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**A SYNOPTIC-CLIMATOLOGY OF NORTHERN HEMISPHERE POLAR AND
SUBTROPICAL JET SUPERPOSITION EVENTS**

by

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ABSTRACT

Narrow, tropopause-level wind speed maxima known as jet streams or jets are among the most ubiquitous structural characteristics of the Earth’s atmosphere. Two jet species can be observed on any given day. The polar jet is tied, via the thermal wind relationship, to the troposphere-deep baroclinicity of the middle latitudes while the subtropical jet is tied, by angular momentum constraints, to the poleward edge of the tropical Hadley Cell. As a consequence of their different origins, the polar and subtropical jets are separated by both latitude and elevation. However, there are times when these two usually separate features become vertically superposed to form a single, intense jet core designated as a jet superposition.

An objective method for identifying tropopause-level jets is employed in the construction of 51-yr synoptic-climatologies of the Northern Hemisphere polar jet, subtropical jet, and jet superposition frequencies. The analysis demonstrates that while superposition events are relatively rare, there are clear geographical and seasonal maxima. Superpositions are most frequent in the western Pacific from December through February, abruptly decreasing in late winter (March/April), then increasing substantially again in late autumn (October/November). Consistent with expectations, the spatiotemporal maxima in jet superpositions appear to be coincident with maxima in the polar and subtropical jets.

43

44 **1. Introduction**

45 Narrow, rapidly flowing currents of air located near the tropopause are known as jet
46 streams or jets. These jets, often found nearly girdling the globe while exhibiting large
47 meridional meanders, are among the most ubiquitous structural characteristics of the Earth's
48 atmosphere and are known to play a substantial role in the production of sensible weather in the
49 mid-latitudes. Prior observational work has identified three major jet features; the subtropical
50 jet, the polar jet, and the Arctic jet. The subtropical jet is located at the poleward edge of the
51 Hadley cell (~30° latitude) in the tropical/subtropical upper troposphere (~ 200 hPa) (Loewe and
52 Radok 1950, Yeh 1950, Koteswaram 1953, Mohri 1953, Koteswarma and Parthasarathy 1954;
53 Sutcliffe and Bannon 1954, Defant and Taba 1957, Krishnamurti 1961, Riehl 1962) while the
54 polar jet sits atop the baroclinicity of the middle latitudes (usually poleward of 30° latitude) and
55 has its speed maxima closer to 300 hPa (e.g. Namias and Clapp 1949, Newton 1954, Palmen and
56 Newton 1969, Keyser and Shapiro 1986, Shapiro and Keyser 1990). The arctic jet is less
57 ubiquitous but is confined to high latitudes and is often located at ~500 hPa (Shapiro et al. 1984,
58 Shapiro 1985, Shapiro et al. 1987).

59 Careful observational work by Defant and Taba (1957, hereafter DT57) established the
60 existence of a three step structure in tropopause height from pole-to-equator with each step
61 separated from its neighbors by the presence of a westerly wind maximum. The tropical
62 tropopause was found (in the mean) to be at ~90 hPa (17 to 18 km) and to extend to about 30°N.
63 Near that latitude, the tropopause height abruptly lowers to ~200 hPa. The subtropical jet is
64 coincident with this break in tropopause height and is located at ~200 hPa (12 km). Poleward of
65 this feature was what DT57 called the “middle tropopause” located at ~250 hPa. At the break

66 between this middle tropopause and the even lower polar tropopause is the polar jet, located at
67 ~300 hPa. Modest, shallow baroclinicity in the upper troposphere characterizes the subtropical
68 jet whereas the much deeper and more dramatically baroclinic polar front drapes below the polar
69 jet.

70 A new insight represented by the DT57 analysis was their construction of maps of
71 tropopause height (in hPa). They referred to sharp, isolated, easily identifiable gradients of
72 tropopause height as “breaklines” (see their Fig. 2). These breaklines were found to be
73 coincident with the axes of the respective jet maxima (e.g. the subtropical jet was located at the
74 breakline between the tropical and middle tropopause)¹. Such depictions made it instantly clear
75 that, though each jet maximum occupied a climatological latitude band, substantial meanders of
76 each were commonplace. Companion maps of tropopause temperature presented by DT57
77 clearly demonstrated that when the polar and subtropical jets become latitudinally superposed the
78 tropospheric and stratospheric baroclinicity associated with each jet individually were combined
79 into substantially narrower zones of contrast. The resulting superposed jet structure therefore
80 possessed an anomalous fraction of the pole-to-equator baroclinicity (manifest as available
81 potential energy (APE)).

82 An alternative method for identifying the tropopause breaklines of DT57 lies in the
83 construction of tropopause maps in potential temperature/potential vorticity (θ /PV) space. Such
84 an approach was advocated by Morgan and Nielsen-Gammon (1998) who demonstrated the
85 utility of maps of θ and wind speed on the so-called dynamic tropopause (defined as a surface of
86 constant Ertel PV (Ertel 1942)) for diagnosing weather systems. In this framework, the DT57
87 breaklines become regions of large PV gradient on isentropes that cut through the subtropical

¹ Equation 1 (to be discussed later) demonstrates that local maxima in the geostrophic wind, V_g , are coincident with large horizontal gradients of quasi-geostrophic potential vorticity (QGPV).

88 and polar jet cores since such isentropes sample both stratospheric and tropospheric air. Though
 89 no definitive consensus exists regarding which isentropic surfaces (or layers) best serve to isolate
 90 these important jet-related PV gradients, a number of analyses (e.g. Defant and Taba 1957,
 91 Palmen and Newton 1969, Shapiro et al. 1987, Morgan and Nielsen-Gammon 1998, Mecikalski
 92 and Tripoli 1998, Shapiro et al. 1999, and Randel et al. 2007) point to a fairly narrow range of
 93 acceptable values; 310–320K for the polar jet and 335-345K for the subtropical jet. Given that
 94 some variation around these values may exist, though likely not to an obfuscating degree, in the
 95 present work we shall consider the 315-330K *layer* as the residence of the polar jet and the 340-
 96 355K *layer* as the home of the subtropical jet.

97 Considered from a PV perspective, the subtropical and polar jets are each associated with
 98 local positive PV perturbations at the equatorward edge of the tropopause. Most often, the
 99 separate jet cores, as well as the separate PV perturbations, are readily identifiable as illustrated
 100 in Figs. 1a&b. Note that the PV distribution displayed in Fig. 1b portrays the 3-step tropopause
 101 structure identified by DT57. Note also that the separate polar and subtropical jet cores, though
 102 widely separated in latitude and elevation, are each found at a “break” in dynamic tropopause
 103 height represented by a locally steep tropopause slope. A superposed jet structure cannot be
 104 identified solely by inspection of the distribution of isotachs on an isobaric surface (Fig. 1c).
 105 Instead, the distinguishing structural characteristic of such jet structures is the vertical tropopause
 106 wall directly connecting the tropical tropopause to the polar tropopause (Fig. 1d). The
 107 development of such a structure has dynamical implications that are most simply considered
 108 from the quasi-geostrophic PV (QGPV) perspective. Recalling that QGPV is given by

$$109 \quad q_s = \frac{1}{f_o} \nabla^2 \phi + f + \frac{f_o^2}{\sigma} \frac{\partial^2 \phi}{\partial p^2} = \Lambda(\phi) + f$$

110 (where $\Lambda = \frac{1}{f_o} \nabla^2 + \frac{f_o^2}{\sigma} \frac{\partial^2}{\partial p^2}$) then the cross-jet gradient of QGPV is given by

111
$$\frac{\partial q_z}{\partial n} = \Lambda \left(\frac{\partial \phi}{\partial n} \right) = \Lambda (-fV_z) \quad (1)$$

112 after substituting from the natural coordinate expression for the geostrophic wind. The deep

113 tropopause wall arises via an increase in $\frac{\partial q_z}{\partial n}$ through a deep layer (i.e. $-\frac{\partial}{\partial p} \left[\frac{\partial}{\partial t} \left(\frac{\partial q_z}{\partial n} \right) \right] > 0$). It

114 follows from (1) that in such an environment

115
$$f\Lambda \left[\frac{\partial}{\partial t} \left(\frac{\partial V_z}{\partial p} \right) \right] > 0.$$

116 Since Λ is a Laplacian operator, this implies that the development of a deep tropopause wall

117 requires a local increase in the (geostrophic) vertical shear (i.e. $\frac{\partial}{\partial t} \left(-\frac{\partial V_z}{\partial p} \right) > 0$). Thus, it is

118 hypothesized that superposition of the polar and subtropical jets can bring about rapid and

119 substantial increases in jet speeds as well as strengthening of the associated divergent

120 ageostrophic circulations.

121 A number of previously examined high impact, mid-latitude sensible weather phenomena

122 have been connected, either directly or indirectly, with jet superposition events. Defant (1959)

123 noted that an exceptional surface cyclogenesis event south of Iceland on 8 January 1956, in

124 which the sea-level pressure (SLP) dropped 61 hPa in 20 h, developed in an environment

125 characterized by a dramatic jet superposition event. Other famous explosive cyclogenesis events

126 such as the Great October Storm (Hoskins and Berrisford 1988), the ERICA IOP-4 storm

127 (Shapiro and Keyser 1990), the Cleveland Superbomb (Hakim et al. 1996), and the Storm of the

128 Century (Bosart et al. 1996) are all examples of developments likely influenced by a jet
129 superposition event².

130 More recently, Winters and Martin (2014) examined the influence the secondary
131 circulation associated with a superposed jet structure had in forcing a rapid increase in poleward
132 moisture flux that fueled the second day of the 2010 Nashville Flood. In addition, the 25-28
133 April 2011 severe weather outbreak across the central and eastern portion of North America
134 (Christenson and Martin 2012 and Knupp et al. 2013) has been linked to a superposed jet
135 structure that formed over the west Pacific Ocean.

136 Despite the appearance of jet superposition events as a fundamental ingredient in a
137 number of high impact, mid-latitude weather environments, there is no synoptic-climatology of
138 these features nor any systematic study of the mechanism(s) by which the polar and subtropical
139 jets become vertically superposed. It is the goal of this paper to provide a synoptic-climatology.

140 The paper is organized as follows. Section 2 provides a description of the data sets and
141 methodology used to objectively identify the polar jet, subtropical jet, and locations where the
142 two are vertically superposed. Section 3 presents the results of a 51 year synoptic-climatology of
143 the frequency and distribution of each species of tropopause-level jet. The climatology of jet
144 superposition events is presented in Section 4. Finally, Section 5 discusses the results in the
145 context of other studies of jet stream climatology and offers final comments and conclusions,
146 along with suggestions for future work.

147

148 **2. Data and methodology**

149

² At some point in their respective evolutions, all of these cases were characterized by a two-step tropopause structure similar to that portrayed in Fig. 1d.

150 The climatology is constructed from 51 years of National Center for Environmental
151 Prediction – National Center for Atmospheric Research (NCEP-NCAR) reanalysis data, at 6
152 hour intervals, spanning the period 1 January 1960 to 31 December 2010. The NCEP-NCAR
153 reanalysis data are available at 17 isobaric levels (1000, 925, 850, 700, 600, 500, 400, 300, 250,
154 200, 150, 100, 70, 50, 30, 20, and 10 hPa) with a 2.5° latitude-longitude grid spacing (Kalnay et
155 al. 1996, Kistler et al. 2001). These data were bi-linearly interpolated onto isentropic surfaces at
156 5K intervals from 300K to 370K using programs within the General Meteorology Package
157 (GEMPAK) (desJardins et al. 1991).

158 In order to identify the polar, subtropical and superposed jet streams an automated,
159 objective identification scheme was developed whose criteria can be described with reference to
160 the features illustrated in Fig. 1. Figure 1a clearly portrays two distinct jets located off the west
161 coast of North America with the polar jet feature near the Oregon, Washington border and the
162 subtropical jet zonally oriented over Mexico. A vertical cross section taken through the polar and
163 subtropical jet cores (Fig. 1b) shows that the polar jet, located at approximately 300 hPa, is
164 largely contained within the 315-330K isentropic layer while the subtropical jet core, located at
165 approximately 200 hPa, occupies the 340-355K layer. Additionally, both the polar and the
166 subtropical jets lie at the low PV edge of the strong horizontal PV gradient that separates the
167 upper troposphere from the lower stratosphere within each respective isentropic layer. The PV
168 isertels are locally quite steep in the vicinity of the jet cores. In fact, considering the 2 PVU
169 contour as the dynamic tropopause, it is clear that the tropopause breaklines of DT57, which
170 portrayed the steep slope of the tropopause near the jet axes, are exactly equivalent to regions of
171 large $|\nabla_{\sigma} PV|$ in the 1-3 PVU channel, which represents the boundary between the stratosphere
172 and troposphere. Given these basic structural elements, the identification scheme evaluates

173 characteristics of the PV and wind speed distributions in each grid column. Within the 315-330K
174 (340-350K) layer, whenever the magnitude of the PV gradient within the 1-3 PVU channel
175 exceeds an empirically determined threshold value³ and the integrated wind speed in the 400-100
176 hPa layer exceeds 30 m s^{-1} , we identify a polar (subtropical) jet in that grid column.

