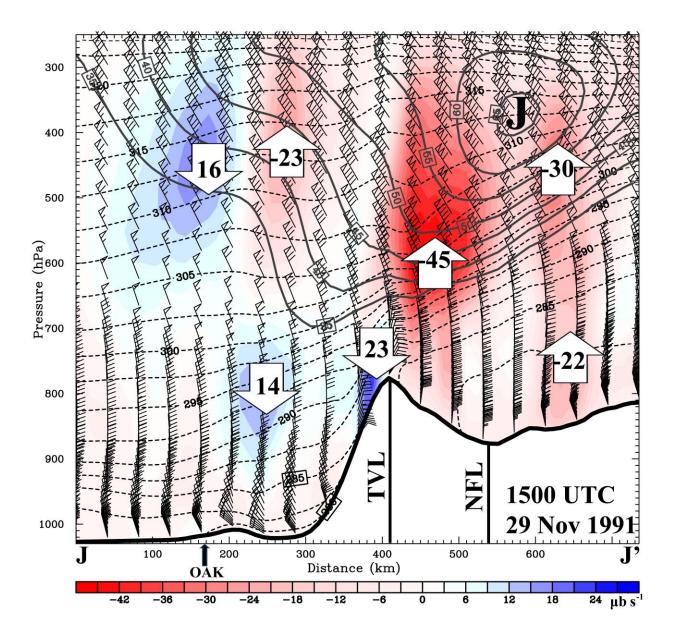


Figure 12. 18 km WRF simulated potential temperature (dashed; contour interval = 2 K), horizontal winds (full barb = 5 m s<sup>-1</sup>), wind speeds (solid; contour interval = 5 m s<sup>-1</sup>), vertical p-velocity ( $\omega$ ) (shaded; red = upward; blue = downward;  $\mu b s^{-1}$ ) at 1500 UTC 29 November 1991 along the cross section J-J' shown in Figure 2. Magnitudes of vertical motion are indicated inside the arrows. Overlain in the figures are the total wind jet maximum (J) and locations of OAK and NFL along the cross section.

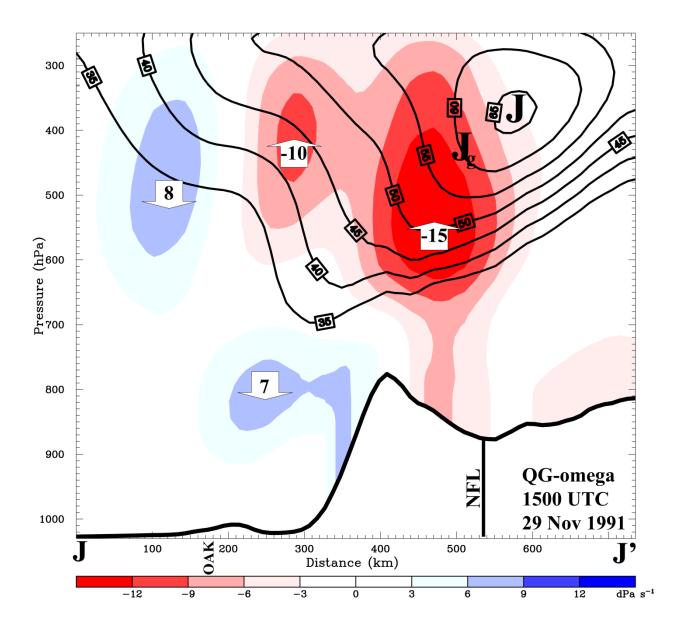


Figure 13. 18 km WRF simulated wind speeds (solid; contour interval = 5 m s<sup>-1</sup>), diagnosed quasi-geostrophic vertical p-velocity (QG- $\omega$ ) (shaded; red = upward; blue = downward;  $\mu b s^{-1}$ ) at 1500 UTC 29 November 1991 along the cross section J-J' shown in Figure 2. Magnitudes of vertical motion are indicated inside the arrows. Overlain in the figures are the total wind jet maximum (J), geostrophic wind jet maximum (J<sub>g</sub>) and locations of OAK and NFL along the cross section.