177 Occasionally, the two jets superpose in the vertical, creating a hybrid of both the subtropical
178 and polar jets, as illustrated in Fig. 1c. A vertical cross-section taken through the jet core, as
179 shown in Fig. 1d, thus illustrates that the criteria for both the polar and subtropical jet are
180 identified in a single vertical grid column, identifying a superposed jet. Notice that, rather than
181 the three-step tropopause structure identified by DT57 and shown in Fig. 1b, a superposed jet is
182 characterized by a two-step tropopause structure with a steep tropopause break from the polar to
183 the tropical tropopause. This nearly vertical PV wall (from $\sim 550 \text{ hPa}$ to $\sim 150 \text{ hPa}$ in this case) is
184 a leading structural characteristic of these features.

185 The identification scheme is applied to each 6 h analysis time in the 51 year period to
186 objectively identify grid point locations of the subtropical jet, polar jet and jet superposition
187 events⁴. The identifications are then compiled to reveal the spatial and temporal distribution of
188 all three tropopause-level jet species. In addition, the speed and direction of the wind at 250hPa
189 is recorded for each grid column in which a jet superposition is identified.

³ The threshold value is $0.64 \times 10^{-5} \text{ PVU m}^{-1}$ ($0.64 \times 10^{-11} \text{ m K kg}^{-1} \text{ s}^{-1}$) for both the 315-330K and 340-350K layers. This value was determined by extensive analysis of vertical cross-sections through jets in order to determine the minimum value of $|\nabla_{\sigma} \text{PV}|$ required to reliably identify the deep tropopause wall characteristic of superposed jets. For each isentropic layer, the threshold value exceeds the 50th percentile for $|\nabla_{\sigma} \text{PV}|$ in grid columns located in the 1-3 PVU channel with integrated wind speed exceeding 30 m s^{-1} .

⁴ The total number of possible identifications for each grid point in each month of a given year is equal to the number of days in the month $\times 4$. (Example: For a given grid point, each January, with 31 days in the month, would have 124 possible identifications.)

190

191 **3. Analysis of jet distributions**

192

193 In this section the results of the objective identification of the polar and subtropical jet
194 species are presented as frequency distributions in both seasonal and monthly form. The analysis
195 begins by considering the frequency distributions for the polar jet.

196 *a. Polar Jet*

197 *i. Seasonal distribution*

198

199 During Northern Hemisphere autumn (SON), the polar jet is found most frequently over
200 the eastern portions of North America and the northern portions of the Atlantic Ocean (Fig. 2a).
201 In the Pacific basin the polar jet is distributed rather uniformly with a localized maxima located
202 south of Alaska. Notably, the polar jet is far less frequent over the eastern hemisphere than over
203 the western hemisphere. During the winter months, (DJF), the polar jet exhibits two prominent
204 frequency maxima (Fig. 2b). The first is a narrow, zonally oriented maximum in the western
205 Pacific. The less zonally-oriented maximum in the Atlantic basin extends from central North
206 America northeastward toward the British Isles. The polar jet frequency minimum over much of
207 Eurasia is even more pronounced in winter than in autumn (Fig. 2b). During spring (MAM), the
208 maximum shifts from the Atlantic to the Pacific where the distribution is remarkably uniform
209 across the entire basin (Fig. 2c). During summer (JJA), the polar jet nearly disappears with only
210 infrequent appearances at high latitudes in the northeast Pacific as well as portions of eastern
211 Canada and the north Atlantic (Fig. 2d).

212 *ii. Monthly distribution*

213

214 September is characterized by a hemispheric maxima located across the western Atlantic
215 basin and portions of low latitude Canada (Fig. 3a). Broad, low frequency bands characterize the
216 Pacific basin as well as portions of western and central Europe. An abrupt frequency increase
217 across the hemisphere characterizes October with particularly notable increases in frequency
218 occurring over the Pacific basin (Fig. 3b).

219 The month of October represents the annual polar jet maxima over the higher latitudes of
220 the Eurasian continent with a band of in excess of 5 times per month covering a large swath. The
221 November frequency distribution (Fig. 3c) is broadly similar to October's with a few subtle
222 differences. First, the October frequency maximum in Eurasia disappears. Second, the nearly
223 uniform frequency distribution across the Pacific basin in October transforms into separate
224 November maxima near Japan and south of Alaska. Finally, the axis of maximum polar jet
225 frequency continues its equatorward march in the Atlantic as the hemisphere cools.

226 In December narrow latitude bands of maximum frequency exist in the western portions
227 of both ocean basins (Fig. 3d). These bands broaden across the basin from west to east indicating
228 greater variability of the flow in the eastern portions of both basins. Also worthy of note is the
229 fact that the axis of greatest frequency in both basins shifts more dramatically equatorward from
230 November to December than at any other time of year.

231 January has a similar frequency distribution as December with a continued but less
232 dramatic shift equatorward in both basins (Fig. 3e). Interestingly, the polar jet remains much
233 more common in the Atlantic than in the Pacific basin although the west Pacific basin frequency
234 maxima continues to narrow and extend zonally. By February, the Atlantic (Pacific) frequency
235 maxima has decreased (increased) slightly with the only other notable change being a decrease in

236 polar jet frequency extending from the west coast of North America to the south central Plains of
237 the United States (Fig. 3f).

238 During the spring, the polar jet undergoes a significant transformation during each month
239 with March exhibiting a shift in the hemispheric frequency maxima from the Atlantic to the
240 Pacific (Fig. 3g). The frequency maxima in the Pacific (Atlantic) basin also increases (decreases)
241 during March. While barely noticeable in Fig. 3g, the polar jet shifts 2.5° north during March in
242 the western Pacific basin beginning its poleward migration north for the summer. By April the
243 polar jet has three local maxima straddling approximately 42.5°N in the Pacific with the largest
244 one still residing in the western portion of the basin (Fig. 3h). The Atlantic basin maxima - so
245 robust throughout late autumn and winter - is substantially reduced by April. During May the
246 polar jet continues to retreat to higher latitudes, as the maxima decline dramatically across the
247 hemisphere (Fig. 3i). The rapid poleward retreat and frequency reduction the polar jet undergoes
248 throughout the spring months (Figs. 3g-i) is more dramatic than the autumnal transformation
249 illustrated in Figs. 3a-c. Thus, it appears that the fall and spring transformations of the polar jet
250 are asymmetric.

251 During the summer months, the polar jet feature reaches an annual minimum as the pole
252 to equator temperature gradient is significantly reduced. The polar jet in June is both poleward
253 shifted and substantially less frequent hemispherically (Fig. 3j). The annual Northern
254 Hemisphere minimum in the polar jet frequency occurs in July when the polar jet is absent
255 everywhere except in the high north Atlantic and off the coast of the Pacific Northwest (Fig. 3k).
256 August has only slightly higher polar jet frequency at high latitudes of the Northern Hemisphere
257 (Fig. 3l) suggesting a near absence of the polar jet hemispherically at the height of Northern
258 Hemisphere summer.

259 *b. Subtropical Jet*

260 *i. Seasonal distribution*

261
262 During autumn, the subtropical jet is found most frequently over the western Pacific basin
263 with a broad, low frequency band that spirals poleward starting over north Africa, extending
264 across both hemispheres, before ending in the eastern Atlantic basin (Fig. 4a). During winter, the
265 subtropical jet reaches its annual frequency maximum across the hemisphere – a characteristic it
266 shares with the polar jet. However, compared to the wintertime polar jet frequency distribution,
267 the subtropical jet is more latitudinally restricted indicating that it is less variable than the polar
268 jet during the winter months⁵. As in autumn, the wintertime subtropical jet frequency maxima
269 spirals poleward from the central Atlantic, across north Africa, southern Eurasia, reaching its
270 hemispheric maxima in the western Pacific, ending poleward of where the distribution band
271 begins in the central Atlantic (Fig. 4b). During spring, the distribution has wider latitudinal
272 extent, but does not spiral poleward. Instead, the frequency maxima rather continuously straddles
273 the same latitude (~ 30°N) across the hemisphere (Fig. 4c). The springtime hemispheric maxima
274 again occurs in the western Pacific, as it does in all seasons. The latitudinal distribution of the
275 subtropical jet is even wider in summer and is displaced substantially poleward as compared to
276 the other seasons (Fig. 4d). The subtropical jet is much more common than the polar jet during
277 the summer months suggesting that the most common jet species involved in Northern
278 Hemisphere mid-latitude summertime weather is the subtropical jet not the polar jet.

279 *ii. Monthly distribution*

280
281 During the month of September, the subtropical jet is found in a wide latitude band in the
282 western Pacific basin with a frequency maxima over the northern Sea of Japan (Fig. 5a). The
283 wide band of low frequency distribution over North America and the north Atlantic testifies to

⁵ Figure 4 also makes clear that the subtropical jet is less variable during winter at any other time of year.

284 the variability of the subtropical jet pattern in these regions during September. The distribution in
285 October looks nearly identical though the Pacific maxima shifts slightly eastward and
286 equatorward (Fig. 5). By November the subtropical jet frequency maxima in the Pacific has
287 consolidated into a narrow latitudinal strip centered on Japan (Fig. 5c). Figures 5a-c demonstrate
288 that, during autumn, the subtropical jet shifts equatorward and becomes narrowly focused over
289 the west Pacific near $\sim 33^{\circ}\text{N}$.

290 By December, the axis of maximum subtropical jet frequency has expanded both
291 eastward and westward but remains fixed near 32.5°N while the maximum in frequency has
292 increased to greater than 25 times per month in some locations (Fig. 5d). January represents the
293 month of maximum subtropical jet frequency with a large swath of greater than 31 identifications
294 per month along the north coast of Japan (Fig. 5e). January is also the first month that exhibits a
295 thin band of greater than 7 identifications per month stretching westward from the southern
296 portion of Asia to North Africa. February has nearly the same hemispheric distribution as
297 January but with a small reduction in the west Pacific frequency maxima (Fig. 5f). Throughout
298 the winter months, the subtropical jet frequency maxima shifts westward (Figs. 5d-f). The fact
299 that the seasonal average frequency distribution (Fig. 4b) looks very much like that of the
300 individual winter months (Fig. 5d-f) indicates that the subtropical jet is strongly constrained
301 during winter.

302 Spring is a transition period for the subtropical jet distribution, as it was for the polar jet.
303 The distribution in March is quite similar to the distributions in the preceding winter months
304 albeit with reduced frequencies (Fig. 5g). The distribution in April is a bit of an outlier as the
305 west Pacific frequency maxima nearly disappears amid a notable decrease in frequency globally
306 (Fig. 5h). By May, on the other hand, the west Pacific frequency maxima is reinvigorated while

307 shifted to slightly higher latitudes (Fig. 5i). The latitudinal band of maximum frequency is also
308 wider as compared to March and the winter months suggesting enhanced variability in the west
309 Pacific subtropical jet during late spring.

310 June exhibits a maximum in the western Pacific basin and a distribution, from North
311 Africa to the north Atlantic, that spirals toward the pole (Fig. 5j). Compared to May (Fig. 5i) the
312 hemispheric distribution in June is clearly displaced poleward – a trend that continues through
313 the summer months. By July, the western Pacific maximum is no longer evident, but is replaced
314 by an elongated and moderate frequency maxima that stretches westward from the dateline to the
315 central portion of Eurasia. A local maxima is also present in the western Atlantic near the
316 northeast coast of North America (Fig. 5k). The subtropical jet distribution in August is
317 characterized by a broad band of lower frequency across the hemisphere, with isolated small
318 maxima found across Eurasia, the western Pacific, and eastern North America (Fig. 5l).

319

320 **4. Distribution of jet superpositions**

321

322 As described previously, a jet superposition (alternatively, a *superposed jet*) occurs when
323 both the polar jet and subtropical jet are identified in the same vertical grid column. In this
324 section the frequency distribution of such structures is presented.

325 *a. Seasonal distribution*

326 During the autumn, jet superposition events exhibit a broad distribution with relatively
327 low frequency across both the Atlantic and Pacific Ocean basins (Fig. 6a)⁶. Winter is
328 dramatically different than autumn, as a hemispheric maximum in jet superposition occurs in the

⁶ Note that the number of identifications each month is on the order of 2-4% of those of the individual jet species, testifying to the relative rarity of jet superposition.

329 western Pacific basin; straddling the latitudes of maximum frequency of the polar and
330 subtropical jets (Fig. 6b). Local maxima of low frequency are also present over southern North
331 America with a more limited maxima over North Africa. By spring a drastic decline in the
332 number of superposition events is evident across the hemisphere, especially in the western
333 Pacific basin (Fig. 6c). During the summer months, superposition events become exceptionally
334 infrequent with the annual minimum occurring during the month of July (not shown) coincident
335 with the near disappearance of the polar jet in summer months.

336 *b. Monthly distribution*

337
338 September superposition events are most common in the western Pacific basin and in the
339 north Atlantic (Fig. 7a). The two ocean basins are characterized by frequency maxima as a result
340 of the proximity of the polar jet (Fig. 3a) to the subtropical jet (Fig. 5a) in these locations. By
341 October, both frequency maxima have expanded in areal extent – the Pacific maxima to the east
342 and the Atlantic maxima to the southwest (Fig. 7b). In November the Pacific distribution narrows
343 latitudinally while the north Atlantic frequency maxima has retracted to the southwest, west of
344 the British Isles (Fig. 7c).

345 By December, the local maximum in jet superposition events in the western Pacific first
346 presents itself (Fig. 7d). This dramatic increase results from an increased frequency, as well as a
347 decreased variability, of both the subtropical and polar jets in this region at this time of year. In
348 fact, in December the axis of maximum frequency of the two jet species are typically separated
349 by only a few degrees of latitude in the west Pacific. The west Pacific frequency maxima reaches
350 its annual peak just east of Japan in January (Fig. 7e). This increased frequency appears related to
351 an increased frequency of the subtropical jet. Despite a coherent increase in the polar jet
352 frequency, the frequency of jet superpositions in the west Pacific decreases in February (Fig. 7f).

353 In fact, despite the frequency and close proximity of the polar and subtropical jets during west
354 Pacific winter, evident through a comparison of Figs 3d-f and Figs. 5d-f, vertical superposition
355 of the two species remains a rare event.

356 Despite the annual maximum in Pacific basin polar jet frequency that characterizes
357 March (Fig. 3g), the number of jet superpositions significantly decreases there in the same month
358 (Fig. 7g). This decrease in frequency is likely tied to the corresponding decrease in the
359 subtropical jet frequency (Fig. 5g). In April, a nearly complete absence of jet superpositions is
360 observed throughout the hemisphere (Fig. 7h), seemingly related to the poleward march of the
361 increasingly variable polar jet (Fig. 3h), coupled with a rapid decrease in the frequency of the
362 subtropical jet, especially in the west Pacific (Fig. 5h). During May, the frequency of west
363 Pacific jet superpositions recovers in areal extent but is characterized by low frequency in the
364 basin (Fig. 7i). Though not shown, jet superpositions continue to decrease in frequency, with a
365 seasonal minimum occurring during the month of July, corresponding to the summer minimum
366 of the polar jet (Fig. 3k).

367 *c. Additional characteristics of superposed jets*

368 In order to further characterize the nature of superposed jets, for each event identified in
369 the 51 year climatology we examined the wind direction and speed at 250 hPa for the months of
370 September-April. The average 250 hPa wind speed associated with all superpositions observed
371 during September is 68.5 m s^{-1} while the most common wind direction associated with
372 September superpositions is southwesterly, with SSW, WSW, and W accounting for a significant
373 portion of the total number of September superpositions (Fig. 8a). The average wind speed
374 increases to 71.9 m s^{-1} during October as WSW becomes the predominant direction with W and
375 SW also well represented in the distribution (Fig. 8b). By November, the primary wind direction

376 characterizing jet superpositions becomes more solidly WSW while the average wind speed
377 increases to 77.0 m s^{-1} (Fig. 8c). December has nearly as many WSW as W jet superpositions
378 which are accompanied by an increase in the average wind speed to 83.5 m s^{-1} (Fig. 8d). The
379 primary wind direction for January jet superpositions veers back to westerly as over half of the
380 superpositions in January are associated with a west wind (Fig. 8e). The average wind speed also
381 continues to increase reaching 90.1 m s^{-1} by this time. These observations make clear that
382 superposed jets are some of the strongest jets found in the hemisphere. The speed and direction
383 characteristics of February are nearly identical to January's with over half of superpositions
384 associated with a westerly wind, while the average wind speed increases fractionally to
385 90.3 m s^{-1} (Fig. 8f). As spring arrives in the Northern Hemisphere the average wind speed of jet
386 superpositions decreases to 83.0 m s^{-1} in March (Fig. 8g). The wind direction also begins to back
387 as WSW is, once again, established as the most frequent wind direction. By April, the average
388 wind speed decreases to 66.2 m s^{-1} , a nearly 24 m s^{-1} decrease in just two months (Fig. 8h).
389 These data illustrate an asymmetry in the annual evolution of superposed jets as the vernal
390 decrease in average wind speed is considerably more rapid than the autumnal increase.

391 *d. Comparison to DJF mean zonal wind*

392 The Northern Hemisphere tropopause-level flow is often considered from the perspective
393 of the zonal mean wind at some upper tropospheric isobaric level. Though this perspective is
394 analytically simple, it fails to account for the more complicated distribution of the polar and
395 subtropical jets revealed by the preceding analysis. Figure 9 illustrates aspects of the obfuscation
396 engendered by this popular approach. The wintertime polar jet frequency maxima lie on the
397 poleward edge of the 250 hPa seasonal mean zonal wind around the entire Hemisphere (Fig. 9a).
398 In addition, the portions of the eastern Pacific and North Atlantic where the 250 hPa zonal mean

399 wind fails to reach 30 m s^{-1} and yet the polar jet is found with regularity, suggests that the polar
400 jet is highly variable in those regions. The subtropical jet frequency maxima, on the other hand,
401 are found in the core of the average zonal wind isotachs from North Africa eastward to the
402 central Pacific (Fig. 9b) suggesting a prominent role for the subtropical jet in the annual
403 tropopause-level wind climatology over this vast area. Over North America, however, the
404 subtropical jet is found on the equatorward edge of the average 250 hPa zonal wind, suggesting
405 that the average zonal wind in this region is nearly equally composed of polar jet and subtropical
406 jet components. The jet superposition frequency maximum in the Pacific is displaced eastward
407 and slightly poleward of the zonal wind maximum there. Whether this distribution suggests that
408 superposition events in the west Pacific preferentially result from equatorward excursions of the
409 polar jet at the entrance to the Pacific storm track is a subject for future inquiry. Similarly
410 intriguing is the fact that the superposition maximum in the Atlantic is nearly coincident with the
411 local zonal wind maximum (Fig. 9c).

412 **5 - Summary and Discussion**

413 Jet streams or jets, defined as narrow, rapidly flowing currents of air located near the
414 tropopause, often play a significant role in sensible weather in the mid-latitudes. Two species of
415 jets have been identified in prior studies, the polar jet and the subtropical jet. The dynamics and
416 characteristics of these two separate jet species were first considered in several studies
417 undertaken in the 1940s and 50s. Namias and Clapp (1949) first discussed the tropospheric jet
418 stream from the perspective of confluence, which drives horizontal frontogenesis. In addition,
419 they made observations about the presence of the polar front, tropopause breaks, and suggested
420 that the jets were weaker and further poleward during summer than winter. Yeh (1950),
421 Koteswaram (1954), and Krishnamurti (1961) examined the tropospheric circulations over India

422 and China, particularly during the winter months, and made the first discoveries of the west
423 Pacific subtropical jet.

424 Some of the most significant findings regarding the large scale distribution of Northern
425 Hemisphere jet streams were advanced by DT57, when they first published horizontal maps of
426 tropopause heights. Since its introduction in 1957, the only amendment to DT57's conception of
427 a three step tropopause structure came from Shapiro (1987), who suggested the addition of the
428 arctic jet and arctic tropopause step. Riehl (1962) appears to have been the first to suggest that
429 the polar and subtropical jets were found within close proximity to each other in the west Pacific
430 (Riehl 1962 Fig. 1.2), a suggestion supported by the analysis presented in this paper.

431 In their examination of the distribution of Northern Hemisphere jet streams, Koch et al.
432 (2006) used an integrated wind speed threshold to identify the jet streams. They further
433 subdivided their jet identification into two subcategories; those jet features with shallow
434 baroclinicity were classified as subtropical jets while those with deep baroclinicity were
435 classified as polar jets. Broadly speaking, the results of their shallow baroclinicity classification
436 correlate well with the findings presented in our work (their Fig. 6 compared to our Fig. 4).
437 When the deep baroclinicity classification is compared however, significant differences exist
438 (their Fig. 7 and our Fig. 2). First, their winter maximum in the deep baroclinicity (polar) jet in
439 the Atlantic is less expansive than the Atlantic polar jet frequency maximum reported in the
440 present analysis. Second, the Pacific basin is significantly different, with two maxima present in
441 the Koch et al. analysis while, a single latitude band maxima is present in our analysis (Fig. 2b).
442 Finally, our analysis for spring indicates a local maxima in the western Pacific basin (Fig. 2c),
443 while Koch et al. show a polar jet maximum in the central Pacific. Summer and autumn are
444 broadly consistent between the two climatologies.

445 Unfortunately, the Koch et al. (2006) classification scheme is not amenable to the
446 identification of jet superposition events. The identification scheme introduced in this paper,
447 which takes into account an integrated wind speed as well as a PV gradient threshold within
448 specified isentropic layers, allows each jet type to be identified separately and so also allows
449 identification of jet superpositions.

450 With respect to jet superpositions, only in the western Pacific basin during winter do they
451 occur with regularity (Fig. 6b). Interestingly, despite the proximity of the polar jet (Fig. 2b) and
452 the subtropical jet (Fig. 4b) in the Pacific, jet superpositions are still relatively rare. The analysis
453 presented here finds that jet superpositions exhibit a broad, low frequency distribution over both
454 ocean basins during autumn (Fig. 6a), and that they are nearly absent during the Northern
455 Hemisphere summer, coincident with the absence of the polar jet over most of the hemisphere.

456 The average wind speed at 250 hPa associated with jet superpositions steadily increases
457 during fall to a wintertime maximum of 90.3 m s^{-1} during February. The average wind speed
458 associated with these features decreases more rapidly during spring than it increases during fall,
459 illustrating an asymmetric distribution of wind speed tendency associated with the annual cycle
460 of jet superpositions. A possible explanation for this asymmetry is the unique presence of
461 tropical cyclones in the autumn. Tropical cyclones (TCs) are associated with a positive
462 tropopause θ anomaly directly above the storm itself as well as in its vast outflow area. TCs can
463 migrate to the mid-latitudes while maintaining such large-scale thermal signatures. Since the
464 subtropical jet is tied to the region of large subtropical tropopause slope (i.e. large $\nabla\theta$ on the
465 subtropical dynamic tropopause), the poleward recurving of TCs offers a number of
466 opportunities for jet superposition that are unavailable in the TC-free spring.

467 The analysis and methodology presented in this paper provide a framework for objective
468 identification of the tropopause-level polar and subtropical jets. Without a means to separately
469 identify these two species, studies of the polar jet, sometimes difficult to distinguish from the
470 subtropical westerlies (Barnes and Polvani, 2013) have been done by proxy. Recent emphasis on
471 the “eddy-driven jet” (Hartmann 2007, Woollings et al. 2010, Barnes and Polvani 2013), defined
472 in the lower troposphere (below the 700 hPa level), is one example. Despite the physical insights
473 garnered by such studies, it is clear that use of the eddy-driven jet as a proxy for describing the
474 polar jet inadequately accounts for the polar jet structure or its important dynamics. For instance,
475 the eddy-driven jet perspective precludes consideration of the tropopause break identified by
476 DT57 as a characteristic of the polar jet. Additionally, geostrophic cold air advection along the
477 polar jet often results in downward extrusion of stratospheric PV into the upper troposphere
478 above 700 hPa (Shapiro 1981, Keyser and Pecnick 1985, Martin 2014), leading to the production
479 of mid-tropospheric features that are ultimately responsible for the development of surface
480 cyclones. Such important structural and dynamical characteristics of polar jet life cycles are
481 inscrutable from the alternative eddy-driven jet perspective.