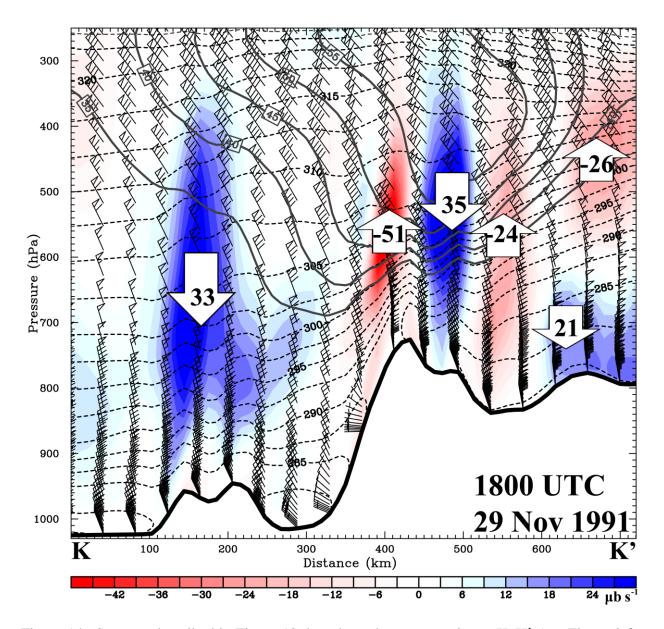


Figure 14. Same as described in Figure 12, but along the cross section K-K' (see Figure 2 for the orientation of the cross section) valid at 1800 UTC 29 November 1991.

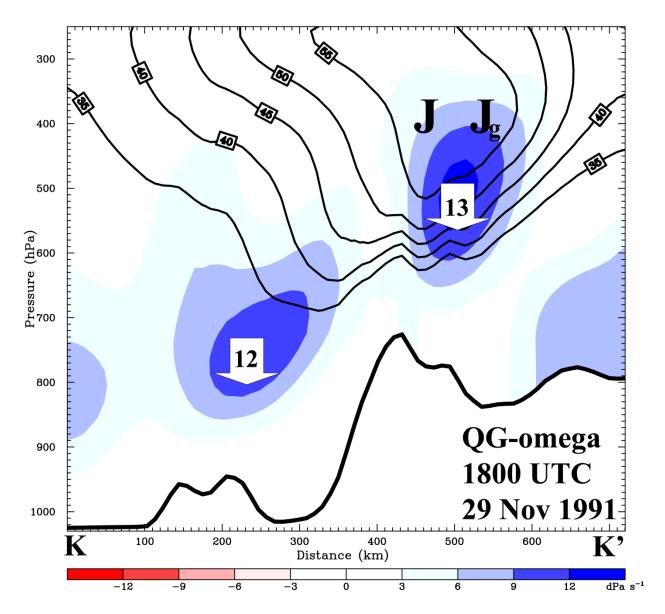


Figure 15. Same as described in Figure 13, but along the cross section K-K' (see Figure 2 for the orientation of the cross section) valid at 1800 UTC 29 November 1991.

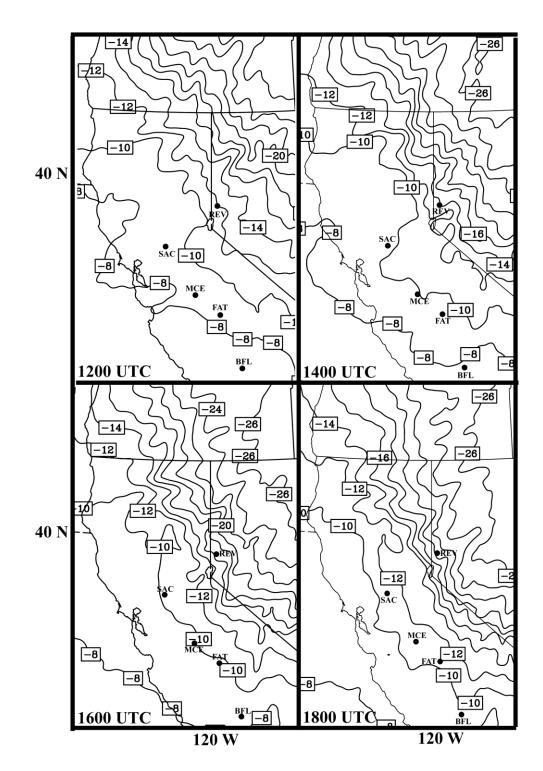


Figure 16. 2-km WRF diagnosed mean temperature (contour interval =  $2^{\circ}$ C) in the 500 – 700 hPa layer valid at 1200, 1400, 1600 and 1800 UTC 29 November 1991.