482 Previous work has found that, directly or indirectly, jet superposition structures are
483 associated with high impact weather events. The first such example, described by Defant (1959),
484 involved a jet superposition that was a component of a large scale environment associated with a
485 north Atlantic surface cyclogenesis event in which the SLP minimum deepened 61 hPa in 20 h.
486 Other work has focused on PV streamers and their presence in association with extreme
487 precipitation events over western Europe (Massacand et al. 1998, 2001, Martius et al 2006, etc).
488 In addition, floods in northwest Africa (Knippertz and Martin 2005, 2007a,b), atmospheric rivers

489 (Zhu and Newell 1998), and other explosive cyclogenesis events such as the Cleveland
490 Superbomb (Hoskins and Berrisford 1988) all seem to be associated with jet superpositions.

491 More recently, Winters and Martin (2014) investigated the role that a jet superposition
492 played in the May 2010 Nashville flood event. They found that the poleward moisture flux
493 accomplished by the secondary ageostrophic circulation associated with the upper troposphere
494 jet structure increased 120% following superposition of the polar and subtropical jets. This
495 increased moisture flux acted to further enhance the precipitation on the second day of the event,
496 leading to catastrophic flooding in Nashville and other portions of Tennessee. The 25-28 April
497 2011 severe weather outbreak in central North America is another example from a growing list
498 of high impact weather events associated with jet superpositions (Christenson and Martin 2012
499 and Knupp et al. 2013).

500 The work presented in this study motivates a number of additional research questions.
501 One such question regards the frequency distributions of the polar, subtropical, and jet
502 superpositions in the Southern Hemisphere. Additionally, given that in the western Pacific the
503 polar and subtropical jets are frequently in very close proximity to one another during the winter
504 (as first suggested by Riehl (1962)), why is vertical superposition still so rare? As suggested by
505 Winters and Martin (2014), consideration of the polar and subtropical jets as related to separate
506 PV perturbations on the tropopause affords some insights into this problem (Fig. 10). Each PV
507 perturbation has a cyclonic circulation associated with it that extends above and below the level
508 of the anomaly. In the space between the two anomalies there is destructive interference between
509 the circulations associated with the two PV anomalies. This interference results in a wind speed
510 minima in that overlapping region that may act as a natural barrier to superposition. Winters and
511 Martin (2013) hypothesize that a combination of convective heating equatorward of the

512 subtropical jet and internal jet dynamics (e.g. geostrophic shearing deformation) may work in
513 concert to eliminate this barrier to jet superpositions. The convective heating can erode the PV
514 equatorward of the subtropical jet serving to either steepen the tropopause locally (as was the
515 case in the west Pacific in April 2011) or displace the tropical/subtropical tropopause break
516 poleward as appears to have been the case during the evolution of the superposed jet that
517 characterized the Nashville Flood case (Winters and Martin 2013). Internal jet dynamics may be
518 manifest as geostrophic warm air advection in cyclonic shear along the subtropical jet (Lang and
519 Martin 2013) which is associated with ascent through the subtropical jet core and subsidence to
520 its poleward side as illustrated in Fig. 10.

521 Additional future work, with implications for understanding changes in the general
522 circulation in a warmer climate, will apply our identification scheme to the output from selected
523 CMIP5 simulations. As the evidence for Arctic amplification increases and the pole-to-
524 equatorward temperature gradient relaxes in the lower troposphere, it is plausible that a warmer
525 planet will be characterized by a hemispheric reduction of the polar jet. However, given the
526 moist neutrality of the tropical atmosphere, any warming of the surface will be reflected by
527 larger warming aloft. Therefore it is not inconceivable that a warmer planet will feature
528 enhanced baroclinicity in the tropical/subtropical upper troposphere – lower stratosphere
529 (UTLS), thus supporting a stronger subtropical jet.

530 Finally, the interaction between, and superposition of, the polar and subtropical jets –
531 which has served as the focus of this paper – represents perhaps one of the most conspicuous and
532 synoptic-scale manifestations of tropical/extratropical interaction. To the extent that the
533 frequency and distribution of these features, along with the synoptic and mesoscale dynamics

534 associated with them, are better understood, so will be the diagnoses and prognoses of weather
535 systems in both the current and future climate.

536

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698
699

LIST OF FIGURES

701 **Figure 1:** (a) 300 hPa isotachs (shaded every 10 m s^{-1} starting at 30 m s^{-1}) at 0000 UTC 27 April
 702 2010 depicting separate polar and subtropical jets. (b) Cross section along A-A' in Fig. 1a. Solid
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 704 isentropes contoured every 5K. Red solid lines are isotachs labeled in m s^{-1} and contoured every
 705 10 m s^{-1} starting at 30 m s^{-1} . The jet cores are shaded yellow and the 315-330K and 340-355K
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711

712 **Figure 2:** (a) Average frequency of Northern Hemisphere polar jet identifications during the
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716

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721

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725

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730

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735

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739

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741 month period September-November over the period 1960-2010. (b) As in Fig. 6a but for the
742 months of December-February. (c) As in Fig. 6a but for the months of March-May.

743

744 **Figure 7:** (a) Average frequency of Northern Hemisphere jet superpositions during the month of
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746 November. (d) As in Fig. 7a but for December. (e) As in Fig. 7a but for January. (f) As in Fig. 7a
747 but for February.

748

749 **Figure 7 (continued):** (g) As in Fig. 7a but for March. (h) As in Fig. 7a but for April. (i) As in
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751

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753 superposition identified during the month of September over the period 1960-2010. Average
754 wind speed for each jet superposition in m s^{-1} shown in blue on bar graph. (b) As in Fig. 8a but
755 for but for October. (c) As in Fig. 8a but for but for November. (d) As in Fig. 8a but for but for
756 December.

757

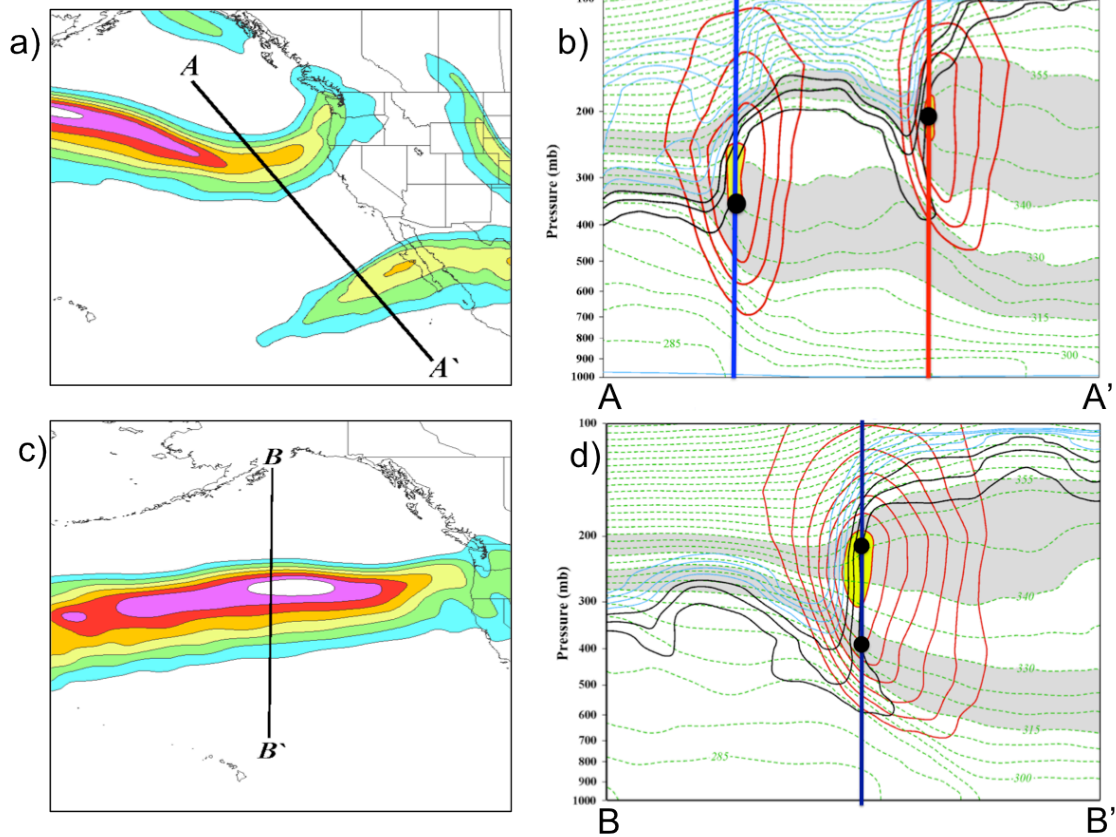
758 **Figure 8 (continued):** (e) As in Fig. 8a but for but for January. (f) As in Fig. 8a but for but for
759 February. (g) As in Fig. 8a but for but for March. (h) As in Fig. 8a but for but for April.

760

761 **Figure 9:** (a) DJF seasonal average frequency of polar jet identification (fill) and isotachs of
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763 period 1960-2010. (b) As in Fig. 9a but with fill indicating subtropical jet identifications. (c) As
764 in Fig. 9a but with fill indicating jet superposition identifications.

765

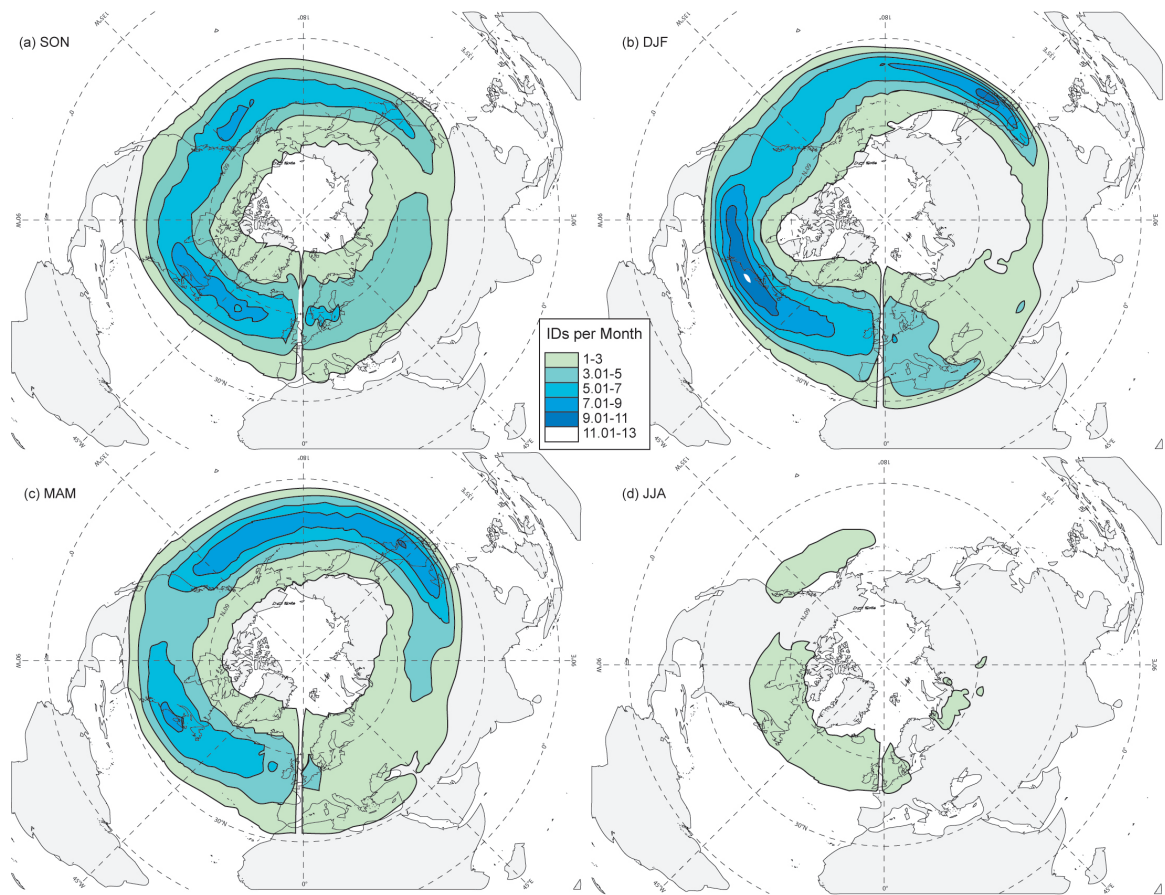
766 **Figure 10:** Schematic vertical cross section illustrating the dynamical processes that may
767 facilitate a superposition of the polar (PJ) and subtropical (STJ) jet. Each jet is associated with a
768 tropopause level positive PV perturbation (signified by the + signs). Corresponding circulations
769 at and below each perturbation are indicated by a circled x or ●. Solid black line is the 1.5 PVU
770 isosurface with the lower stratosphere shaded gray. See text for explanation. (Adapted from
771 Winters and Martin 2014).



772

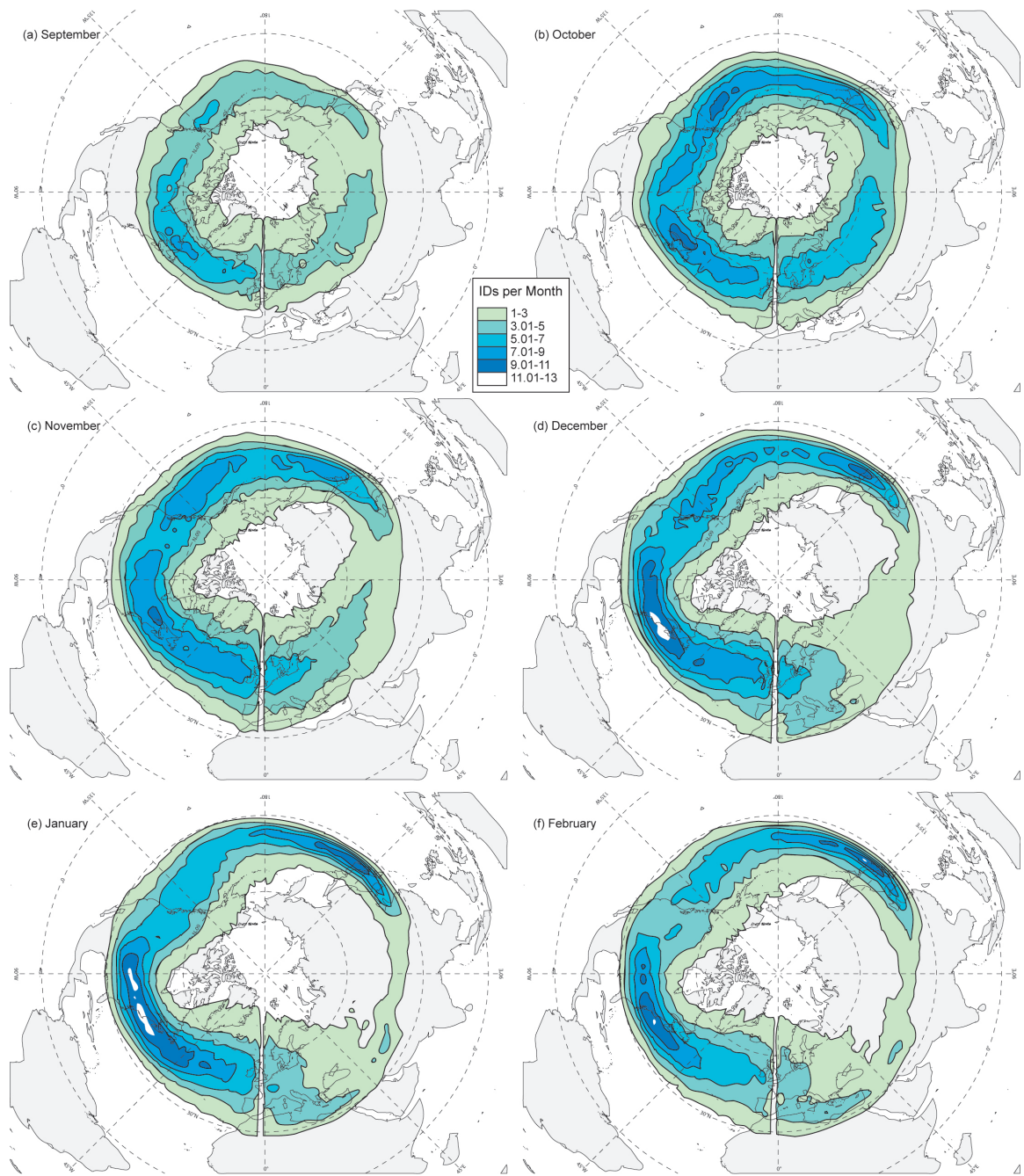
773 **Figure 1:** (a) 300 hPa isotachs (shaded every 10 m s^{-1} starting at 30 m s^{-1}) at 0000 UTC 27 April
 774 2010 depicting separate polar and subtropical jets. (b) Cross section along A-A' in Fig. 1a. Solid
 775 black (blue) lines are isertels of 1, 2, 3 (4-9) PVU ($1 \text{ PVU} = 10^{-6} \text{ K m}^2 \text{ kg}^{-1} \text{ s}^{-1}$). Dashed lines are
 776 isentropes contoured every 5K. Red solid lines are isotachs labeled in m s^{-1} and contoured every
 777 10 m s^{-1} starting at 30 m s^{-1} . The jet cores are shaded yellow and the 315-330K and 340-355K
 778 isentropic layers, used to identify the location of the jets, are shaded gray. The blue (red) line
 779 corresponds to a grid column in which the black dot confirms a polar (subtropical) jet
 780 identification. (c) As in (a) but at 0000 UTC 24 October 2010. (d) As in (b) but along the cross
 781 section B-B' in Fig. 1c. The blue line corresponds to a grid column in which a jet superposition
 782 (i.e. a polar and subtropical jet in the same column) is identified.

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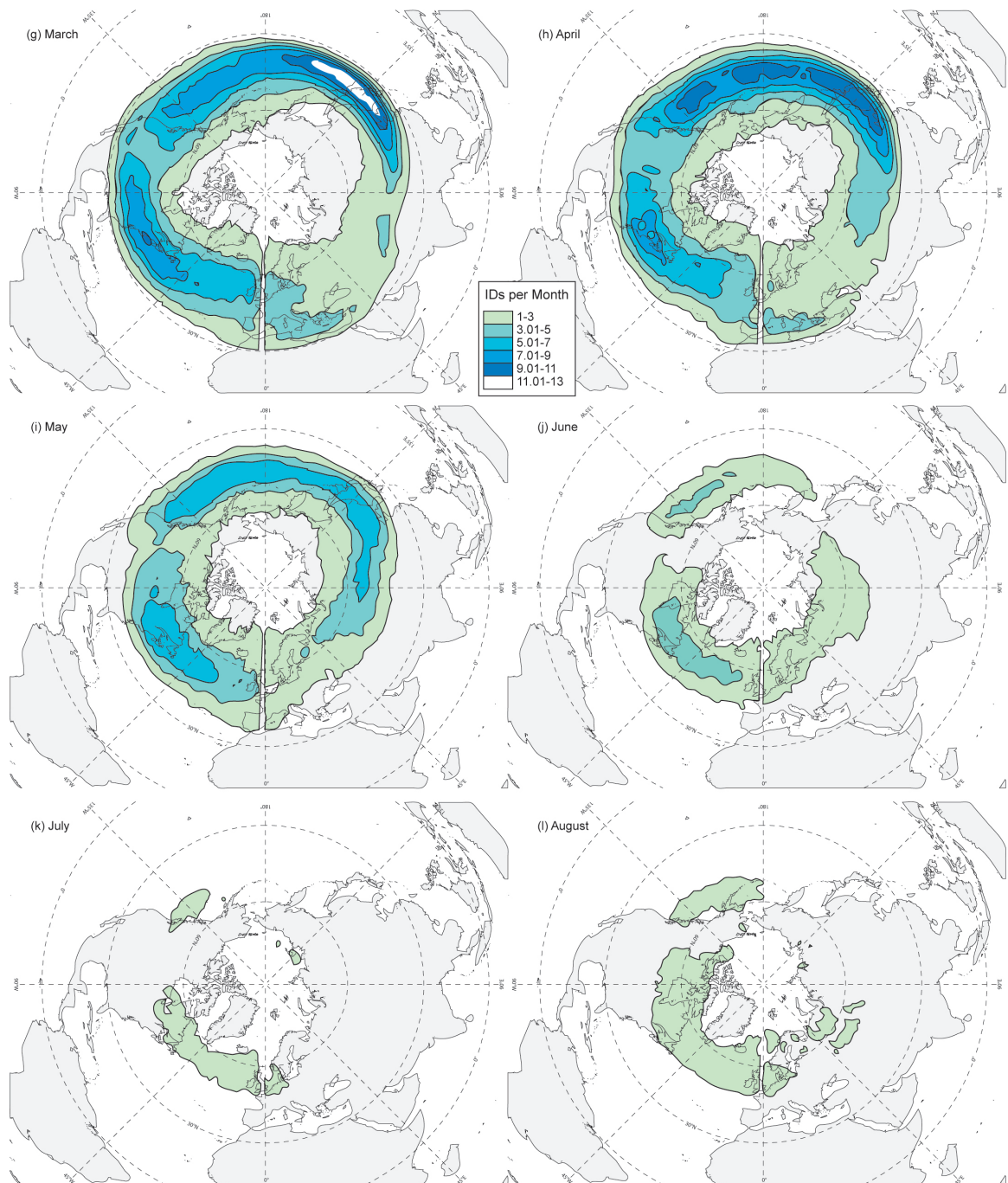
784

785 **Figure 2:** (a) Average frequency of Northern Hemisphere polar jet identifications during the
 786 three month period September-November over the period 1960-2010. (b) As in Fig. 2a but for
 787 the months of December-February. (c) As in Fig. 2a but for the months of March-May. (d) As in
 788 Fig. 2a but for the months of June-August.