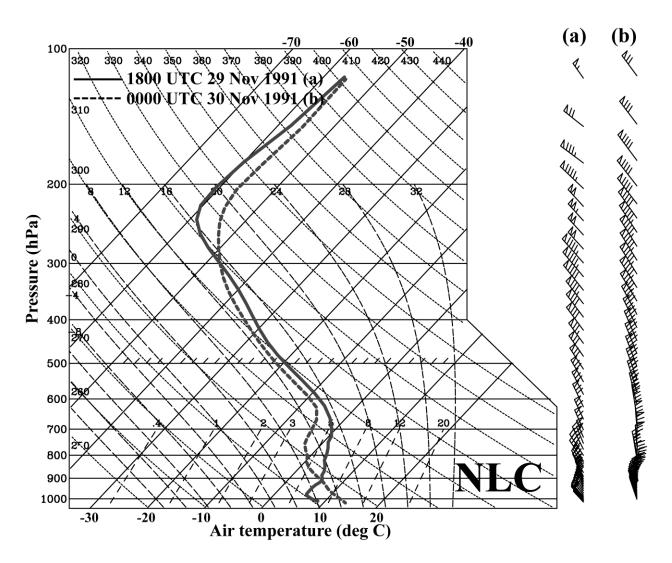


Figure 17. 2-km WRF simulated profiles of air temperature (°C) and horizontal winds (full barb = 5 m s<sup>-1</sup>) at Lemoore (NLC) and Bakersfield (BFL), California valid at (a,c) 1800 UTC 29 November 1991, and (b,d) 0000 UTC 30 November 1991.

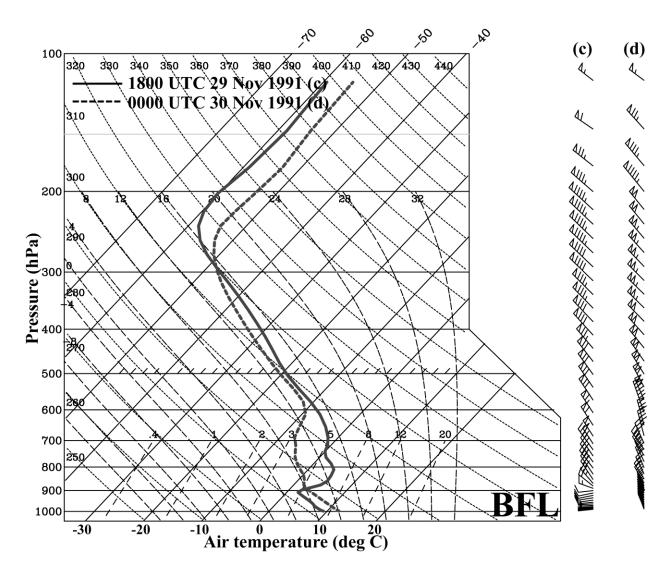


Figure 17. Continued.

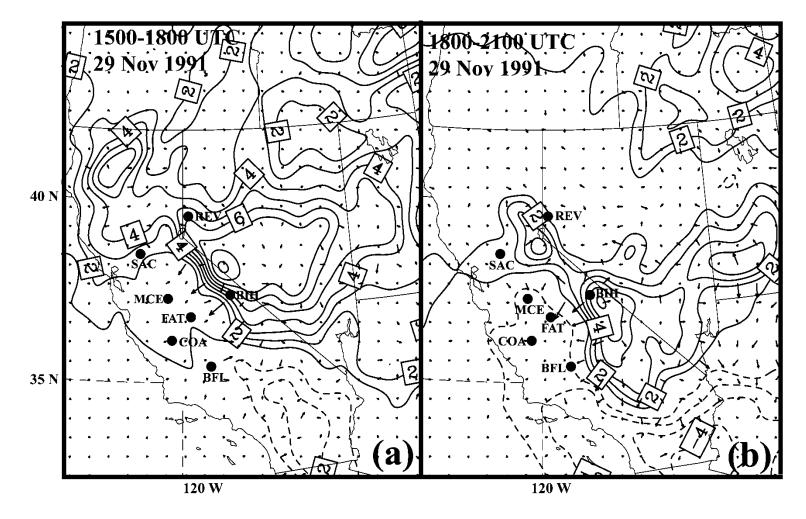


Figure 18. 6 km WRF diagnosed isallobaric winds (normalized vector lengths) from the 3-h mean sea level pressure tendency [contour interval = 1 mb] during (a) 1500-1800 UTC and (b) 1800-2100 UTC 29 November 1991. Locations of SAC, MCE, FAT, BIH, COA, BFL, and REV are shown in the figure.