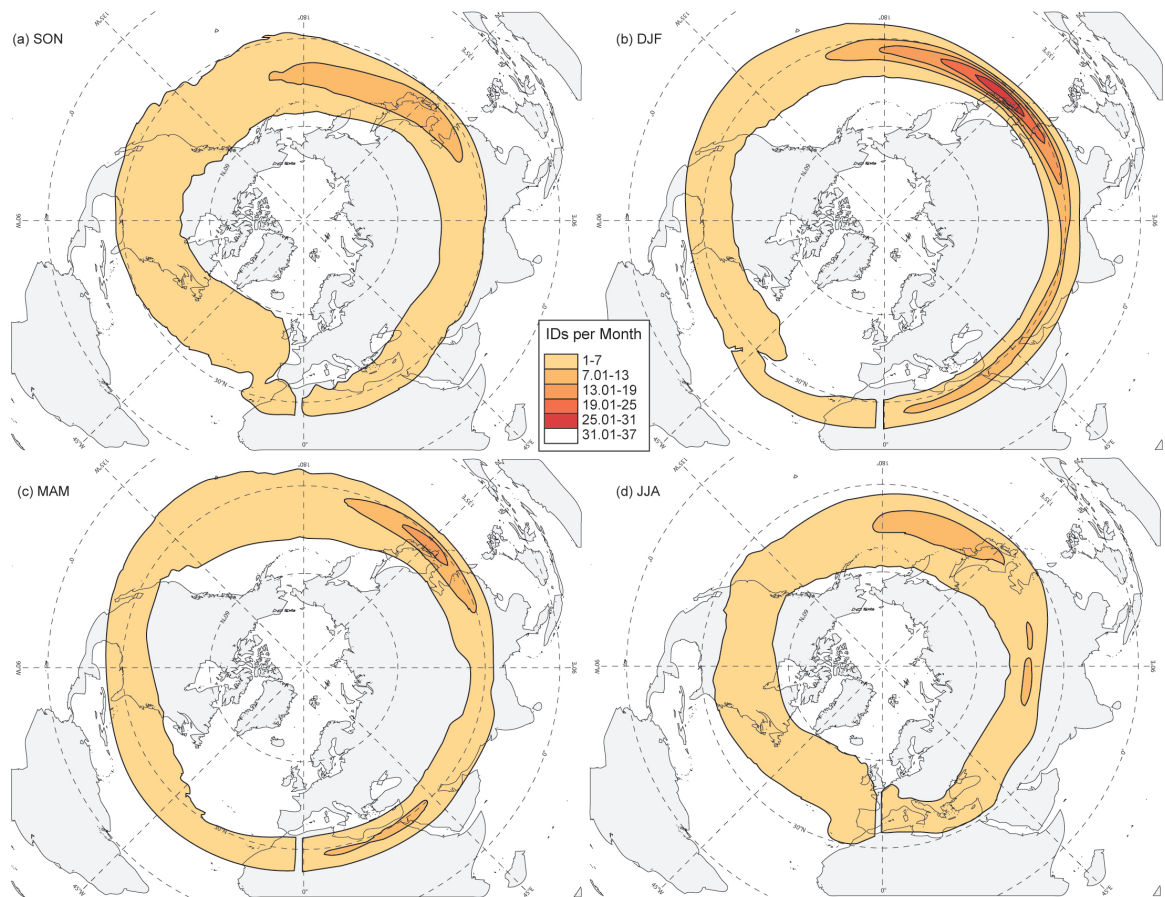


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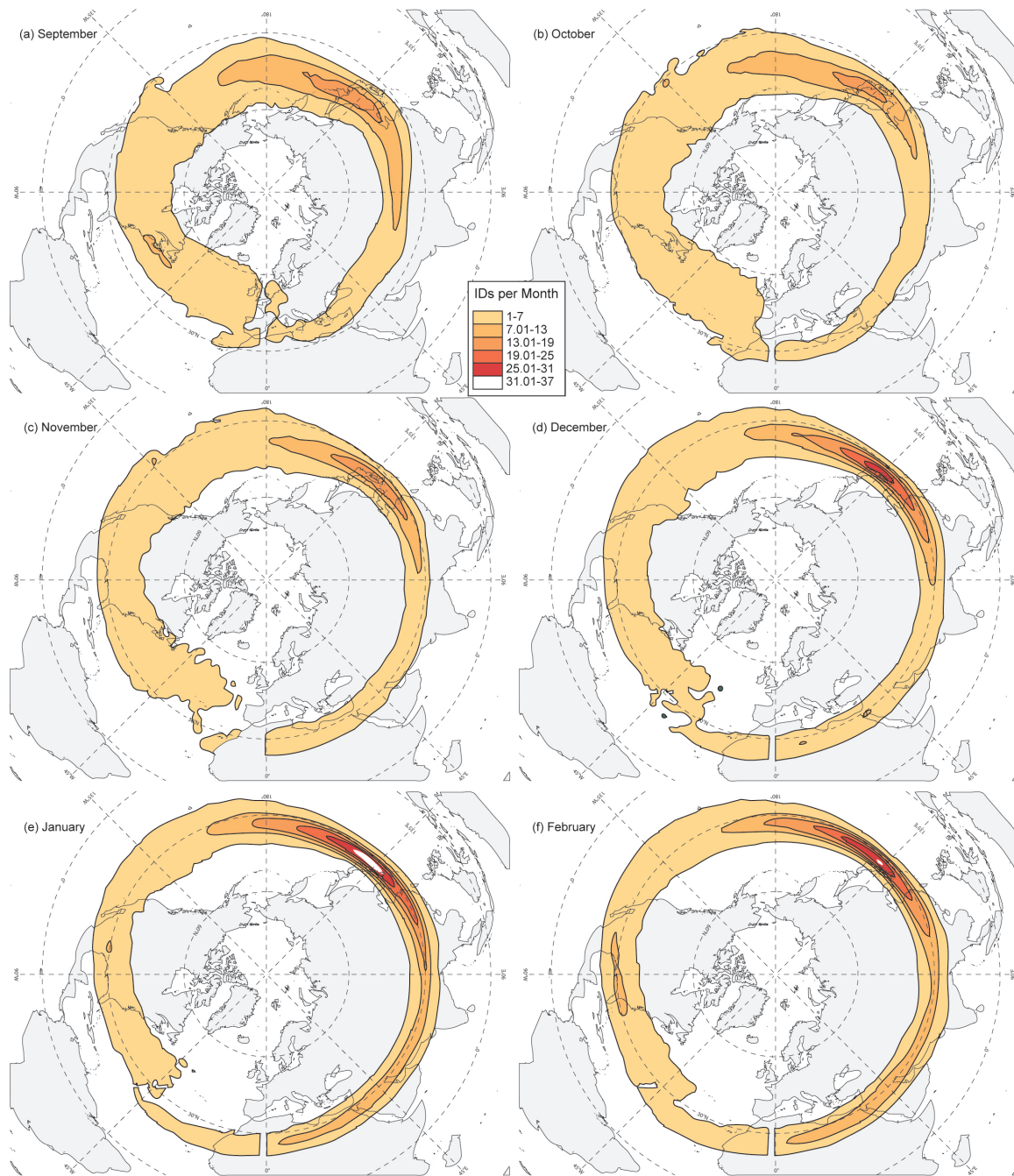
790 **Figure 3:** (a) Average frequency of Northern Hemisphere polar jet identifications during the
 791 month of September over the period 1960-2010. (b) As in Fig. 3a but for October. (c) As in Fig.
 792 3a but for November. (d) As in Fig. 3a but for December. (e) As in Fig. 3a but for January. (f) As
 793 in Fig. 3a but for February.



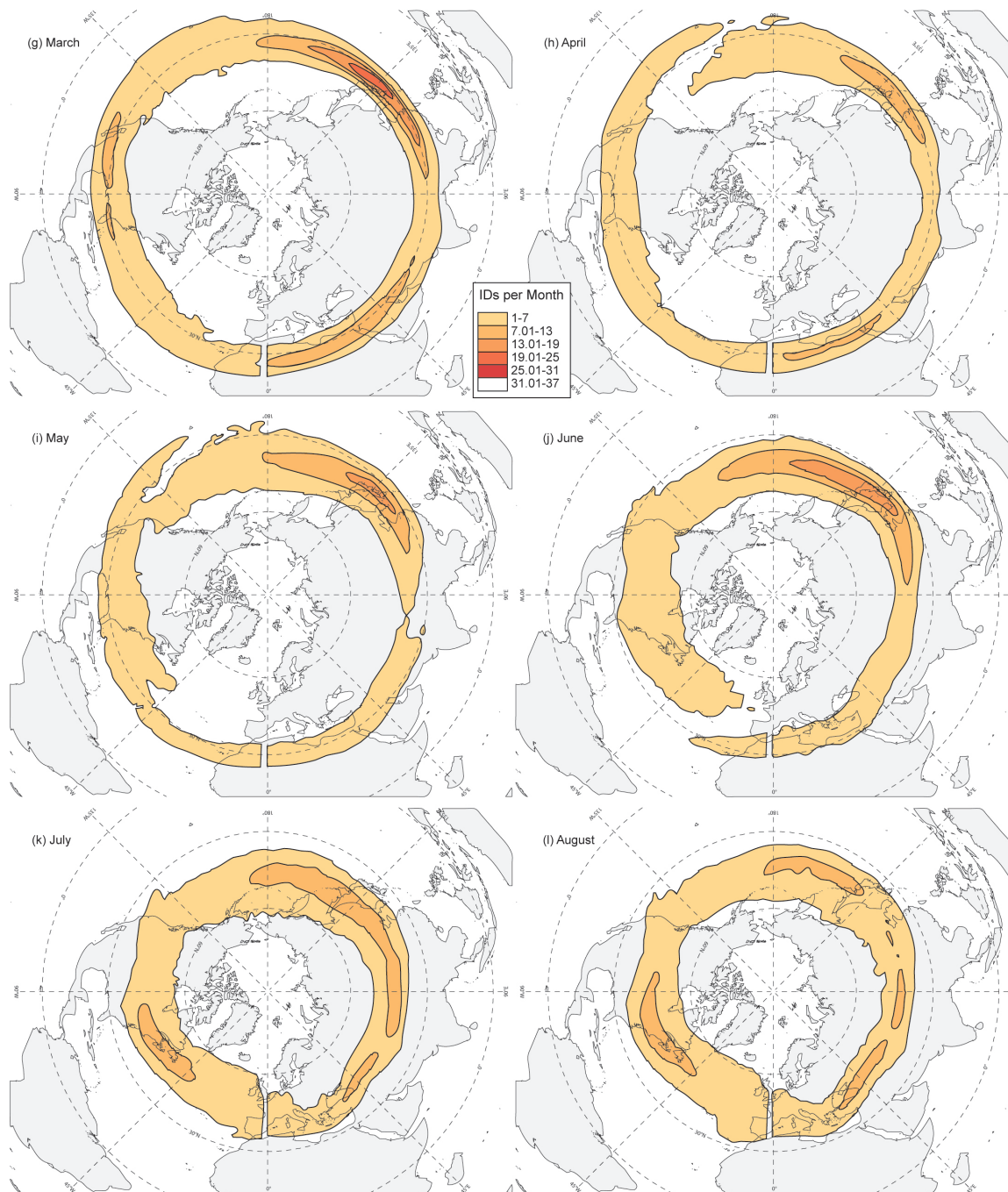
794
 795 **Figure 3 (continued):** (g) As in Fig. 3a but for March. (h) As in Fig. 3a but for April. (i) As in
 796 Fig. 3a but for May. (j) As in Fig. 3a but for June. (k) As in Fig. 3a but for July. (l) As in Fig. 3a
 797 but for August.



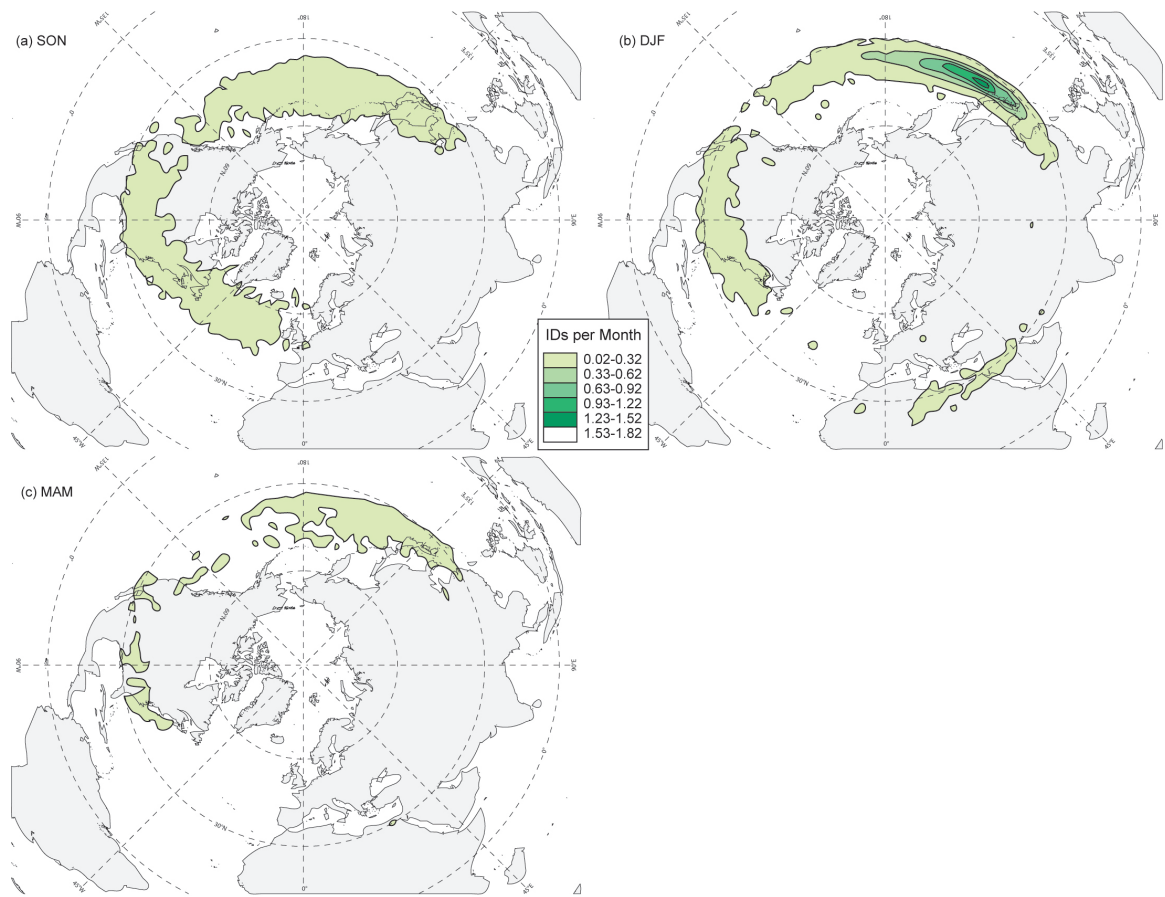
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 799 **Figure 4:** (a) Average frequency of Northern Hemisphere subtropical jet identifications during
 800 the three month period September-November over the period 1960-2010. (b) As in Fig. 4a but
 801 for the months of December-February. (c) As in Fig. 4a but for the months of March-May. (d) As
 802 in Fig. 4a but for the months of June-August.
 803



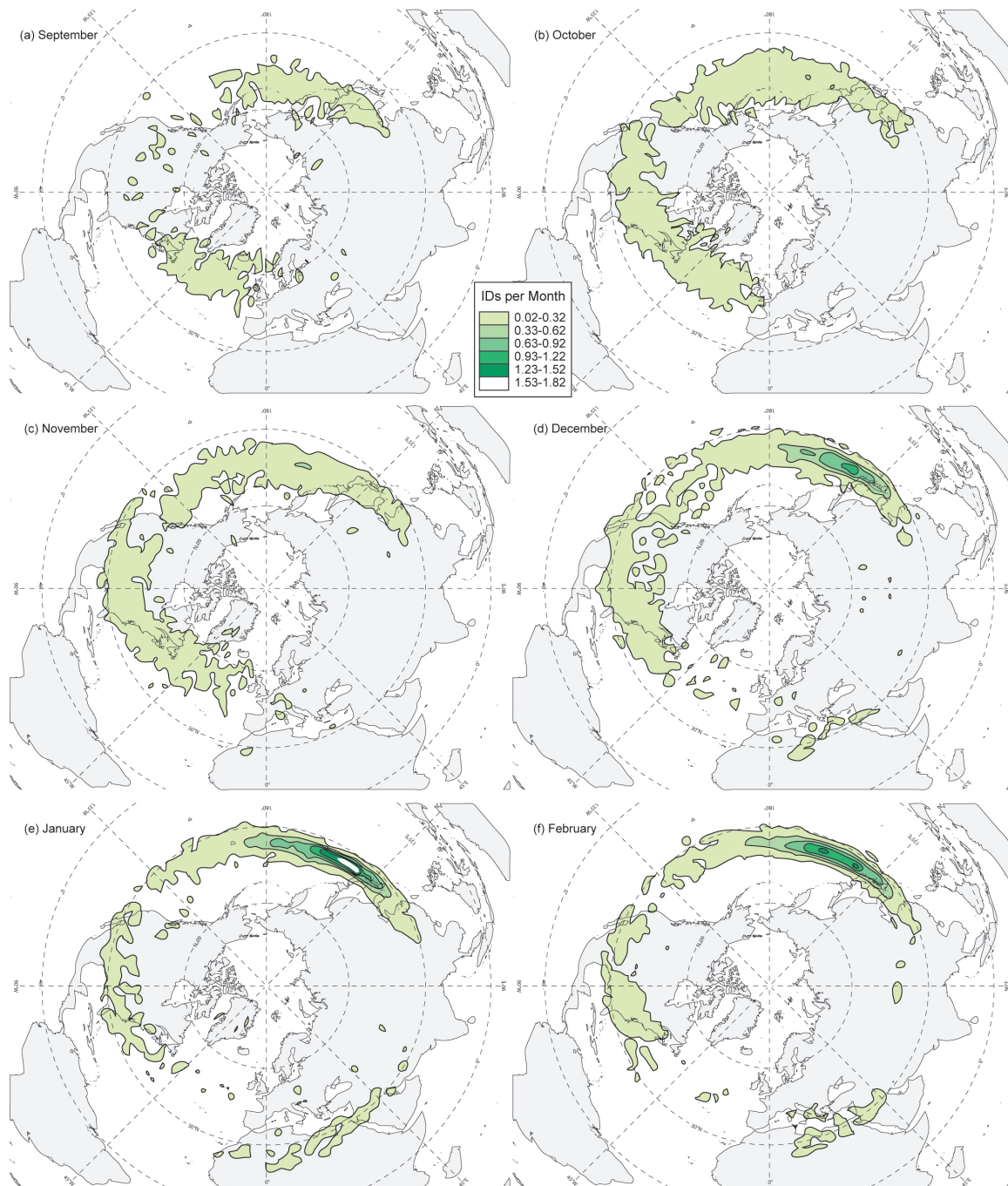
804
 805 **Figure 5:** (a) Average frequency of Northern Hemisphere subtropical jet identifications during
 806 the month of September over the period 1960-2010. (b) As in Fig. 5a but for October. (c) As in
 807 Fig. 5a but for November. (d) As in Fig. 5a but for December. (e) As in Fig. 5a but for January.
 808 (f) As in Fig. 5a but for February.
 809



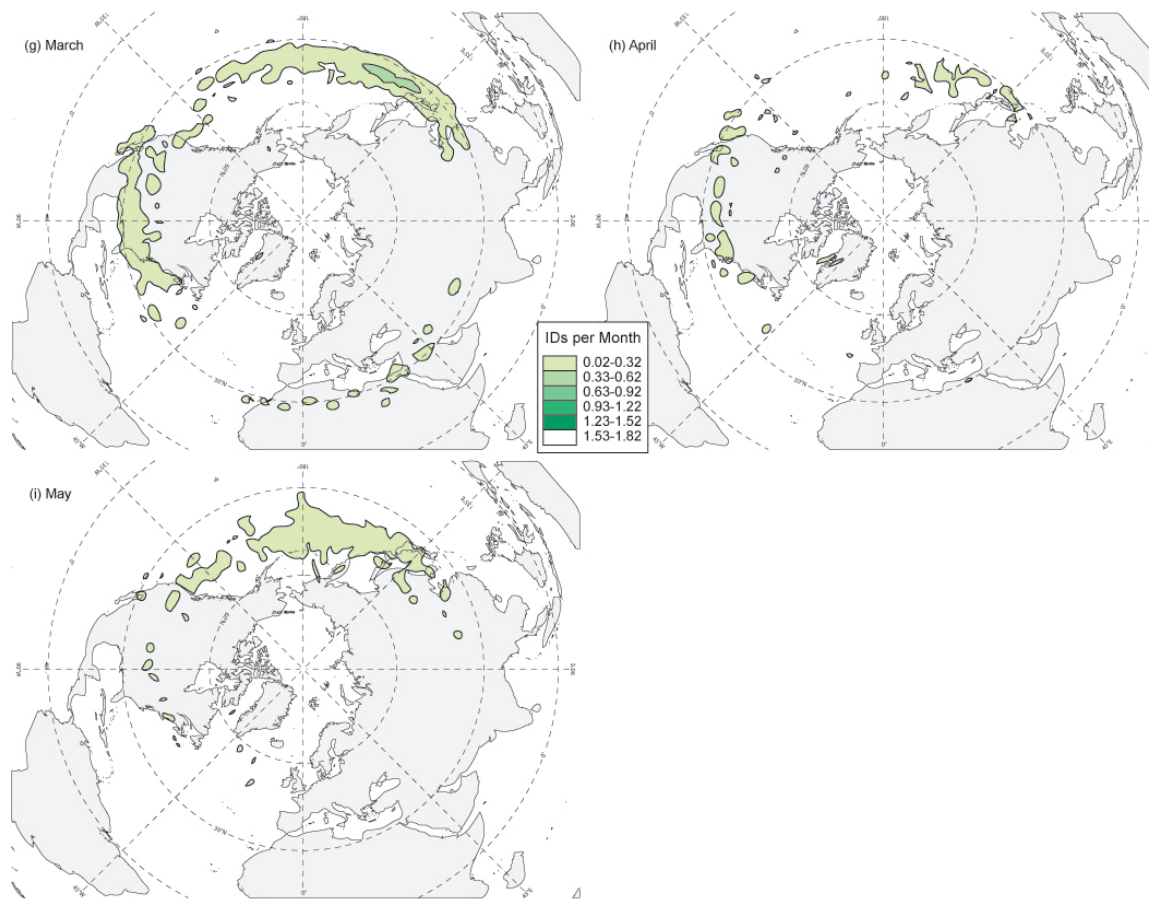
810 **Figure 5 (continued):** (g) As in Fig. 5a but for March. (h) As in Fig. 5a but for April. (i) As in
 811 Fig. 5a but for May. (j) As in Fig. 5a but for June. (k) As in Fig. 5a but for July. (l) As in Fig. 5a
 812 but for August.
 813



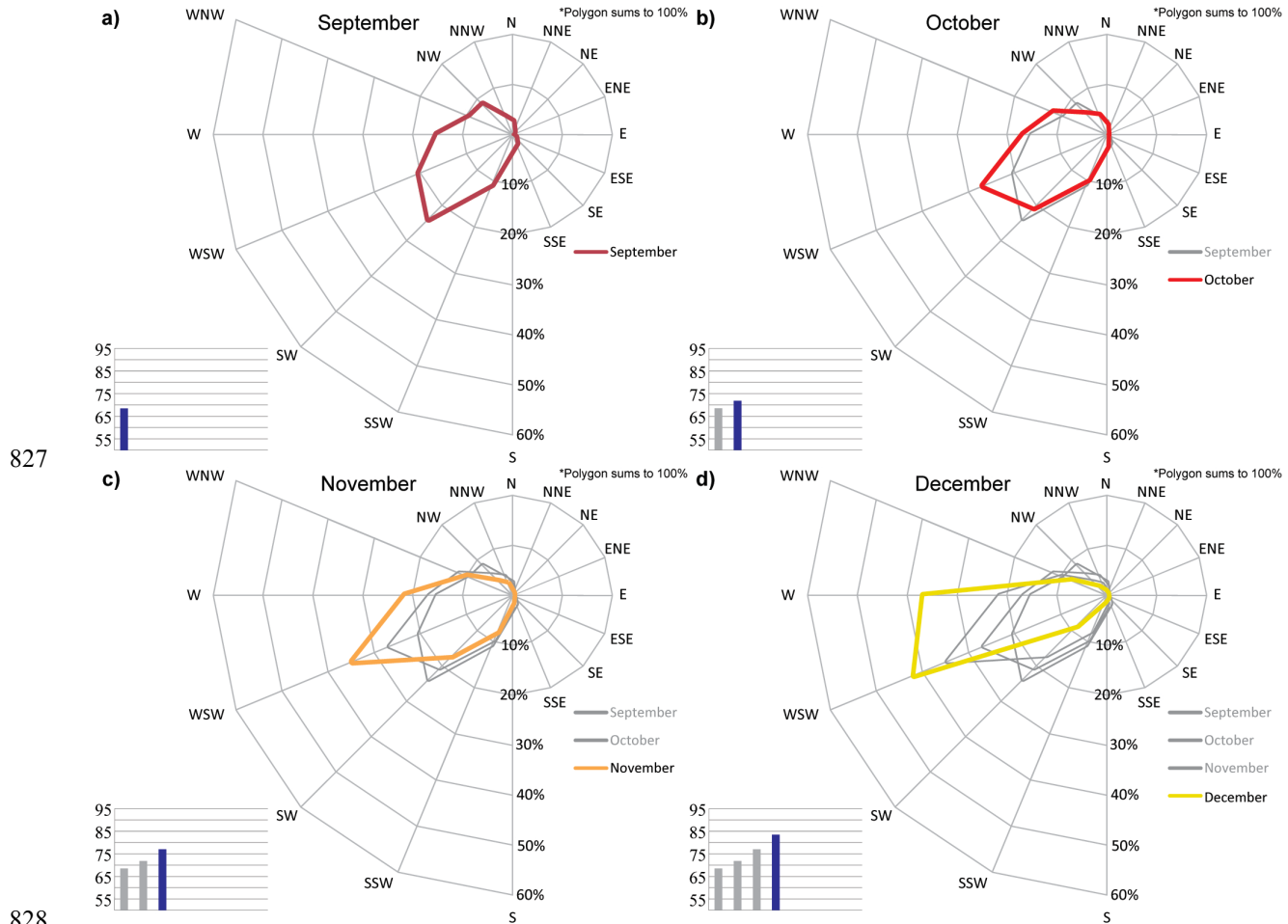
814
 815 **Figure 6:** (a) Average frequency of Northern Hemisphere jet superpositions during the three
 816 month period September-November over the period 1960-2010. (b) As in Fig. 6a but for the
 817 months of December-February. (c) As in Fig. 6a but for the months of March-May.
 818



819
 820 **Figure 7:** (a) Average frequency of Northern Hemisphere jet superpositions during the month of
 821 September over the period 1960-2010. (b) As in Fig. 7a but for October. (c) As in Fig. 7a but for
 822 November. (d) As in Fig. 7a but for December. (e) As in Fig. 7a but for January. (f) As in Fig. 7a
 823 but for February.