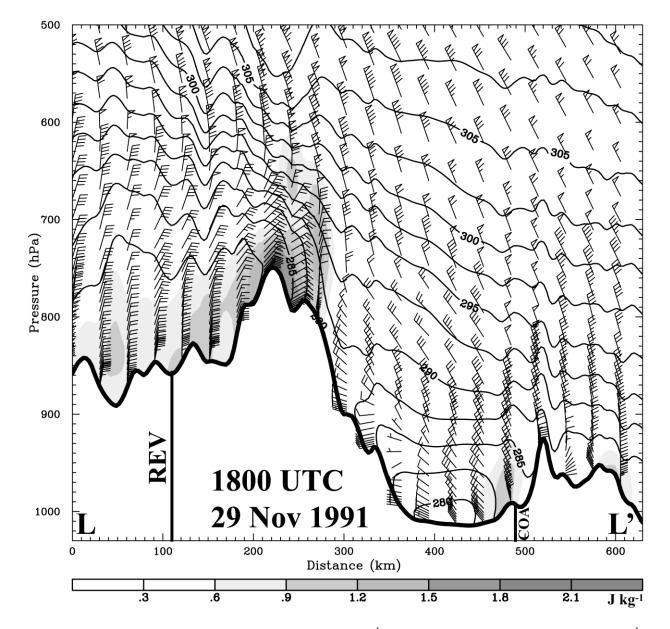


Figure 19. 6 km WRF simulated TKE (shaded; J kg<sup>-1</sup>), horizontal winds (full barb = 5 m s<sup>-1</sup>), potential temperature (solid; contour interval = 2.5 K) along the cross section L-L' (shown in Figure 2) at 1800 UTC 29 November 1991. Nearest locations to Reno (REV) and Coalinga (COA) are shown on the figure.

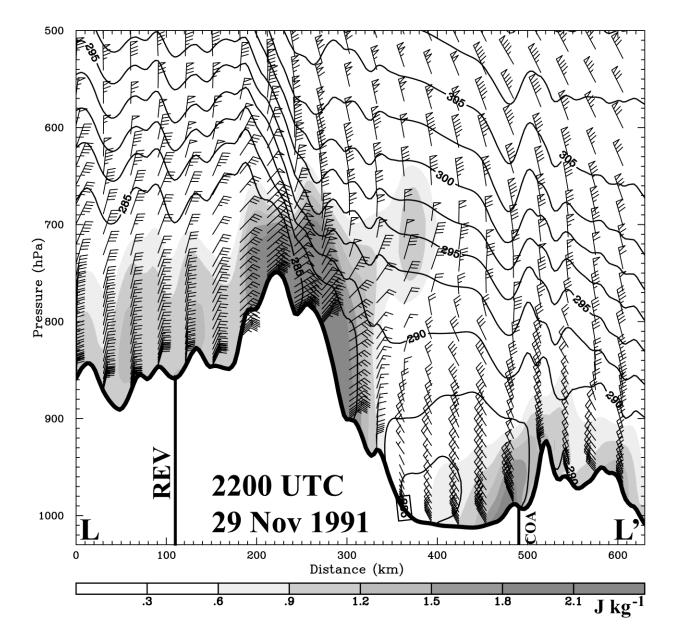


Figure 20. Same as in Figure 19, but valid at 2200 UTC 29 November 1991.

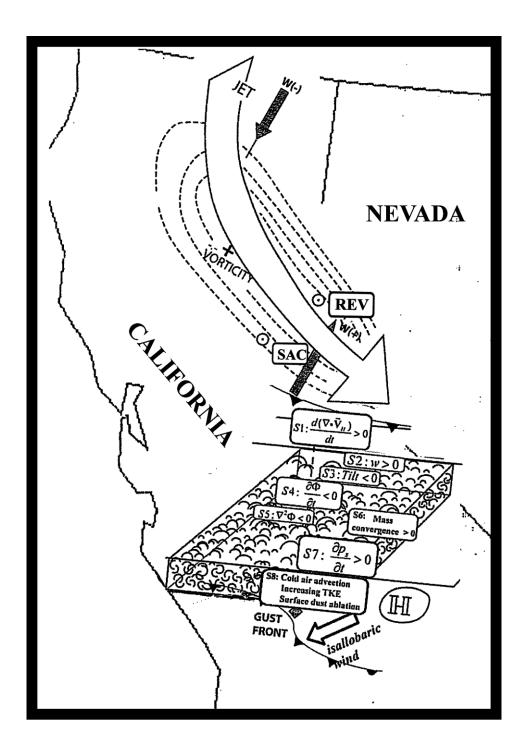


Figure 21. Schematic of the unbalanced circulations and fast adjustment signals during 1500 UTC 29 November 1991–0000 UTC 30 November 1991.