824
 825 **Figure 7 (continued):** (g) As in Fig. 7a but for March. (h) As in Fig. 7a but for April. (i) As in
 826 Fig. 7a but for May.
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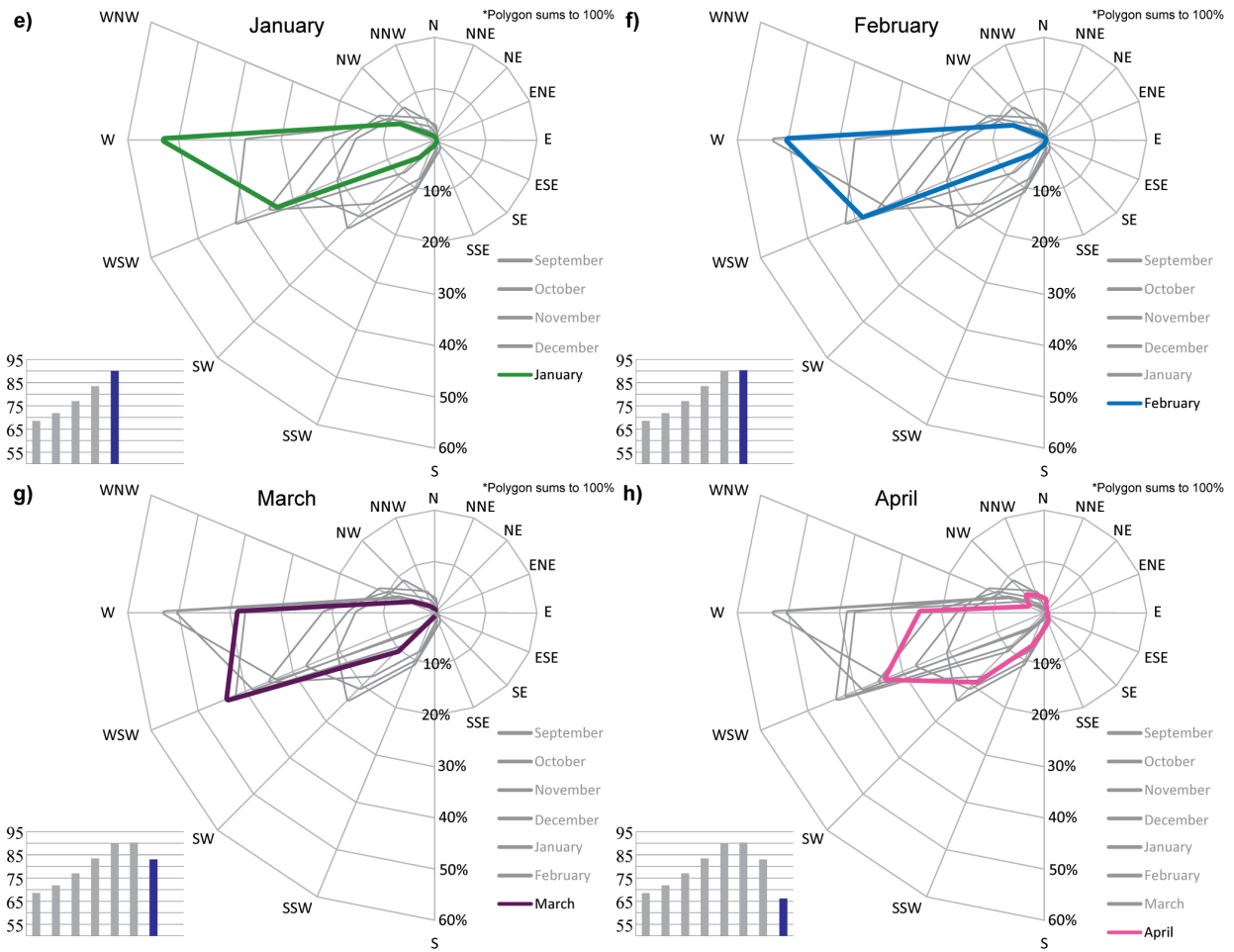
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Figure 8: (a) Wind direction plotted on the wind rose for every Northern Hemisphere jet superposition identified during the month of September over the period 1960-2010. Average wind speed for each jet superposition in m s^{-1} shown in blue on bar graph. (b) As in Fig. 8a but for but for October. (c) As in Fig. 8a but for but for November. (d) As in Fig. 8a but for but for December.



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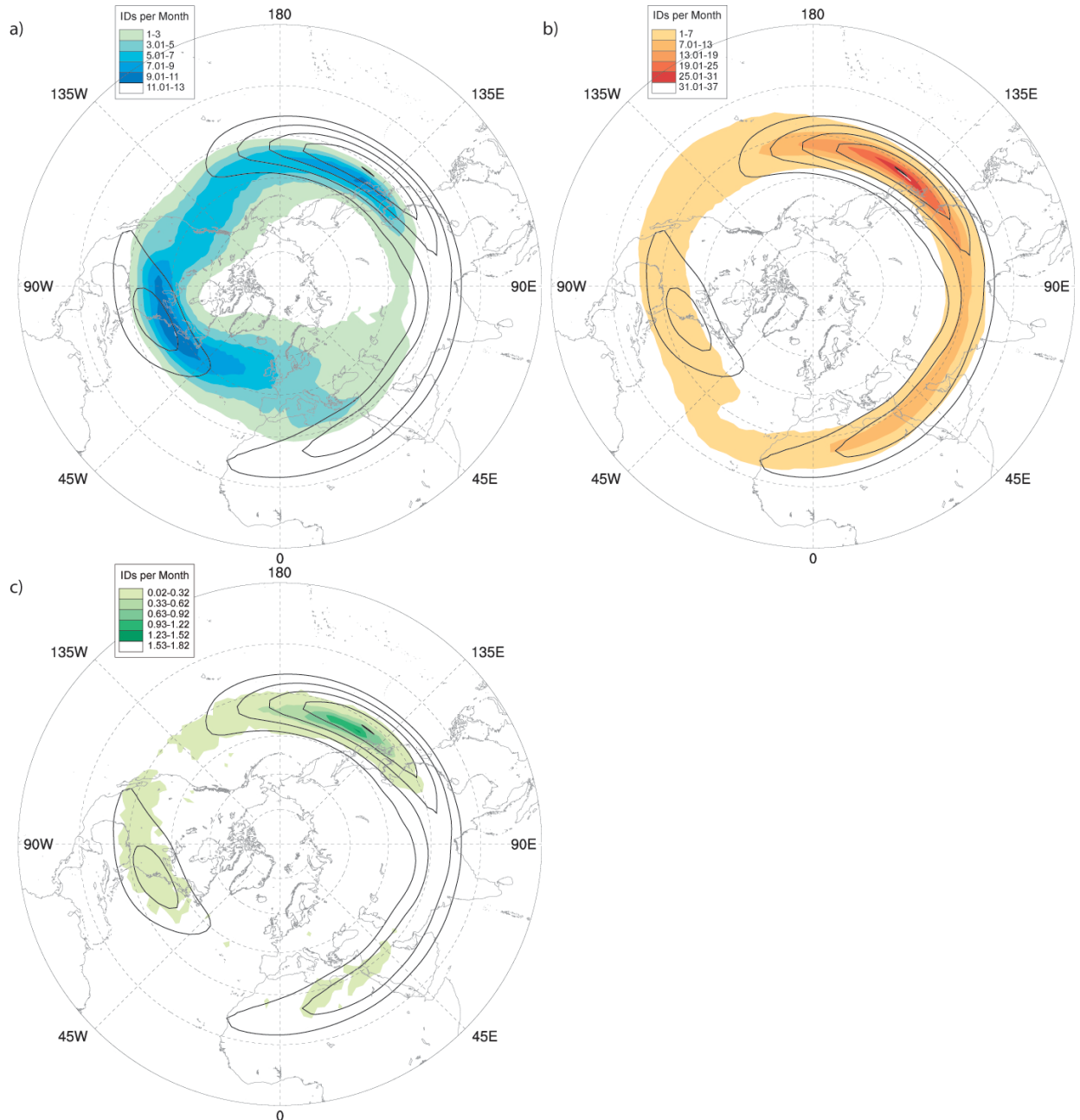
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Figure 8 (continued): (e) As in Fig. 8a but for but for January. (f) As in Fig. 8a but for but for February. (g) As in Fig. 8a but for but for March. (h) As in Fig. 8a but for but for April.



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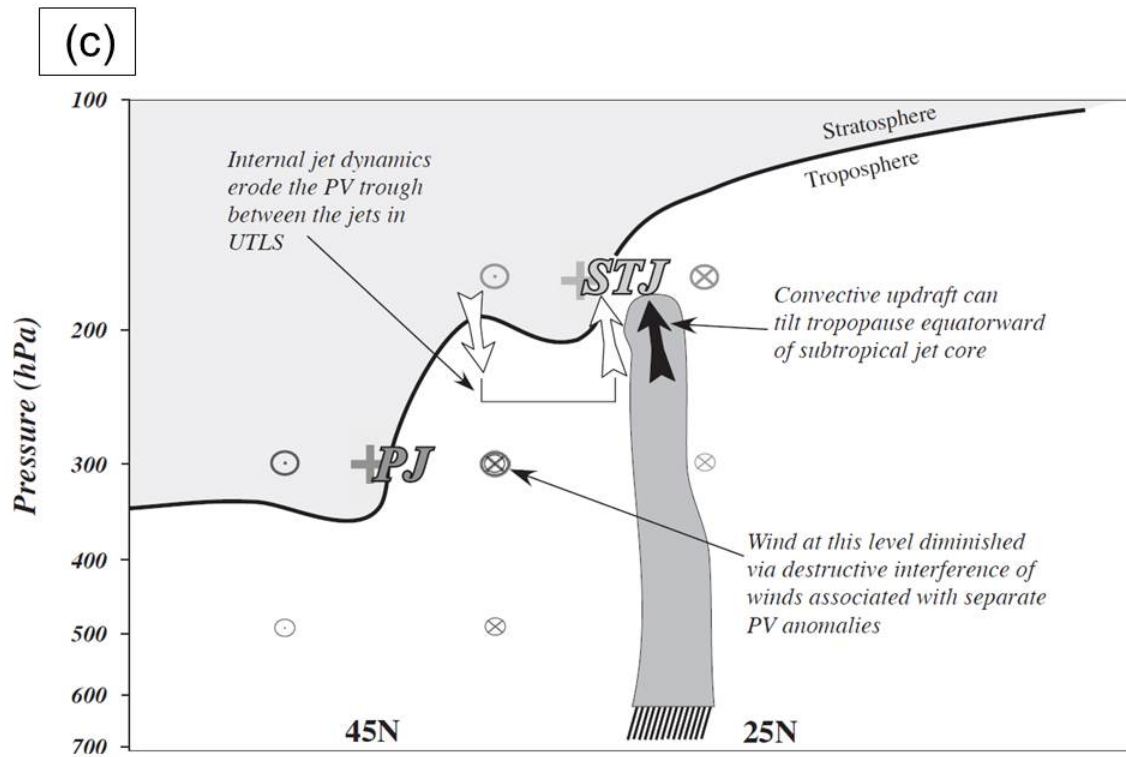
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Figure 9: (a) DJF seasonal average frequency of polar jet identification (fill) and isotachs of average zonal (U-wind) at 250hPa (black contour) every 10 m s^{-1} starting at 30 m s^{-1} over the period 1960-2010. (b) As in Fig. 9a but with fill indicating subtropical jet identifications. (c) As in Fig. 9a but with fill indicating jet superposition identifications.



845
 846 **Figure 10:** Schematic vertical cross section illustrating the dynamical processes that may
 847 facilitate a superposition of the polar (PJ) and subtropical (STJ) jet. Each jet is associated with a
 848 tropopause level positive PV perturbation (signified by the + signs). Corresponding circulations
 849 at and below each perturbation are indicated by a circled x or ●. Solid black line is the 1.5 PVU
 850 isosurface with the lower stratosphere shaded gray. See text for explanation. (Adapted from
 851 Winters and Martin 2014).

